H. A. Helfgott

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# The diameter of permutation groups

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# Cayley graphs

#### Definition

 ${\it G}=\langle {\it S} \rangle$  is a group. The (undirected) Cayley graph  $\Gamma({\it G},{\it S})$  has

- vertex set G and
- edge set  $\{\{g, ga\} : g \in G, a \in S\}$ .

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#### **Definition**

The diameter of  $\Gamma(G, S)$  is

$$\operatorname{diam} \Gamma(G,S) = \max_{g \in G} \min_{k} g = s_1 \cdots s_k, \ s_i \in S \cup S^{-1}.$$

(Same as graph theoretic diameter.)

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# How large can the diameter be?

The diameter can be very small:

diam 
$$\Gamma(G, G) = 1$$

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The diameter also can be very big:

$$G = \langle x \rangle \cong Z_n$$
, diam  $\Gamma(G, \{x\}) = \lfloor n/2 \rfloor$ 

More generally, G with a large abelian quotient may have Cayley graphs with diameter proportional to |G|.

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More generally, G with a large abelian quotient may have Cayley graphs with diameter proportional to |G|.

For generic G, however, diameters seem to be much smaller than |G|. Example: for the group G of permutations of the Rubik cube and S the set of moves, |G|=43252003274489856000, but diam (G,S)=20 (Davidson, Dethridge, Kociemba and Rokicki, 2010)

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# The diameter of groups

#### Definition

$$\operatorname{diam}(G) := \max_{S} \operatorname{diam}\Gamma(G, S)$$

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# The diameter of groups

#### Definition

$$\operatorname{diam}(G) := \max_{S} \operatorname{diam} \Gamma(G, S)$$

## Conjecture (Babai, in [Babai, Seress 1992])

There exists a positive constant c: such that G finite, simple, nonabelian  $\Rightarrow$  diam  $(G) = O(\log^c |G|)$ .

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#### Conjecture true for

- PSL(2, p), PSL(3, p) (Helfgott 2008, 2010)
- PSL(2, q) (Dinai; Varjú); work towards PSL<sub>n</sub>, PSp<sub>2n</sub> (Helfgott-Gill 2011)
  - groups of Lie type of bounded rank (Pyber, E. Szabó 2011) and (Breuillard, Green, Tao 2011)

But what about permutation groups? Hardest: what about the alternating group  $A_n$ ?

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# Alternating groups, Classification Theorem

Reminder: a permutation group is a group of permutations of *n* objects.

$$S_n$$
 = group of all permutations (S = "symmetric")  
 $A_n$  = unique subgroup of  $S_n$  of index 2 (A = "alternating")

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#### Theorem

Classification Theorem: The finite simple groups are: (a) finite groups of Lie type, (b)  $A_n$ , (c) a finite number of unpleasant things (incl. the "Monster").

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Finite numbers of things do not matter asymptotically. We can thus focus on (a) and (b).

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# Diameter of the alternating group: results

## Theorem (Helfgott, Seress 2011)

 $\operatorname{diam}(A_n) \leq \exp(O(\log^4 n \log \log n)).$ 

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## Corollary

 $G \leq S_n \text{ transitive} \Rightarrow \text{diam } (G) \leq \exp(O(\log^4 n \log \log n)).$ 

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The corollary follows from the main theorem and (Babai-Seress 1992), which uses the Classification Theorem.

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The corollary follows from the main theorem and (Babai-Seress 1992), which uses the Classification Theorem.

The Helfgott-Seress theorem also uses the Classification Theorem.

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## Product theorems

Since (Helfgott 2008), diameter results for groups of Lie type have been proven by product theorems:

#### Theorem

There exists a polynomial c(x) such that if G is simple, Lie-type of rank r,  $G = \langle A \rangle$  then  $A^3 = G$  or

$$|A^3| \ge |A|^{1+1/c(r)}.$$

In particular, for bounded r, we have  $|A^3| \ge |A|^{1+\varepsilon}$  for some constant  $\varepsilon$ .

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In particular, for bounded r, we have  $|A^3| \ge |A|^{1+\varepsilon}$  for some constant  $\varepsilon$ .

Given  $G = \langle S \rangle$ ,  $O(\log \log |G|)$  applications of the theorem gives all elements of G.

Tripling the length  $O(\log \log |G|)$  times gives diameter  $3^{O(\log \log |G|)} = (\log |G|)^c$ .

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(Pyber, Spiga) Product theorems are false in  $A_n$ .

#### Example

$$G = A_n, H \cong A_m \le G, g = (1, 2, ..., n) \ (n \text{ odd}).$$
  
 $S = H \cup \{g\} \text{ generates } G, |S^3| \le 9(m+1)(m+2)|S|.$ 

Related phenomenon: for G of Lie type, rank unbounded, we cannot remove the dependence of the exponent 1/c(r) on the rank r.

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Related phenomenon: for G of Lie type, rank unbounded, we cannot remove the dependence of the exponent 1/c(r) on the rank r.

Powerful techniques, developed for Lie-type groups, are not directly applicable:

- dimensional estimates (Helfgott 2008, 2010; generalized by Pyber, Szabo, 2011; prefigured in Larsen-Pink, as remarked by Breuillard-Green-Tao, 2011)
- escape from subvarieties (cf. Eskin-Mozes-Oh, 2005)

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## Aims

Product theorems are useful, and not just because they imply diameter bounds. They directly imply bounds on spectral gaps, mixing times, etc.

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## **Aims**

Product theorems are useful, and not just because they imply diameter bounds. They directly imply bounds on spectral gaps, mixing times, etc.

#### Our aims are:

- a simpler, more natural proof of Helfgott-Seress,
- $\bigcirc$  a weak product theorem for  $A_n$ ,
- 3 a better exponent than 4 in  $\exp((\log n)^4 \log \log n)$ ,
- removing the dependence on the Classification Theorem.

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Here we fulfill aims (1) and (2).

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Product theorems are useful, and not just because they imply diameter bounds. They directly imply bounds on spectral gaps, mixing times, etc.

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Here we fulfill aims (1) and (2). Many thanks to L. Pyber, who is working on (4).

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# A weak product theorem for $A_n$ (or $S_n$ )

## Theorem (Helfgott 2016, in preparation)

There are C, c > 0 such that the following holds. Let  $A \subset S_n$  be such that  $A = A^{-1}$  and A generates  $A_n$  or  $S_n$ . Assume  $|A| \ge n^{C(\log n)^2}$ . Then either

$$|A^{n^C}| \ge |A|^{1+c\frac{\log\frac{\log|A|}{\log n}}{(\log n)^2\log\log n}}$$

or

diam 
$$(\Gamma(\langle A \rangle, A)) \leq n^C \max_{\substack{A' \subset G \\ G = \langle A' \rangle}} \text{diam } (\Gamma(G, A')),$$

where G is a transitive group on  $m \le n$  elements with no alternating factors of degree > 0.9n.

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or

$$\operatorname{diam}\left(\Gamma(\langle A\rangle,A)\right) \leq n^{C} \max_{\substack{A' \subset G \\ G = \langle A'\rangle}} \operatorname{diam}\left(\Gamma(G,A')\right),$$

where G is a transitive group on  $m \le n$  elements with no alternating factors of degree > 0.9n.

Immediate corollary (via Babai-Seress): Helfgott-Seress bound on the diameter of  $G = A_n$  (or  $G = S_n$ ), or rather diam  $G \ll \exp(O(\log^4 n(\log \log n)^2))$ .

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# Dimensional estimates and their analogues, I

The following is an example of a dimensional estimate.

#### Lemma

Let  $G = \operatorname{SL}_2(K)$ , K finite. Let  $A \subset G$  generate G; assume  $A = A^{-1}$ . Let V be a one-dimensional subvariety of  $\operatorname{SL}_2$ . Then either  $|A^3| \ge |A|^{1+\delta}$  or

$$|A\cap V(K)|\leq |A|^{\frac{\dim V}{\dim \operatorname{SL}_2}+O(\delta)}=|A|^{1/3+O(\delta)}.$$

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A more abstract statement:

#### Lemma

Let G be a group. Let  $R, B \subset G$ ,  $R = R^{-1}$ . Let k = |B|. Then

$$\left|\left(\cup_{g\in B}gRg^{-1}\right)^2\right|\geq \frac{|R|^{1+\frac{1}{k}}}{\left|\cap_{g\in B\cup\{e\}}gR^{-1}Rg^{-1}\right|}.$$

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If R is special, try to make the denominator trivial.

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# Dimensional estimates and their analogues, II

In linear groups, "special" just means "on a subvariety".

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# Dimensional estimates and their analogues, II

In linear groups, "special" just means "on a subvariety". What could it mean in a permutation group?

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# Dimensional estimates and their analogues, II

In linear groups, "special" just means "on a subvariety". What could it mean in a permutation group?

## Lemma (Special-set lemma)

Let G be a group. Let  $R, B \subset G, R = R^{-1}, B = B^{-1}, \langle B \rangle$ 2-transitive. If  $R^2$  has no orbits of length  $> \rho n, \rho > 0$ , then

$$\left|\left(\cup_{g\in B^r}gRg^{-1}\right)^2\right|\geq \left|R\right|^{1+\frac{c_\rho}{\log n}},$$

where  $r = O(n^6)$  and  $c_{\rho} > 0$  depends only on  $\rho$ .

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In linear groups, "special" just means "on a subvariety". What could it mean in a permutation group?

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where  $r = O(n^6)$  and  $c_{\rho} > 0$  depends only on  $\rho$ .

This is again of the form: for  $R = A \cap$  special, either R grows (and so does A), or R is small compared to A.

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#### Building a prefix, I

Use basic data structures for computations with permutation groups (Sims, 1970) Given G, write  $G_{(\alpha_1,...,\alpha_k)}$  for the group

$$\{g \in G : g(\alpha_i) = \alpha_i \quad \forall 1 \le i \le k\}$$

(the pointwise stabilizer).

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$$\{g \in G : g(\alpha_i) = \alpha_i \quad \forall 1 \le i \le k\}$$

(the pointwise stabilizer).

#### Definition

A base for  $G \leq \operatorname{Sym}(\Omega)$  is a sequence of points  $(\alpha_1, \ldots, \alpha_k)$  such that  $G_{(\alpha_1, \ldots, \alpha_k)} = 1$ . A base defines a point stabilizer chain

$$G^{[1]} \geq G^{[2]} \geq G^{[3]} \cdots \geq$$

with 
$$G^{[i]} = G_{(\alpha_1,...,\alpha_{i-1})}$$
.

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### Building a prefix, II

Choose  $\alpha_1, \ldots, \alpha_j$  greedily so that, at each step, the orbit

$$\left|\alpha_{i}^{(A^{-1}A)_{(\alpha_{1},\ldots,\alpha_{i-1})}}\right|$$

is maximal.

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Choose  $\alpha_1, \ldots, \alpha_i$  greedily so that, at each step, the orbit

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By the special set lemma,  $(A^{-1}A)_{(\alpha_1,...,\alpha_j)}$  must be smallish (or else A grows).

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By the special set lemma,  $(A^{-1}A)_{(\alpha_1,...,\alpha_j)}$  must be smallish (or else A grows). This implies  $j \gg (\log |A|)/(\log n)^2$ .

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Let  $\Sigma = \{\alpha_1, \dots \alpha_{j-1}\}$ . It is easy to see that the setwise stabilizer  $(A^{2n})_{\Sigma}$ , projected to  $S_{\Sigma}$ , is large, and generates  $A_{\Delta}$  or  $S_{\Delta}$  for  $\Delta \subset \Sigma$  large.

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The pointwise stabilizer  $(A^{2n})_{(\Sigma')}$  restricted to the complement of  $\Sigma' = \Sigma \cup \{\alpha_i\}$  is the *suffix*.

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The setwise stabilizer  $(A^{2n})_{\Sigma'}$  acts on the suffix by conjugation.

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# Induction (allergy warning: Babai-Seress uses Classification)

The suffix has no orbits of size  $\geq \rho n$ .

What about the group H generated by the setwise stabilizer  $(A^{2n})_{\Sigma}$ ?

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## Induction (allergy warning: Babai-Seress uses Classification)

The suffix has no orbits of size  $\geq \rho n$ .

What about the group H generated by the setwise stabilizer  $(A^{2n})_{\Sigma}$ ? If it has no orbits of size  $\geq 0.9n$ , then its diameter is not much larger than that of  $A_{\lfloor 0.9n \rfloor}$ , by (Babai-Seress 1992). This is relatively small, by induction.

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## Induction (allergy warning: Babai-Seress uses Classification)

The suffix has no orbits of size  $\geq \rho n$ .

What about the group H generated by the setwise stabilizer  $(A^{2n})_{\Sigma}$ ? If it has no orbits of size  $\geq 0.9n$ , then its diameter is not much larger than that of  $A_{\lfloor 0.9n \rfloor}$ , by (Babai-Seress 1992). This is relatively small, by induction.

The prefix, a projection of the setwise stabilizer, contains a copy of  $A_{\Delta}$  or  $S_{\Delta}$ ,  $\Delta$  not tiny. By Wielandt, this means that H contains an element  $g \neq e$  of small support.

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So, H has a long orbit, and in fact acts like  $A_m$  or  $S_m$  on it  $(m \ge 0.9n)$ .

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### Use of special lemma, action

Set  $\rho = 0.8$ . Since H acts like  $A_m$  or  $S_m$ ,  $m \ge 0.9n$ , and the suffix S has no orbits of size  $\ge 0.8n$ , we can use the special-set lemma.

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We obtain growth.

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#### Summary of proof techniques

Subset analogues of statements in group theory, in particular:

- Orbit-stabilizer for sets; lifting and reduction statements for approximate subgroups (following Helfgott, 2010); basic object: action  $G \rightarrow X$ ,  $A \subset G$ .
- Subset versions of results by Bochert, Liebeck about large subgroups of  $A_n$ .

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Stochastic analogues of the probabilistic method in combinatorics: uniform probability distribution (can't do) replaced by outcomes of short random walks (can do). Thus: subset versions of results by Babai (splitting lemma), Pyber about 2-transitive groups.

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Previous results on diam  $(A_n)$ : main idea of (BS 1988) (used as existence result), results of (BS1992), (BBS 2004).

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#### Moral

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#### Moral

Worth studying for every group: action by multiplication  $G \to G/H$  ( $\Rightarrow$  lifting and reduction lemmas); action by conjugation  $G \to G$  ( $\Rightarrow$  conjugates and centralizers (tori)).

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Also, for linear algebraic groups: natural geometric actions  $PSL_n \to \mathbb{P}^n$ ( $\to$  dimensional analysis, escape from subvarieties)

Also, for permutation groups: natural actions by permutation  $A_n \to \{1, 2, ..., n\}^k$  ( $\to$  stabilizer chains, random walks, effective splitting lemmas)