

# From Linearizability to Eventual Consistency

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# Organization of talk

Context of problem: Distributed data structures.

Problem: Correctness.

Compositionality and abstraction.

DePaul CDM Tech Report, 2016. “From Linearizability to Eventual Consistency”.

## Sequential interfaces.

eg. Integer Set.

Mutators:  $+0$  [Add] and  $-0$  [remove]. Return type VOID.

Accessor:  $\checkmark 1, X1$ . Returns a boolean. Do not alter the state of the object

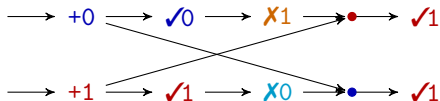
Example traces.

$X0 +0 \checkmark 0 X1$

$+0 +1 \checkmark 0 \checkmark 1 -1 \checkmark 0 X1$

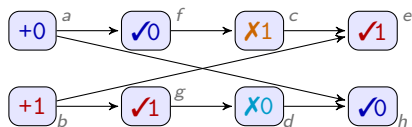
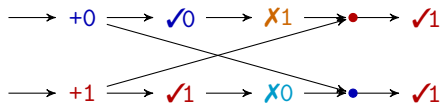
# Distributed (implementation of) Set.

$add(0); ?0; ?1; ?1 \parallel add(1); ?1; ?0; ?0$

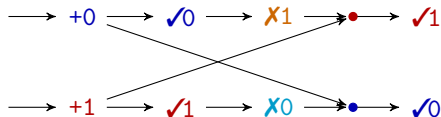


# Distributed (implementation of) Set.

$add(0); ?0; ?1; ?1 \parallel add(1); ?1; ?0; ?0$



# No global ordering



*Serialization* affects performance and scalability

*cap theorem* : can't have all three [Gilbert and Lynch 2002]

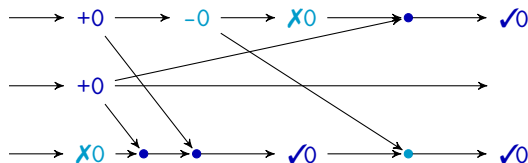
Consistency	Every read receives the most recent write or an error
Availability	Every request receives a response
Partition tolerance	The system operates despite arbitrary messages loss

# Convergent and Commutative Replicated Data Types

[Shapiro, Pregui, Baquero, Zawirski 2011]

Resolving conflicts among mutators.

Observed Remove Set. or-set: "Add wins"



*Specification* : +0-0+0

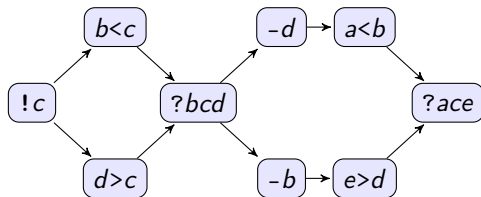
## Short digression. Distributed text editors

[Attiya, Burckhardt, Gotsman, Morrison, Yang, and Zawirski, 2016]

Mutators:  $!a$ ,  $a < b$ ,  $a > b$ ,  $-a$

Accessors:  $?a_1 \dots a_n$

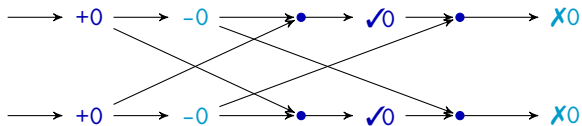
'Deletion wins" (compare to ORSET)



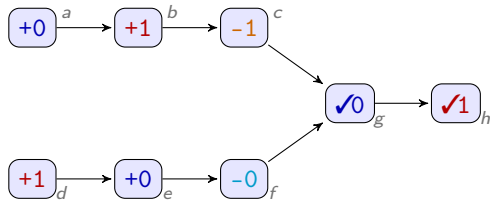
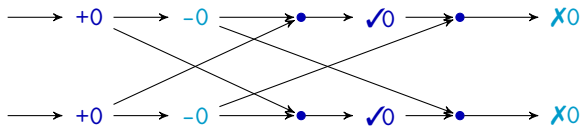
$!c$ ;  $b < c$ ;  $d > c$ ;  $?bcd$ ;  $a < b$ ;  $e > d$ ;  $-b$ ;  $-d$ ;  $?ace$



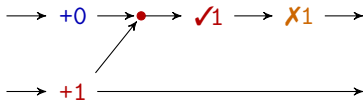
## Resume: or-set examples



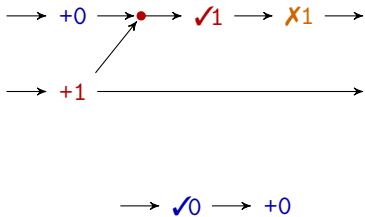
## Resume: or-set examples



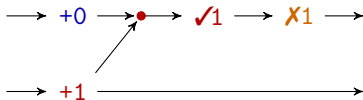
## or-set: non-behaviors



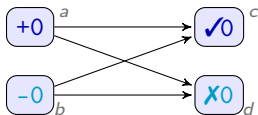
## or-set: non-behaviors



## or-set: non-behaviors



$\checkmark 0 \rightarrow +0$



# In what sense does the or-set implement a Set?

When is implementation( $U$ ) valid for a specification ( $\Sigma$ ) :

$$U \sqsubseteq \Sigma$$

What are the constraints?

## Constraint 1: Compositionality (a)

[Herlihy, Wing 1990] Given two separate and independent sets:

$$L_{\Sigma_1} \cap L_{\Sigma_2} = \emptyset.$$

and two implementations, each of which is correct individually:

$$U_1 \sqsubseteq \Sigma_1, U_2 \sqsubseteq \Sigma_2$$

we want:

$$U_1 \parallel U_2 \sqsubseteq \Sigma_1 \parallel \Sigma_2$$

## Constraint 2: Compositionality (b)

[Filipovic, O Hearn, Rinetzky, Yang 2009]

Let  $\mathcal{P}$  be the graph implementation, which is a client of the two sets (for vertices, edges).

We want:

$$(\mathcal{P} \parallel (\Sigma_1 \parallel \Sigma_2)) \setminus (L_{\Sigma_1} \cup L_{\Sigma_2}) \sqsubseteq T$$

implies

$$(\mathcal{P} \parallel (U_1 \parallel U_2)) \setminus (L_{\Sigma_1} \cup L_{\Sigma_2}) \sqsubseteq T.$$



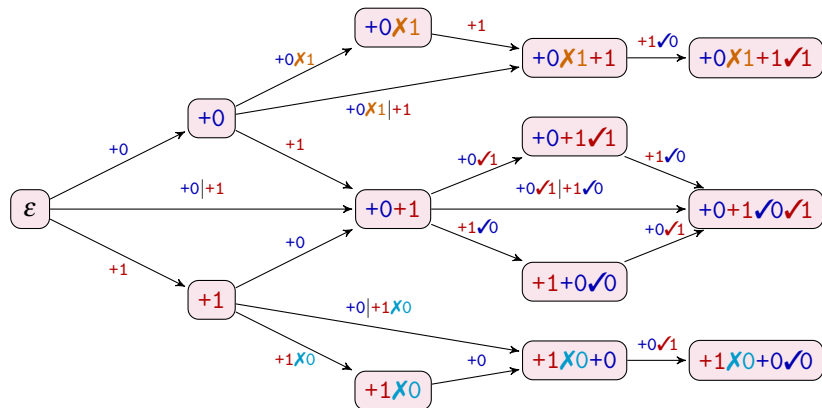
## Constraint 3: Coherence with the sequential specification

**Single threaded semantics:** A correct implementation should behave according to the sequential semantics if accessed at a single replica.

**Permutation equivalence:** “If all sequential permutations of updates lead to equivalent states, then it should also hold that concurrent executions of the updates lead to equivalent states.

**Client-server linearizability:** Any execution of a correct implementation on a client-server system should be linearizable.

# Linearizability: Linear time, Atomic/Instantaneous methods



An implementation  $U$  is valid if it is simulated by the above automaton.

# This talk

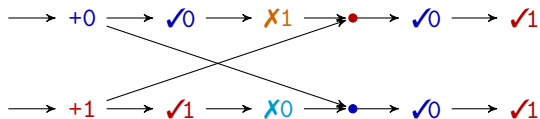
The linearizability automaton is too restrictive: does not simulate many desired behaviors.



Not *linearizable*: no way to place *both* X0, X1 in +0 +1 while preserving order.

What is the correct formalization?

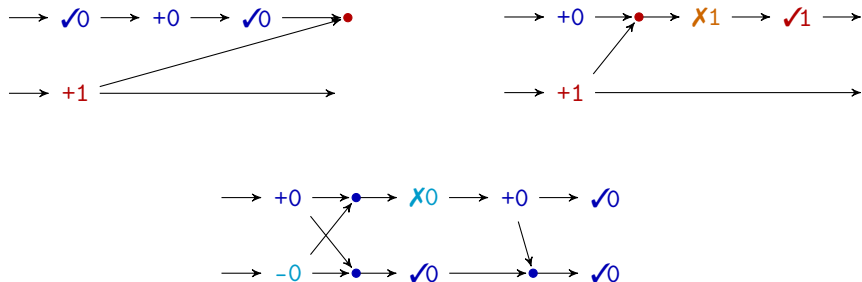
## Relaxing linearizability: Eventual consistency



But, states of all the replicas eventually converge when all the messages have been delivered. cf. *quiescent consistency*

Suffices for “shopping cart”.

# Consistency at non-quiescent states??



Not enough constraints: eg. “permutation equivalence” not enforced.

# Prior Work

Abandon sequential specifications

[Bouajjani, Enea, Hamza 2014]

[Burckhardt, Gotsman, Yang, Zawirski 2014]

☹ Only sequential specifications are canonical

Permutation based

[Burckhardt, Leijen, Fähndrich, Sagiv. 2012]

[Jagadeesan, Riely 2015]

☹ Too restrictive

# Our approach: liberalize the linearizability automaton

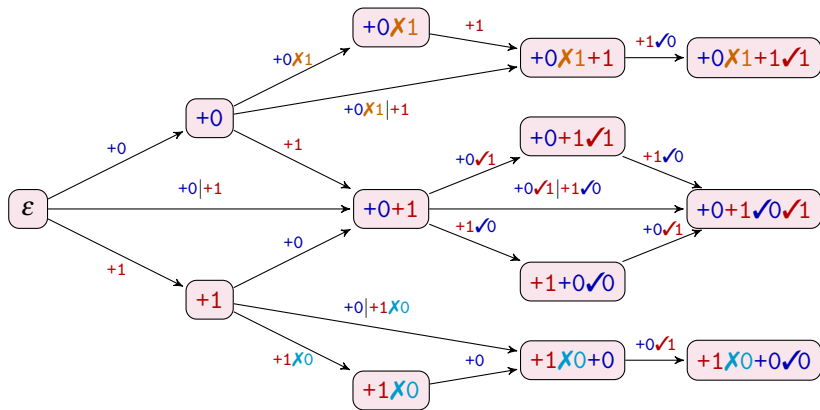
Two ingredients.

- (a) Quotient states under observational equivalence
- (b) Time as a partial order
  - Prefixes to subsequences
  - Explicate and disentangle dependencies

# Quotient states under observational equivalence

In linearizability state machine, states are sequences of methods.





# Quotient automaton states under observational equivalence

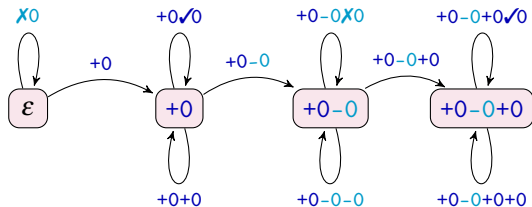
[Brookes 96]: Two sequences are equivalent if they yield the same sequence of states of the data structure, upto stuttering. In set :

$$+0+0 \sim +0$$

$$+0\checkmark0 \sim +0$$

and the equivalence classes for a set over one element 0 are:

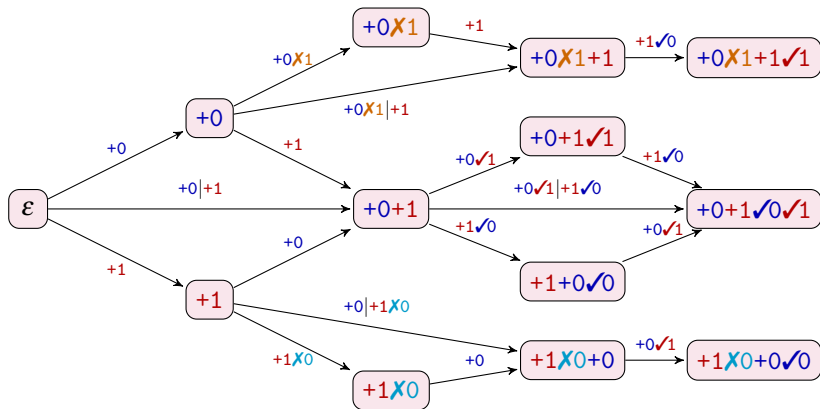
$$+0, +0-0, +0-0+0, +0-0+0-0, \dots$$



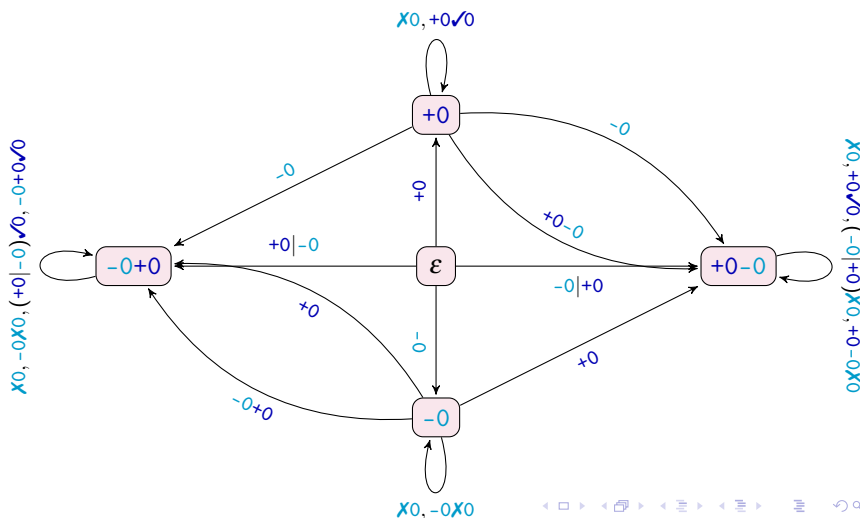
# Time as a partial order.

In the linearizability automaton, time is linear.

# Strict prefix ordering



# Time as a partial order: prefixes to subsequence



# Time as a partial order. Disentangling dependencies

The linearizability automaton is insensitive to independence.

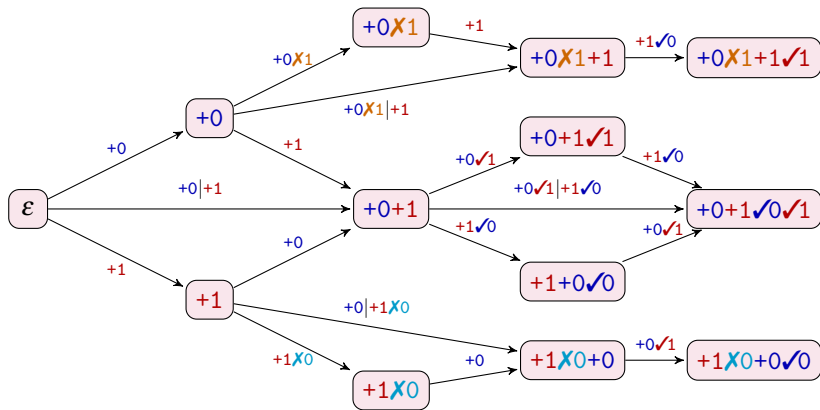
In binary set  $[+0, -0, \checkmark 0, \times 0, \times 1, \checkmark 1]$ , the two values are independent, i.e a trace for a binary set is valid iff its projection to 0 (resp. 1) is valid.

More generally, enrich specification with notion of conflict: #

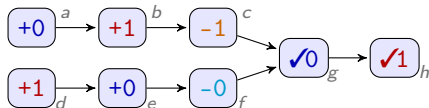
set :  $+0 \# \checkmark 0, +0 \# \times 0, +1 \# \checkmark 1, +1 \# \times 1, \checkmark 0 \# \times 0, \checkmark 1 \# \times 1 \dots\dots$

Distributed text editors: Two labels from this alphabet are in conflict iff they mention overlapping sets of text identifiers, or if one is a query and the other is a remove.

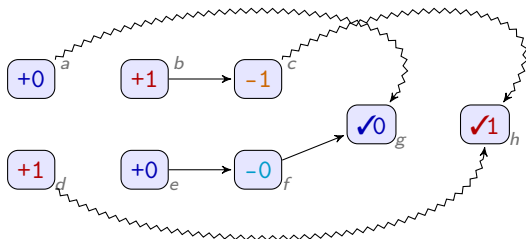
$b < c \# a < b, ?ce \# -a \dots\dots$



# Disentangling dependencies: set

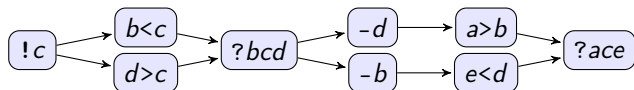


Specification:  $(+0-0+0) \parallel (+1-1+1)$

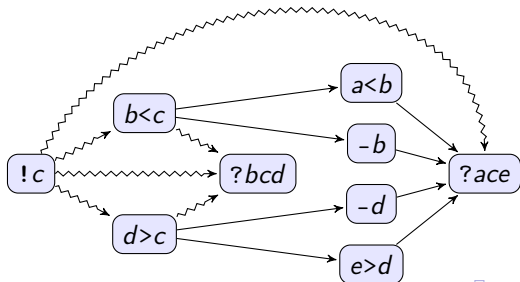




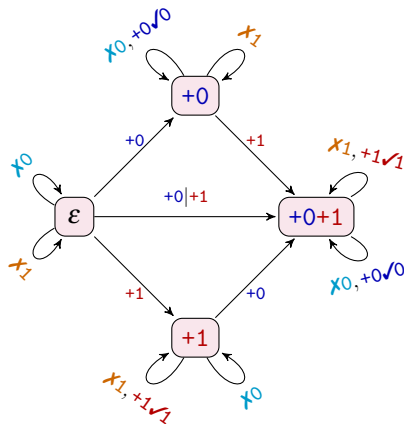
# Disentangling dependencies: Distributed text editor



*Specification* : !c; b<c; d>c; ?bcd; a<b; e>d; -b; -d; ?ace



# Disentangling dependencies: the set automaton.



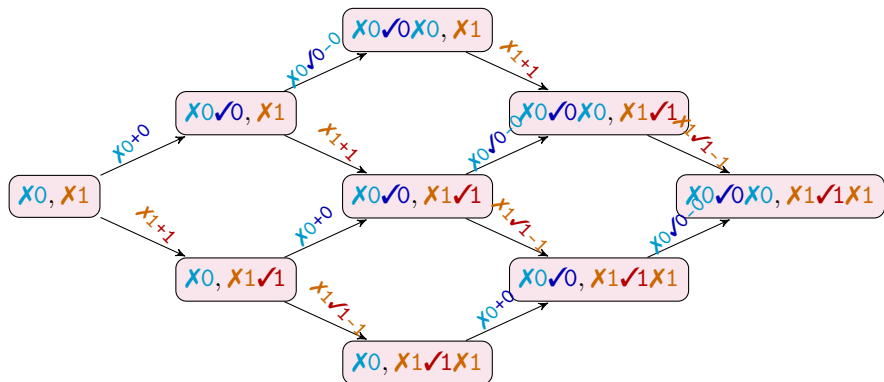
## Our correctness criterion: $U \sqsubseteq \Sigma$

$\Sigma$ : Incorporate "quotienting of the label sequences under observational equivalence", and "time as a partial order".

is generated *purely* from the standard sequential specification.

An implementation  $U$  is valid if it is simulated by  $\Sigma$ .

# The set automaton



# Results (1)

**Alternative characterization.** Via a direct definition.

**Coherence with the sequential specification.** Single threaded semantics, Permutation equivalence and Client-server linearizability.

**Expressiveness.** Addresses the CRDT examples.

## Results (2)

**Composition.** Given two separate and independent sets,  $L_{\Sigma_1} \cap L_{\Sigma_2} = \emptyset$ . and  $U_1 \sqsubseteq \Sigma_1, U_2 \sqsubseteq \Sigma_2$ , we have :

$$U_1 \parallel U_2 \sqsubseteq \Sigma_1 \parallel \Sigma_2$$

**Abstraction.** Let  $\mathcal{P}$  be the graph implementation, which is a client of the two sets (for vertices,edges). Then:

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implies

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## Results (3). calm clients can program sequentially

calm: “Consistency as logical monotonicity” . [Hellerstein 2010] The Bloom language. [Conway, Marczak, Alvaro, Hellerstein, Maier]  
“Monotonic reasoning requires no coordination”

$$path(@Src, Dest) : -path(@Src, X), link(@X, Dest)$$

BUT: “non-monotonic reasoning in general requires global barriers”.  
eg. state change, counting aggregates..

$$\begin{aligned} toggle(1) & : - state(0) \\ toggle(0) & : - state(1) \\ state(X)@next & : - toggle(X) \end{aligned}$$

No “races” between concurrent mutators and mutators/accessors.

# QUESTIONS??

For full details refer to:  
DePaul CDM Tech Report, 2016. “From Linearizability to Eventual Consistency”.