

# Hardness of Maximum Independent Set in Structured Hypergraphs

Rishi Saket  
IBM TJ Watson

Joint works with: Subhash Khot (NYU)  
Sushant Sachdeva (Berkeley)

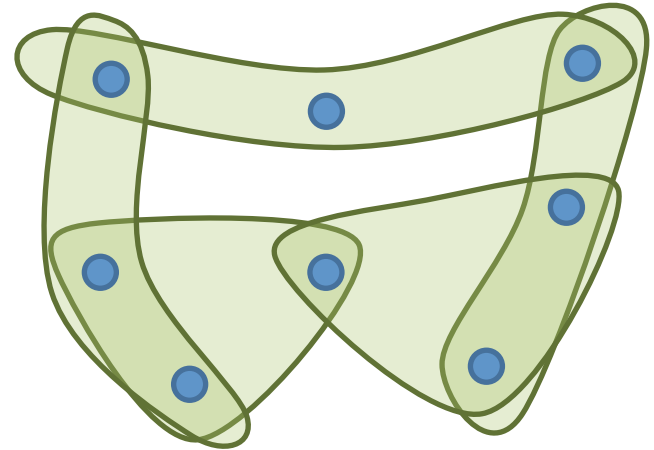
# Independent Set in Hypergraphs

Independent Set : Subset of vertices not containing all the vertices of any hyperedge.

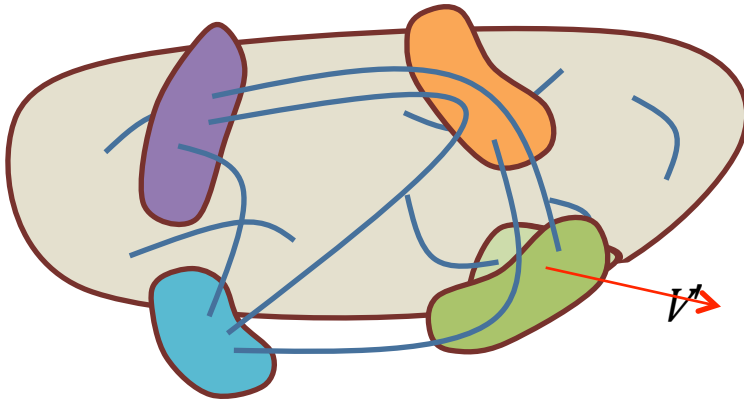
$k$ -colorable : Vertices can be partitioned into  $k$  disjoint independent sets.

## Maximum Independent Set

In hypergraphs with specific *structural* property



# Almost $k$ -Colorability



$G$  has Indep. Set of  $\approx n/k$  size.

$G(V, E)$

$G'$  : remove  $V'$  and all  $e \sim V'$   
 $k$ -colorable

Hypergraph  $G(V, E)$  on  $n$  vertices is almost  $k$ -colorable if,

Maximum Independent Set in (almost) 2-colorable hypergraphs  $\exists V' \subseteq V, |V'| \leq \epsilon n$  s.t.  $G'$  is  $k$ -colorable.

NP-hard to find independent set of relative size:

- $(\log \log n / \log \log \log n)^{\Omega(1)}$  in 2-colorable 4-uniform hypergraphs [GHS 00] [Hol 02]

- (Assuming UGC) any constant  $\delta > 0$  in,

- Almost 2-colorable 3-uniform hypergraphs, [Guruswami Sinop 10]

# Our Results [Khot S. 13]

NP-hard to find independent set of relative size:

$2^{-(\log n)^{1-\varepsilon}}$  in almost 2-colorable 4-uniform hypergraphs.

Any constant  $\delta > 0$  in almost 2-colorable 3-uniform hypergraphs, *without assuming UGC.*

Any constant  $\delta > 0$  in 2-colorable 3-uniform hypergraphs, assuming  $d$ -to-1 Games Conjecture.

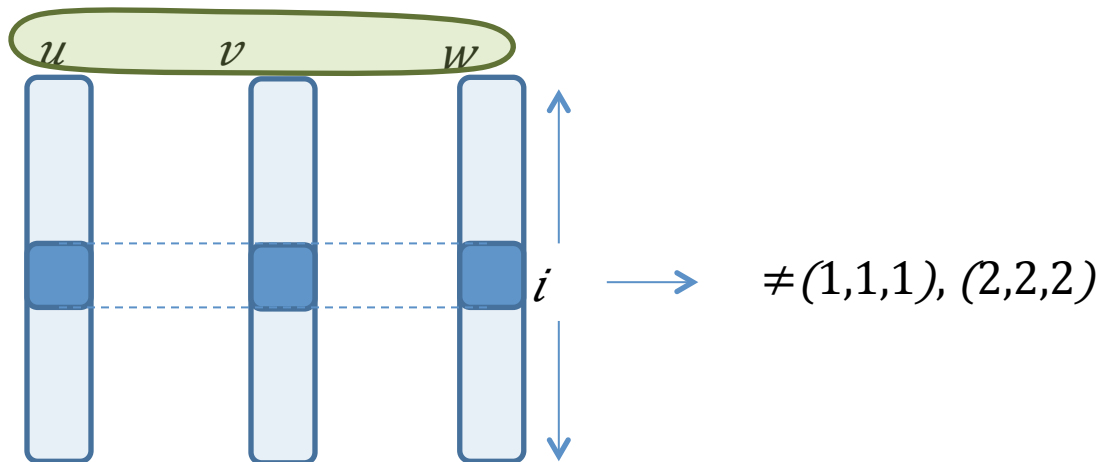
# A “Dictatorship” Gadget

- Toy instance:  $\mathbf{3}$ -uniform hypergraph over Label Set  $L$ .
  - Yes Case: Every  $i \in L \Rightarrow$  “good” solution, i.e. an almost  $\mathbf{2}$ -coloring.

- No Case: Every large independent set  $\Rightarrow$  “small” subset of  $L$ .
- Ground set  $\Omega = \{*, 1, 2\}$ . Measure  $\mu(1) = \mu(2) = 1 - \varepsilon/2$ ,  $\mu(*) = \varepsilon$ .

Vertices  $V := \Omega \uparrow L$  with weights  $wt(v) := \mu \uparrow L(v)$  for  $v \in \Omega \uparrow L$ .

Hyperedges  $E$ :  $\{u, v, w\} \in E \Leftrightarrow \forall i (u \downarrow i, v \downarrow i, w \downarrow i) \neq (1, 1, 1), (2, 2, 2)$

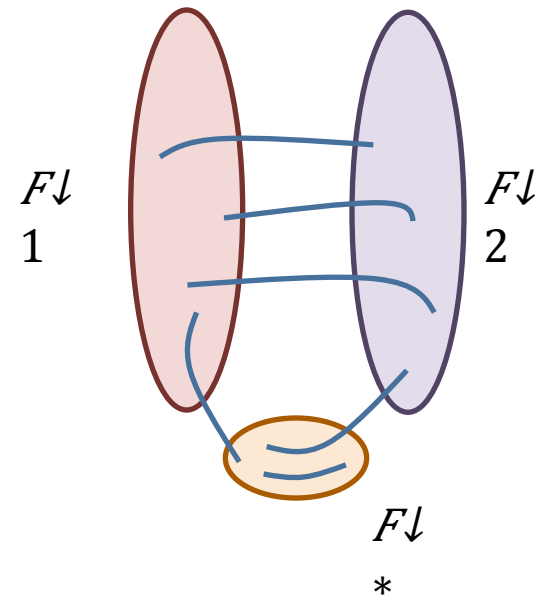


# YES Case

- Fix any  $i \in L$ .

- Define:

- $F \downarrow 1 = \{u \mid u \downarrow i = 1\}$ ,
- $F \downarrow 2 = \{u \mid u \downarrow i = 2\}$ ,
- $F \downarrow * = \{u \mid u \downarrow i = *\}$



- $F \downarrow 1$  and  $F \downarrow 2$  are disjoint independent sets of weight  $1 - \varepsilon/2$  each.

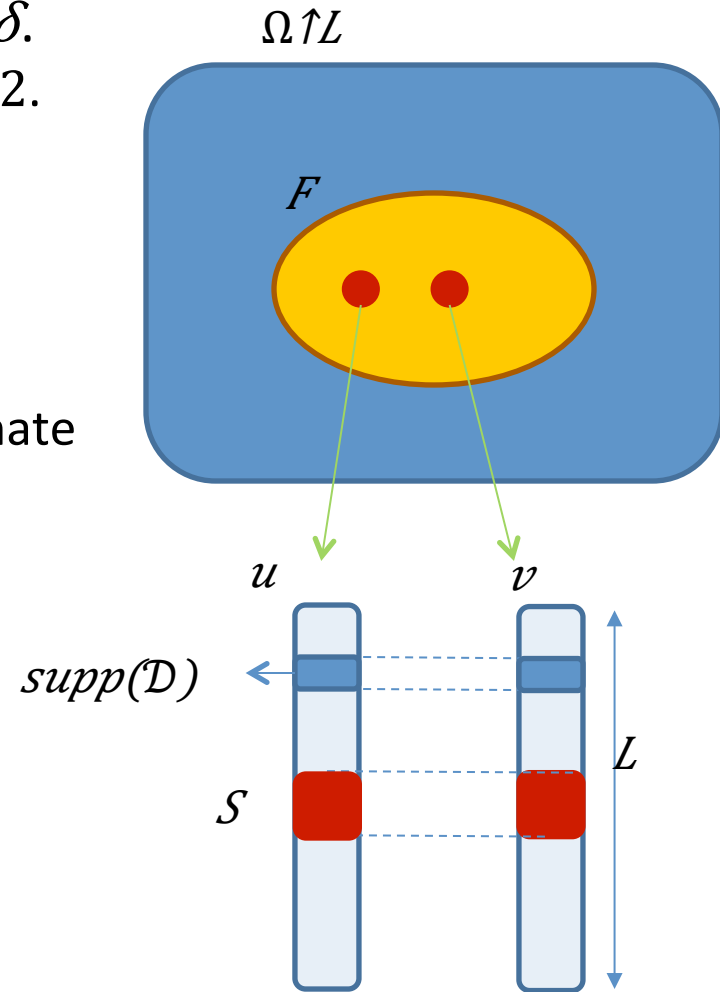
- $u, v, w \in F \downarrow 1 \Rightarrow (u \downarrow i, v \downarrow i, w \downarrow i) = (1, 1, 1)$
- $u, v, w \in F \downarrow 2 \Rightarrow (u \downarrow i, v \downarrow i, w \downarrow i) = (2, 2, 2)$

- “Good” solution corresponding to each  $i \in L$ .

- Removing  $F \downarrow *$  + incident hyperedges makes it 2-colorable.

# No Case

- Let  $F \subseteq \Omega \uparrow L$  be maximal Ind. Set.  $wt(F) \geq \delta$ .
  - Monotone : Closed under changing  $*$  to 1,2.
- Goal : “Decode”  $F$  to small subset of  $L$ .
- Let  $\mathcal{D}$  be a distn. on  $\Omega \uparrow 2$  :
  - Sample uniformly from  $\{(1,2),(2,1)\}$
  - Independently w.p.  $\varepsilon$  change each coordinate to  $*$ .
  - $(1,1), (2,2) \notin \text{supp}(\mathcal{D})$
  - Both marginals of  $\mathcal{D}$  are identical to  $\mu$ .
- Key Property: There exist  $u, v \in F$  and  $S \subseteq L$  such that:
  - $\forall i \in L - S, (u \downarrow i, v \downarrow i) \in \text{supp}(\mathcal{D})$
  - $|S| \leq \exp(\text{poly}(1/\delta, 1/\varepsilon))$



# No Case

- Let  $F \subseteq \Omega \uparrow L$  be maximal Ind. Set.  $wt(F) \geq \delta$ .
  - Monotone : Closed under changing \* to 1,2.
- Goal : “Decode”  $F$  to small subset of  $L$ .

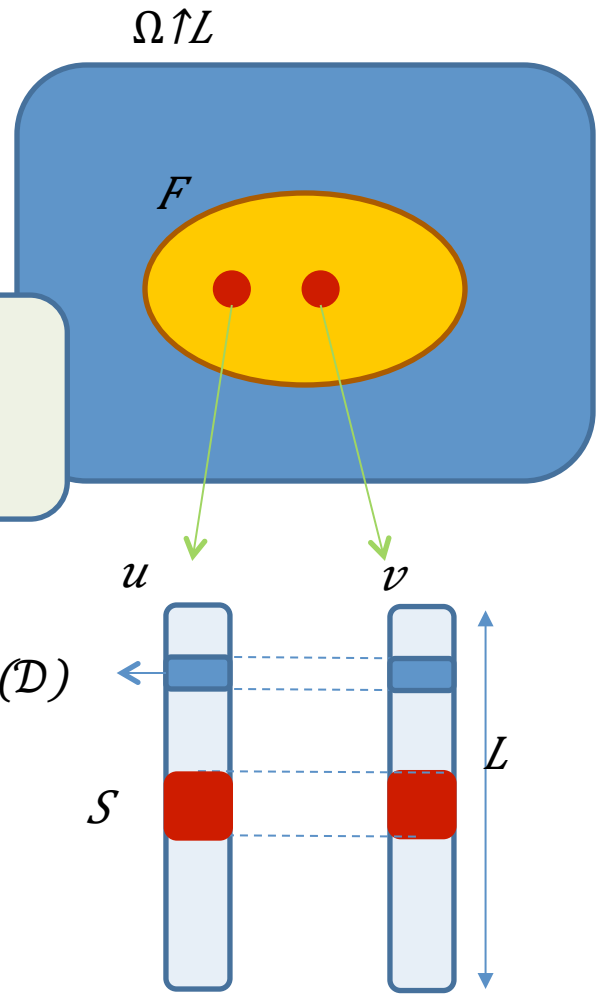
- Let  $\mathcal{D}$  be a distr. on  $\Omega \uparrow L$ .

Combine Dictatorship Gadget with Multilayered PCP [DGKR 03]

- Sample
- Independently to \*.
- $(1,1), (2,2) \notin \text{supp}(\mathcal{D})$
- Both marginals of  $\mathcal{D}$  are identical to  $\mu$ .

- Key Property: There exist  $u, v \in F$  and  $S \subseteq L$  such that:

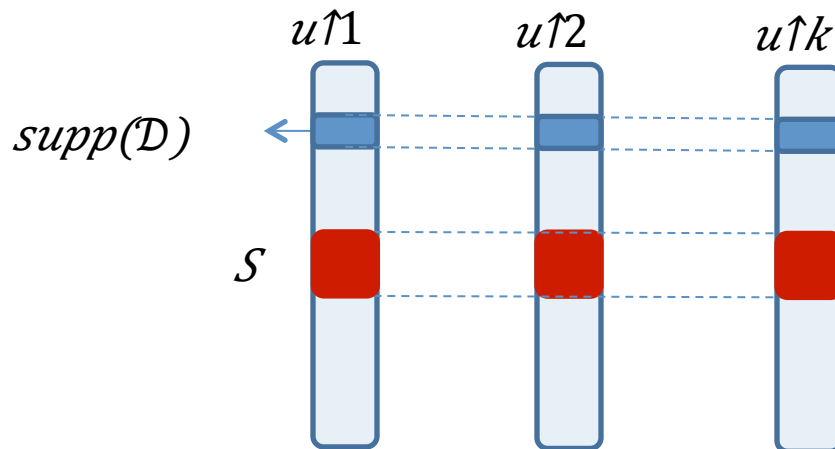
- $\forall i \in L - S, (u \downarrow i, v \downarrow i) \in \text{supp}(\mathcal{D})$
- $|S| \leq \exp(\text{poly}(1/\delta, 1/\epsilon))$





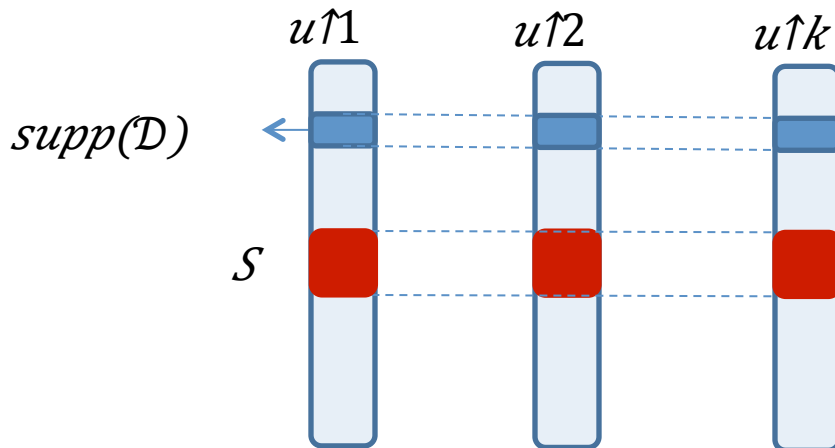
# Proof Techniques

- $F \subseteq \Omega \uparrow L$  be monotone with  $wt(F) \geq \delta$ ,
- [Russo]  $F$  has avg. sensitivity  $O(1/\varepsilon)$ .
- [Friedgut, Sachdeva Tulsiani 11]  $F$  is very close to a junta  $F'$  on  $S \subseteq L$ .
  - $|S| \leq \exp(\text{poly}(\varepsilon, \delta))$ .
- [Dinur Safra 02, DKPS 10] Dstn  $\mathcal{D}$  on  $\Omega \uparrow k$  with marginals identical to  $\mu$ .
  - $\exists u \uparrow 1, \dots, u \uparrow k \in F$  s.t.  $(u \downarrow i \uparrow 1, \dots, u \downarrow i \uparrow k) \in \text{supp}(\mathcal{D})$  for  $i \in L - S$ .
  - Jointly sample “extensions” of junta  $F'$  using  $\mathcal{D}$ .

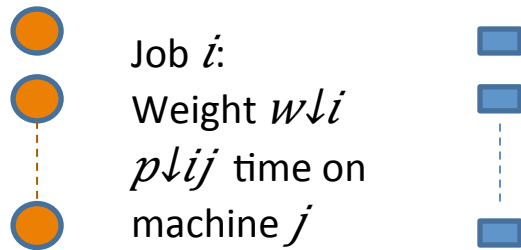


# Proof Techniques

- $F \subseteq \Omega \uparrow L$  be monotone with  $wt(F) \geq \delta$ ,  $\mu(*) = \varepsilon$ .
- [Russo]  $F$  has avg. sensitivity  $O(1/\varepsilon)$ .
- [Friedgut, Sachdeva Tulsiani 11]  $F$  is very close to a junta  $F'$  on  $S \subseteq L$ .
  - $|S| \leq \exp(\dots)$
- [Dinur Safran] Other problems where UGC can be avoided using similar techniques?
  - $\exists u \uparrow 1, \dots, u \uparrow k$  ... identical to  $\mu$ .
  - Jointly sample "extensions" of junta  $F'$  using  $\mathcal{D}$ .



# Hardness of Scheduling Problems and Hypergraph Independent Set [Bansal Khot 10]



$N$  jobs

$M$  machines

Concurrent Open Shop

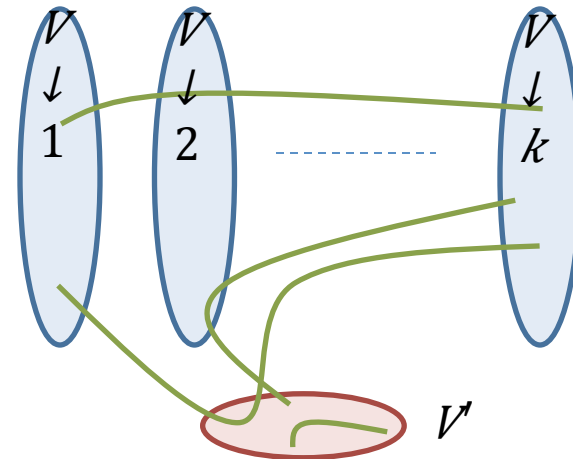
Minimize sum of weighted completion times. 2-approx. [GKP 07]

$(2-\epsilon)$ -UGC hard to approx. [BK 10]

Ind. Set. in almost  $k$ -partite  $k$ -uniform hypergraphs [BK 10]

Given a  $k$ -uniform hypergraph  $G(V, E)$ , UGC-hard to decide whether:

- Yes Case:  $V$  can be partitioned into  $V', V \setminus 1, \dots, V \setminus k$  s.t.  $|V'| = \epsilon|V|$ ,  $|V \setminus 1| = \dots = |V \setminus k|$  and each  $e \in E$  has at most one vertex from any  $V \setminus i$ .
- No Case: No Ind. Set of size  $\epsilon|V|$ .



(Almost  $k$ -partite)

# Hardness of Scheduling Problems and Hypergraph Independent Set [Bansal Khot 10]



Job  $i$ :  
Weight  $w_i$   
 $p_{ij}$  time on  
machine  $j$



Concurrent Open Shop

Minimize sum of weighted completion times. 2-approx. [GKP 07]

(2 -  $\epsilon$ ) UGC hard to approx. [BK 10]

$N$  jobs

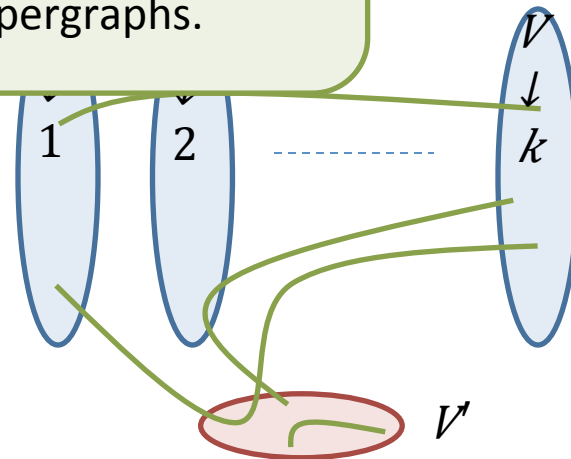
Ind. Set. in  
hypergraph

[Sachdeva S. 13] Optimal  $(2 - \delta)$  NP-hardness for Concurrent Open Shop *without assuming UGC.*

Indep. Set in " $k$ -blocked-partite" hypergraphs.

Given a  $k$ -uniform hypergraph  $G(V, E)$ , UGC-hard to decide whether:

- Yes Case:  $V$  can be partitioned into  $V', V \setminus 1, \dots, V \setminus k$  s.t.  $|V'| = \epsilon|V|$ ,  $|V \setminus 1| = \dots = |V \setminus k|$  and each  $e \in E$  has at most one vertex from any  $V \setminus i$ .
- No Case: No Ind. Set of size  $\epsilon|V|$ .



(Almost  $k$ -partite)

# Bypassing UGC [Sachdeva S. 13]

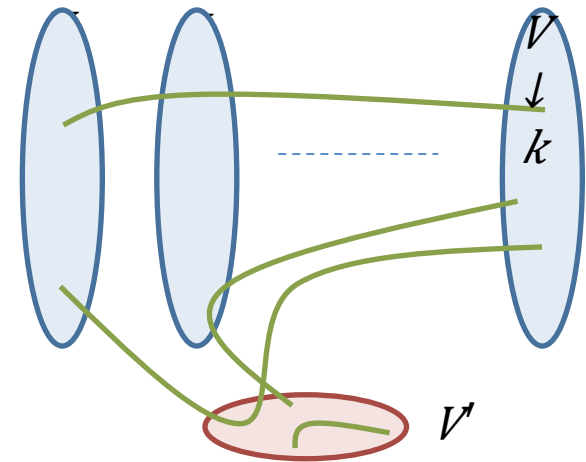
Independent Set in “ $k$ -blocked partite” hypergraphs.

Given  $k \geq 2$ -uniform hypergraph  $G(V, E)$ , it is NP-hard to decide:

## Yes Case

- $V$  can be partitioned into  $V', V \setminus 1, \dots, V \setminus k$  s.t.  $|V'| = \varepsilon|V|$ ,  $|V \setminus 1| = \dots = |V \setminus k|$ .
- Any  $e \in E$  can be divided into  $k$  blocks of  $k$  vertices each s.t. at least  $k-1$  blocks are “good”: contain at most one vertex from any  $V \setminus i$ .

No Case : No Indep. Set. of size  $\varepsilon|V|$ .



# Reduction to Concurrent Open Shop

- Machines : Hyperedges  $E$
- Jobs: Vertices  $V$ , unit weight.
  - $v \in V$  has unit processing time on each  $e \sim v$ .
- Yes Case : Each  $e$  processes its  $k^2$  jobs according to  $V \downarrow 1, V \downarrow 2, \dots, V \downarrow k, V'$ .
  - Jobs  $V \downarrow i$  completed by time  $ik+k$ .
  - Jobs  $V'$  completed by time  $k^2$ .
  - Average completion time :  $\approx k(k+1)/2 + \varepsilon k^2$ .
- No Case : Set of jobs completed by time  $k^2 - 1$  is an indep. set.
  - Average completion time :  $\geq (1-\varepsilon)k^2$ .
- Choose large  $k$  and small  $\varepsilon$ :  $(2-\delta)$ - NP-hardness factor.

# “Dictatorship” Gadget

Instance :  $k$ -uniform hypergraph over  $L$ .

Ground set  $\Omega = \{*, 1, 2, \dots, k\}$ .

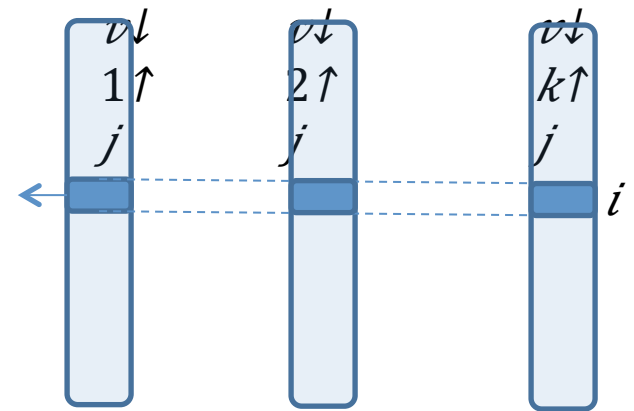
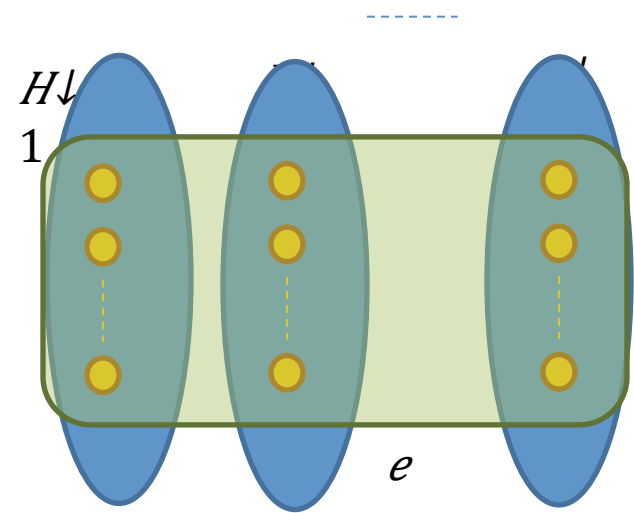
$\mu(*) = \varepsilon, \mu(1) = \mu(2) = \dots = \mu(k) = 1 - \varepsilon/k$ .

Vertices  $V := \bigcup_{j=1}^k H_j$  where  $H_j$  is copy of  $\Omega$ .

Hyperedges  $E$ : Select  $k$  vertices from each  $H_j$  such that:

for each  $i \in L$ , for  $k-1$  of the  $H_j$ 's :

Contains at most one value of  $r$  for each  $r \in [k]$



# “Dictatorship” Gadget

Instance :  $k$ -uniform hypergraph over  $L$ .

Ground set  $\Omega = \{*, 1, 2, \dots, k\}$ .

$\mu(*) = \varepsilon, \mu(1) = \dots = \mu(k) = 1 - \varepsilon$

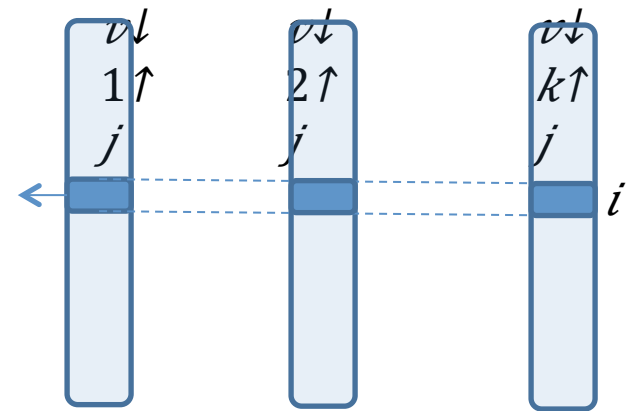
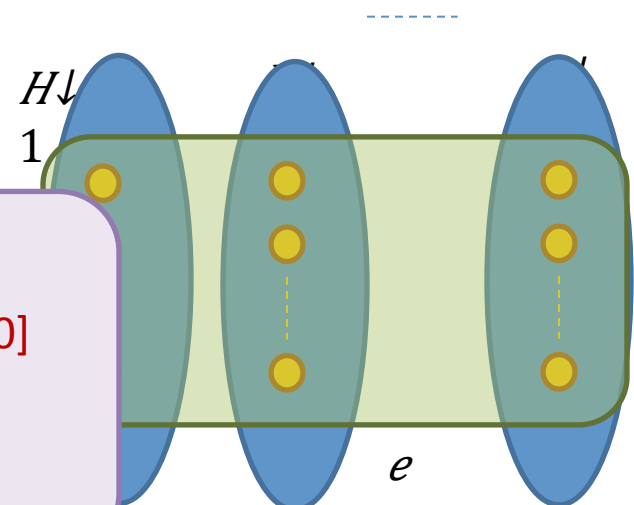
Vertices  $L$   
 $\Omega \uparrow L$ .

Hyperedges  
 such that:

for each  $i \in L$ , for  $k-1$  of the  $H \uparrow j$ 's :

Contains at most one value of  $r$  for each  $r \in [k]$

Analysis follows using:  
[Russo] [Friedgut, ST 11] [DS 02, DKPS 10]  
 Combine with variant of Label Cover





# Conclusions

- NP-hardness (bypassing UGC) results for Max. independent set in
  - Almost 2-colorable 3-uniform hypergraphs,
  - “ $k$ -blocked partite” hypergraphs.
  - Optimal  $(2-\delta)$ -inapprox. for Concurrent Open Shop, Assembly Line Scheduling.
- Future Directions.
  - Prove indep. set results for exactly colorable hypergraphs ?
  - Invariance Principle with Label Cover for better results for Vertex Cover, Independent Set ...?
  - Scheduling with precedence constraints.  $(2-\delta)$ -UGC hard [BK 09]
  - Use LP integrality gaps for NP-hardness ? [KMTV 11]

Thank You!