# <span id="page-0-0"></span>Approximation algorithms for partition functions of edge-coloring models

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The Classification Program of Counting Complexity, Simons Institute

Guus Regts **Approximation algorithms for partition function** models 29 March 2016 1 / 24

Correlation decay method (assuming  $\Delta = \Delta(G)$  is constant) yields a (deterministic) FPTAS for:

- The number of weighted independent sets with weight *λ* ≥ 0 for *λ* small enough (Weitz, 2006)
- The number of matchings in a graph (Bayati, Gamarnik, Katz, Nair and Tetali, 2007)
- The number of k-colorings of a graph for k > *α*∆ + 1 for *α* large enough (Lu and Yin, 2013)
- Partition function of real symmetric matrices A with  $|A_{i,j} 1| \le c/\Delta$ (for some constant c) (Lu and Yin, 2013)

Low order Taylor approximations yield a (deterministic) QPTAS for:

- Permanent of complex matrices Z with  $|Z_{i,j} 1| \leq 0.5$  (Barvinok,  $2016+$ )
- Permanent of real matrices Z with  $\delta \leq Z_{i,j} \leq 1$  (Barvinok, 2016+)
- The partition function of complex symmetric matrices A with  $|A_{i,j} - 1| \leq 0.34/\Delta(G)$  (Barvinok and Sobéron, 2016)
- The partition function of a complex-valued Boolean polynomial  $(Barvinok, 2015+)$
- The Tutte polynomial,  $Z(u,v)(G):=\sum_{A\subseteq E}u^{k(A)}v^{|A|}$  for any fixed  $v \in \mathbb{C}$  and  $u \in \mathbb{C}$  with |u| large enough (depending on v and  $\Delta(G)$ ).  $(R., 2015+)$

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### 1 [What are edge-coloring models and their partition functions?](#page-4-0)

### [Main result](#page-16-0)

- 3 [Algorithm and proof sketch](#page-18-0)
- 4 [Discussion and concluding remarks](#page-41-0)

## <span id="page-4-0"></span>Partition functions of edge-coloring models

#### Definition

A k-color edge-coloring model h is a collection of symmetric tensors  $\{h^d\}_{d\in\mathbb{N}}$  with  $h^d\in(\mathbb{C}^k)^{\otimes d}$ .

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h^d = \sum_{\phi:[d]\to[k]} h^d(\phi) e_{\phi(1)} \otimes \cdots \otimes e_{\phi(d)}.
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#### Definition

The partition function of  $h$  is the graph parameter defined by  $G = (V, E) \mapsto p(G)(h)$  with

$$
p(G)(h) = \sum_{\varphi: E \to [k]} \prod_{v \in V} (h^{\deg(v)}(\varphi(\delta(v))).
$$

#### Definition

A tensor network is a pair  $(G, h)$  where h is a collection of symmetric tensors  $\{h^{\vee}\}_{\nu \in V(G)}$  with  $h^{\vee} \in (\mathbb{C}^{k})^{\otimes \deg(v)}.$ Let  $e_1,\ldots,e_k$  be the standard basis for  $\mathbb{C}^k$ . Then we can write

$$
h^{\vee} = \sum_{\phi:[d] \to [k]} h^{\vee}(\phi) e_{\phi(1)} \otimes \cdots \otimes e_{\phi(d)}.
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#### Definition

The contraction of  $(G, h)$  is defined by  $G = (V, E) \mapsto p(G)(h)$  with

$$
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$$



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# Where do you find edge-coloring models?

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- Theoretical computer science: holant problems, tensor networks
- Statistical physics: partition functions of the vertex model (de la Harpe and Jones 1993)
- Knot theory: Lie algebra weight systems
- Invariant theory: invariants of the (complex) orthogonal group  $O_k = \{ g \in \mathbb{C}^{k \times k} \mid gg^{\mathsf{T}} = l \}$   $p(G)(gh) = p(G)(h).$
- Combinatorics: counting perfect matchings, counting graph homomorphisms

#### **Definition**

Let A be a symmetric  $n \times n$  matrix. The partition function of A is the graph invariant given by  $G = (V, E) \mapsto p(G)(A)$  with

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p(G)(A) := \sum_{\phi: V \to [n]} \prod_{uv \in E} A_{\phi(u), \phi(v)}.
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#### Lemma (Szegedy 2007)

Write  $A = B^T B$  (for some complex matrix B). Let  $b_1, \ldots, b_n$  be the columns of B. Define h<sup>d</sup> by h<sup>d</sup>  $= \sum_{i=1}^{n} b_i^{\otimes d}$ . Then

$$
p(G)(h)=p(G)(A).
$$

### <span id="page-16-0"></span>Theorem (R. 2015)

Let  $(G, h)$  be a tensor network, where G has n vertices. If  $|h^{\mathsf{v}}(\phi)-1|\leq \frac{0.35}{\Delta(G)+1}$  for each  $\phi:[\mathsf{deg(v)}]\to[k]$  and each v, then for each ε > 0 we can (deterministically) compute in time n<sup>O(log n/ε)</sup> a number *ξ* such that

$$
e^{-\varepsilon} \leq \left| \frac{p(G)(h)}{\xi} \right| \leq e^{\varepsilon}.
$$

Let 
$$
x \in \mathbb{C}^k
$$
 be such that  $x^T x \neq 0$  and let  $X^d := x^{\otimes d}$ .

### Theorem (R. 2015)

Let  $(G, h)$  be a tensor network, where G has n vertices. If  $|h^{\mathsf{v}}(\phi)-X^d(\phi)|$  is sufficiently small for each  $\phi:[\mathsf{deg(v)}]\to [k]$  and each *v*, then for each  $\varepsilon > 0$  we can (deterministically) compute in time n O(log n/*ε*) a number *ξ* such that

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p(z) = p(G)(1 + z(h-1)).
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- The Taylor polynomial can be expressed in terms of the derivatives of p at 0.
- $p(G)(h) \neq 0$  for all bounded degree graphs G and all h close enough to **1**.

### Lemma (Barvinok 2015)

Let p be a polynomial of degree n such that  $p(z) \neq 0$  for all  $|z| \leq q$  with  $q>1$ . Let  $f(z)=\ln p(z)$  and let  $\mathcal{T}_m(z)=\sum_{k=0}^m f^{(k)}(0)\frac{z^k}{k!}$  $\frac{Z^{\infty}}{k!}$ . Then for  $m = O(\ln(n/\epsilon))$  we have that

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Proof sketch:

**Write** 

$$
p(z) = p(0) \prod_{i=1}^n (1 - z/\alpha_i).
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Then

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f(z) = \ln(p(z)) = \ln(p(0)) + \sum_{i=1}^{n} \ln(1 - z/\alpha_i).
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Using the standard Taylor approximation of the natural logarithm,  $\ln(1+x) = -\sum_{i=1}^{\infty} \frac{1}{i}$  $\frac{1}{i}(-x)^i$ , we find that

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\ln(1-1/\alpha_i) = -\sum_{j=1}^m \frac{1}{j} \left(\frac{1}{\alpha_i}\right)^j + R_m,
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with

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|R_m| \leq \sum_{j>m} \frac{1}{m+1} \left(\frac{1}{q}\right)^j \leq \frac{1}{m+1} \frac{1}{(1-1/q)q^{m+1}}.
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Taking  $m = O(\log(n/\epsilon))$  we get  $|f(1) - T_m(1)| \leq \epsilon$  and applying exp to both sides we have the lemma.

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Then  $p(1) = k^{|E(G)|}$  and  $p(1) = p(G)(h)$ .

- If  $p(z) \neq 0$  for all  $|z| \leq q$  with  $q > 1$ , then  $\ln(p(1))$  is well approximated by order  $ln(|V|)$  Taylor polynomial around 0.
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Recall 
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f(z) = \ln p(z)
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. So  

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f'(z) = \frac{p'(z)}{p(z)}
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p^{(m)}(z) = \sum_{i=0}^{m-1} {m-1 \choose i} p^{(i)}(z) f^{(m-i)}(z).
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As  $p(0) \neq 0$  this yields a nondegerate triangular system to compute  $f^{(m)}(0)$  in terms of the  $p^{(k)}(0)$  in  $O(m^2)$  time.

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Recall that 
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So  $p^{(m)}(0)$  can be computed in time  $O(|V|^m)$ .

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### Theorem (R. 2015)

Let  $(G,h)$  be a tensor network. If  $|h^\vee(\phi)-1|\leq \frac{0.355}{\Delta(G)+1}$  for each  $\phi$  :  $[\deg(v)] \rightarrow [k]$  and each v, then

 $p(G)(h) \neq 0.$ 

Proof is by sophisticated induction.

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for all v and *φ*.

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- Correlation decay method, for counting independent sets, matchings, graph coloring etc., is based on absence of phase transition, i.e., uniqueness of Gibss measure.
- The method presented here is also based on absence of phase transition, i.e., via the Lee-Yang theorem no complex zeros  $\Rightarrow$  no phase transition.

• Correlation decay method yields an FPTAS, but currently only seems to work for positive real numbers, i.e.,  $#$  weighted independent sets with weight  $\lambda > 0$ , partition function of symmetric matrices A with  $A_{i,j} > 0$ , the chromatic polynomial at positive integers.

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- The method presented here only seems to yield a QPTAS, but works for complex numbers: partition function of complex valued symmetric matrices/edge-coloring models, the Tutte/chromatic polynomial at a complex number, etc.

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- The method presented here only seems to yield a QPTAS, but works for complex numbers: partition function of complex valued symmetric matrices/edge-coloring models, the Tutte/chromatic polynomial at a complex number, etc.
- Partition functions of complex edge-coloring models make sense!

Together with Alexander Barvinok and Viresh Patel:

- Try to push QPTAS to FPTAS: faster computation of derivatives indicates that this can be done in certain cases: partition functions of complex edge-coloring models/symmetric matrices and Tutte polynomial on bounded degree graphs!
- Try to find larger zero-free regions. Also other shapes than disks.

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