

The Classification Program for Counting Problems III

Planar Dichotomy Theorems

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- 1 Previously On ...
- 2 Tractable Cases
- 3 Hardness Proofs

- Counting Constraint Satisfaction Problems:

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 - ▶ V a set of variables and C a set of constraints.
 - ▶ C can be also viewed as hyperedges.

#CSP

- Counting Constraint Satisfaction Problems:

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- Name #CSP(\mathcal{F})

Instance A bipartite graph $G = (V, C, E)$ and a mapping $\pi : C \rightarrow \mathcal{F}$

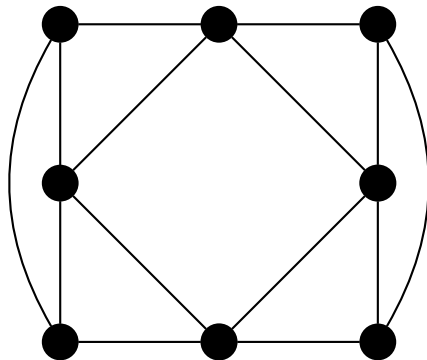
Output The quantity:

$$\sum_{\sigma: V \rightarrow \{0,1\}} \prod_{c \in C} f_c(\sigma|_{N(c)}),$$

where $N(c)$ are the neighbors of c and $f_c = \pi(c) \in \mathcal{F}$.

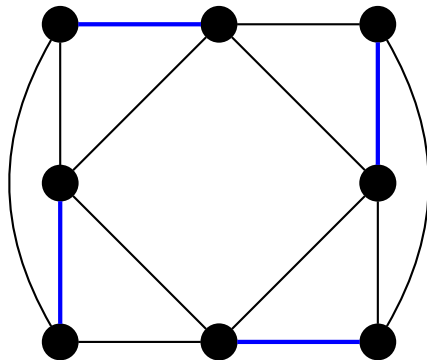
Counting Perfect Matchings

Perfect Matchings



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Holant Problems

- #PM is provably not expressible in vertex assignment models.
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- **Name** Holant(\mathcal{F})

Instance A graph $G = (V, E)$ and a mapping $\pi : V \rightarrow \mathcal{F}$

Output The quantity:

$$\sum_{\sigma: E \rightarrow \{0,1\}} \prod_{v \in V} f_v(\sigma|_{E(v)}),$$

where $E(v)$ are the incident edges of v and $f_v = \pi(v) \in \mathcal{F}$.

- More general than #CSP:

$$\#CSP(\mathcal{F}) \equiv_T \text{Holant}(\mathcal{EQ} \cup \mathcal{F}),$$

where $\mathcal{EQ} = \{=_1, =_2, =_3, \dots\}$ is the set of equalities of all arities.

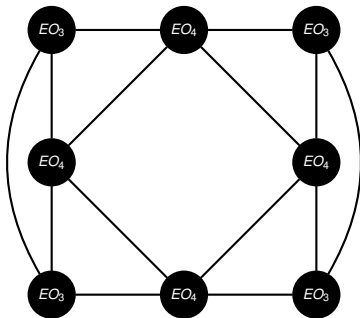
- Equivalent formulation: Tensor network contraction ...
- PI-Holant(\mathcal{F}) denotes the version where instances are all **planar**.

#PM as a Holant

- Put functions EXACTONE (EO) on nodes (edges are variables).

#PM as a Holant

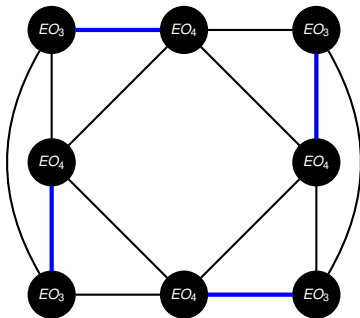
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#PM as a Holant

- Put functions EXACTONE (EO) on nodes (edges are variables).
- #PM is then the partition function:

$$\#PM = \sum_{\sigma: E \rightarrow \{0,1\}} \prod_{v \in V} \text{EO}_d(\sigma|_{E(v)}).$$



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- However, for planar graphs, there is a polynomial time algorithm [Kastelyn 61 & 67, Temperley and Fisher 61].
 - ▶ The FKT algorithm is via Pfaffian orientations of planar graphs.

Holographic Algorithms

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- **Matchgates**: functions expressible by perfect matchings (via planar gadgets).
- **Holographic Transformation**: a change of basis.

Holographic Transformation

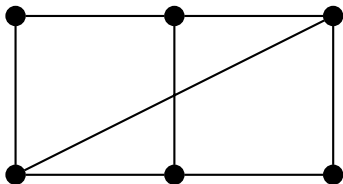
For a 2-by-2 nonsingular matrix T , two functions f and g of arities m and n respectively, Valiant's Holant theorem states

$$\text{Holant}(f \mid g) = \text{Holant}(fT^{\otimes m} \mid (T^{-1})^{\otimes n}g).$$

Note that $\text{Holant}(f) = \text{Holant}(f \mid =_2)$.

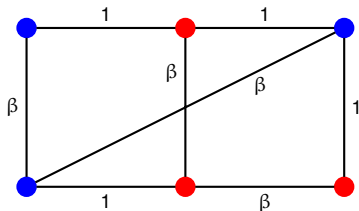
Ising Model

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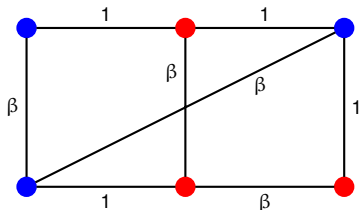
Configuration $\sigma : V \rightarrow \{0, 1\}$

$$w(\sigma) = \beta^4$$

$$\Pr(\sigma) \sim w(\sigma)$$

Ising Model

Edge interaction $\begin{bmatrix} \beta & 1 \\ 1 & \beta \end{bmatrix}$



Partition function (normalizing factor):

$$Z_G(\beta) = \sum_{\sigma: V \rightarrow \{0,1\}} w(\sigma)$$

where $w(\sigma) = \beta^{m(\sigma)}$, $m(\sigma)$ is the number of monochromatic edges under σ .

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- Ising is then

$$\text{Holant}(=_{1}, =_{2}, \dots, =_{d}, \dots \mid [\beta, 1, \beta])$$

Planar Ising is Tractable (Cont.)

- Do a transformation of $H = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$. (Notice that $H = H^{-1}$.)

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- ▶ On the edge side:

$$\begin{aligned} H^{\otimes 2} \left((\beta - 1) \begin{bmatrix} 1 \\ 0 \end{bmatrix}^{\otimes 2} + (\beta - 1) \begin{bmatrix} 0 \\ 1 \end{bmatrix}^{\otimes 2} + \begin{bmatrix} 1 \\ 1 \end{bmatrix}^{\otimes 2} \right) &= [\beta - 1, 0, \beta - 1] + [2, 0, 0] \\ &= [\beta + 1, 0, \beta - 1] \end{aligned}$$

Both of the two functions above are matchgates.

Complexity Classifications

Counting problems with local constraints are usually classified into:

1. **P**-time solvable over general graphs;
2. **#P**-hard over general graphs but **P**-time solvable over planar graphs;
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Category (2) is always captured by holographic algorithms with matchgates.

Examples include:

- Tutte polynomials [Vertigan 91], [Vertigan 05].
- 2-Spin systems [Kowalczyk 10], [Cai, Kowalczyk, Williams 12].
- Boolean #CSP [Cai, Lu, Xia 10], [G. and Williams 13], [Cai, Fu 16].

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Category (3) is **not** captured by holographic algorithms with matchgates!

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Tractable Cases

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- Vanishing \mathcal{V} : always return 0.

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- However, such signatures are **not** symmetric. We need to introduce an operation of symmetrization.

Symmetrization

Let S_n be the symmetric group of degree n . Then for positive integers t and n with $t \leq n$ and unary signatures v, v_1, \dots, v_{n-t} , we define

$$\text{Sym}_n^t(v; v_1, \dots, v_{n-t}) = \sum_{\pi \in S_n} \bigotimes_{k=1}^n u_{\pi(k)},$$

where the ordered sequence

$$(u_1, u_2, \dots, u_n) = (\underbrace{v, \dots, v}_{t \text{ copies}}, v_1, \dots, v_{n-t}).$$

Examples

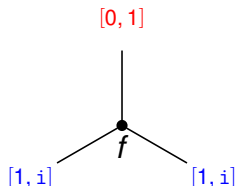
For example,

$$\begin{aligned}\text{Sym}_3^2([1, i]; [0, 1]) &= 2[0, 1] \otimes [1, i] \otimes [1, i] + 2[1, i] \otimes [0, 1] \otimes [1, i] + 2[1, i] \otimes [1, i] \otimes [0, 1] \\ &= 2[0, 1, 2i, -3].\end{aligned}$$

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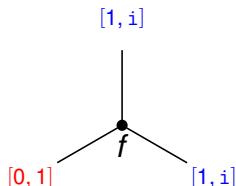
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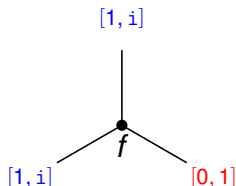
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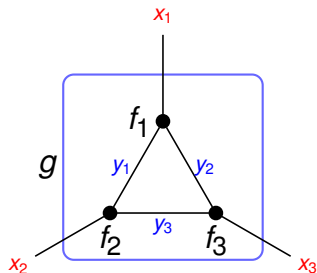
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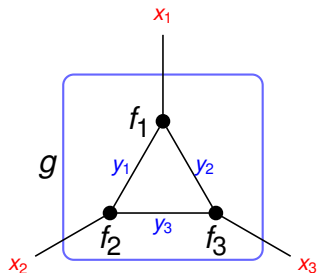


Gadget Construction



$$g(x_1, x_2, x_3) = \sum_{y_1, y_2, y_3} f_1(x_1, y_1, y_2) \cdot f_2(x_2, y_1, y_3) \cdot f_3(x_3, y_2, y_3)$$

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Maximal tractable cases should be closed under gadget construction.

All of \mathcal{A} , \mathcal{P} , \mathcal{M} , \mathcal{V} do.

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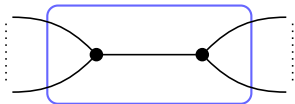
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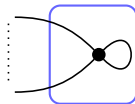
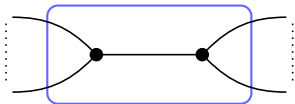
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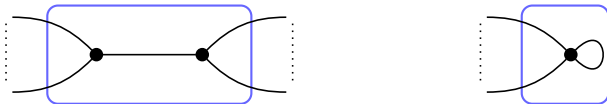
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Repeat above until one vertex is left.

The resulting nullary function is the Holant value.

\mathcal{F} -transformable

$$\text{Holant}(f) = \text{Holant}(f \mid_{=2})$$

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- If \mathcal{F} is tractable, then so is \mathcal{F} -transformable.
- \mathcal{V} is closed under such transformations, but \mathcal{A} , \mathcal{P} , \mathcal{M} are not.
- \mathcal{A} , \mathcal{P} , \mathcal{M} -transformables and \mathcal{V} are the main tractable classes for PI-Holant.

New Planar Tractable Case

Counting Orientations, (equivalent to normal Holant via $\begin{bmatrix} 1 & 1 \\ i & -i \end{bmatrix}$)

where two types of nodes are allowed:

1. Exactly one edge coming in;
2. All edges coming in or going out (either a sink or a source).

Moreover, we require that the **gcd** of the degrees of **type 2** nodes is at least **5**.

Then the problem is tractable for **planar** graphs.

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General Proof Strategy

- Prove the dichotomy for a **single** function first.
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- ▶ Base cases: arity-3 or 4.
- ▶ Arity-reduction.

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General Proof Strategy

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Induction on the arity.

- ▶ Base cases: arity-3 or 4.
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- Prove that different tractable cases cannot mix together.
 - We will show next that most arity-4 functions are **#P**-hard.

Signature Matrices

The **signature matrix** of a symmetric arity 4 signature $f = [f_0, f_1, f_2, f_3, f_4]$ is

$$M_f = \begin{bmatrix} f_0 & f_1 & f_1 & f_2 \\ f_1 & f_2 & f_2 & f_3 \\ f_1 & f_2 & f_2 & f_3 \\ f_2 & f_3 & f_3 & f_4 \end{bmatrix}.$$

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For asymmetric signatures,

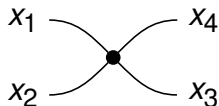
$$M_g = \begin{bmatrix} g^{0000} & g^{0010} & g^{0001} & g^{0011} \\ g^{0100} & g^{0110} & g^{0101} & g^{0111} \\ g^{1000} & g^{1010} & g^{1001} & g^{1011} \\ g^{1100} & g^{1110} & g^{1101} & g^{1111} \end{bmatrix},$$

rows indexed by $(x_1, x_2) \in \{0, 1\}^2$ and columns by $(x_4, x_3) \in \{0, 1\}^2$.

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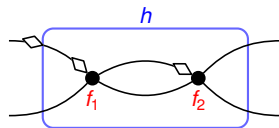
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Signature Matrices

We wrote the signature matrices in
this way so that

$$M_h = M_{f_1} M_{f_2}$$



Redundant signature matrices

- $\text{RM}_4(\mathbb{C})$: 4-by-4 **redundant** matrices

$$M_f = \begin{bmatrix} f_0 & f_1 & f_1 & f_2' \\ f_1' & f_2 & f_2 & f_3 \\ f_1' & f_2 & f_2 & f_3 \\ f_2'' & f_3' & f_3' & f_4 \end{bmatrix}$$

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This operation is a semi-group isomorphism between $\text{RM}_4(\mathbb{C})$ and $\mathbb{C}^{3 \times 3}$.

- If $M_h = M_{f_1} M_{f_2}$ then $\widetilde{M}_h = \widetilde{M}_{f_1} \widetilde{M}_{f_2}$.

Non-singular Compressed Matrix means Hardness

Lemma

Let f be an arity 4 signature with complex weights. If M_f is *redundant* and \widetilde{M}_f is *nonsingular*, then $\text{Pl-Holant}(f)$ is **#P-hard**.

Outline

We will show the lemma in 3 steps.

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The identity of $\text{RM}_4(\mathbb{C})$

The **identity** element of $\text{RM}_4(\mathbb{C})$ corresponds to an arity 4 signature id with

$$M_{id} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \frac{1}{2} & \frac{1}{2} & 0 \\ 0 & \frac{1}{2} & \frac{1}{2} & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix},$$

and

$$\widetilde{M}_{id} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}.$$

The identity is hard.

Recall that $\text{PI-Holant}([3, 0, 1, 0, 3])$ is equivalent to counting **Eulerian Orientations** in planar 4-regular graphs (via $\begin{bmatrix} 1 & 1 \\ i & -i \end{bmatrix}$), which is **#P-hard**.

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We will show next $\text{PI-Holant}([3, 0, 1, 0, 3]) \leq_T \text{PI-Holant}(id)$.

Approximating $[1, 0, \frac{1}{3}, 0, 1]$

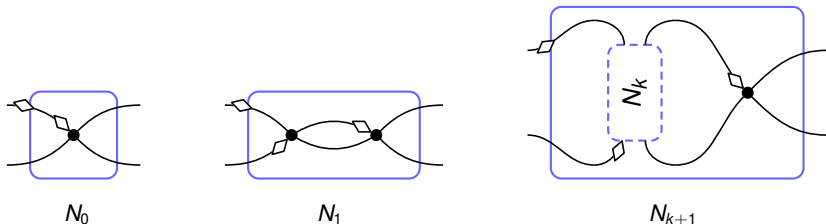
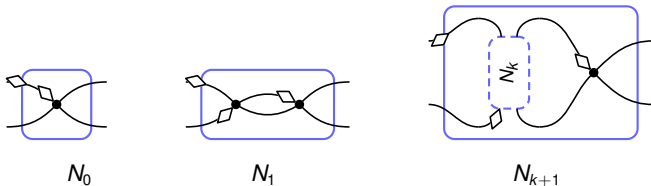


Figure: Recursive construction to approximate $[1, 0, \frac{1}{3}, 0, 1]$. Vertices are assigned *id*.

Approximating $[1, 0, \frac{1}{3}, 0, 1]$

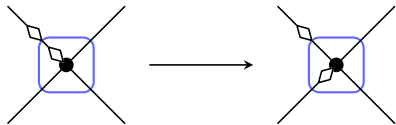


We claim that the signature matrix M_{N_k} of Gadget N_k is

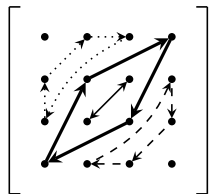
$$M_{N_k} = \begin{bmatrix} 1 & 0 & 0 & a_k \\ 0 & a_{k+1} & a_{k+1} & 0 \\ 0 & a_{k+1} & a_{k+1} & 0 \\ a_k & 0 & 0 & 1 \end{bmatrix},$$

where $a_k = \frac{1}{3} - \frac{1}{3} \left(-\frac{1}{2}\right)^k$.

Rotation of the Signature Matrix



(d) Counterclockwise Rotation



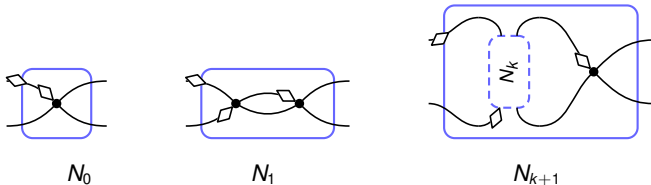
(e) Movement of Entries

Entries of Hamming weight 1 are in the dotted cycle.

Entries of Hamming weight 2 are in the two solid cycles.

Entries of Hamming weight 3 are in the dashed cycle.

Approximating $[1, 0, \frac{1}{3}, 0, 1]$



$$M_{N_{k+1}} = \begin{bmatrix} 1 & 0 & 0 & a_{k+1} \\ 0 & a_k & a_{k+1} & 0 \\ 0 & a_{k+1} & a_k & 0 \\ a_{k+1} & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1/2 & 1/2 & 0 \\ 0 & 1/2 & 1/2 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

It is easy to verify that $\frac{a_k + a_{k+1}}{2} = a_{k+2}$.

Approximating $[1, 0, \frac{1}{3}, 0, 1]$

- We can realize $M_{N_k} = \begin{bmatrix} 1 & 0 & 0 & a_k \\ 0 & a_{k+1} & a_{k+1} & 0 \\ 0 & a_{k+1} & a_{k+1} & 0 \\ a_k & 0 & 0 & 1 \end{bmatrix}$ where $a_k = \frac{1}{3} - \frac{1}{3} \left(-\frac{1}{2}\right)^k$,

and our target is $\begin{bmatrix} 1 & 0 & 0 & 1/3 \\ 0 & 1/3 & 1/3 & 0 \\ 0 & 1/3 & 1/3 & 0 \\ 1/3 & 0 & 0 & 1 \end{bmatrix}$.

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- It suffices to do $k = 4n$.

Back to the Lemma

Lemma

Let f be an arity 4 signature with complex weights. If M_f is *redundant* and \widetilde{M}_f is *nonsingular*, then we have

$$\text{Holant}(id) \leq_T \text{Holant}(f).$$

Therefore $\text{Holant}(f)$ is **#P-hard**.

We will show it by interpolation.

Sequential Construction

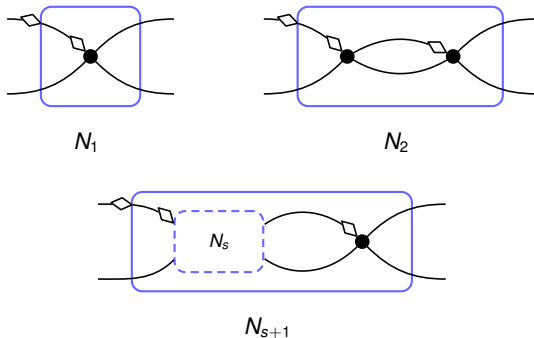


Figure: Recursive construction to interpolate *id*. The vertices are assigned f . $M_{N_s} = (M_f)^s$. Diamonds indicates the most significant bit and the bits are ordered counterclockwise.

Interpolation

Suppose that id appears n times in Ω .

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By the Jordan normal form of \widetilde{M}_f , there exists $T, \Lambda \in \mathbb{C}^{3 \times 3}$ such that

$$\widetilde{M}_f = T \Lambda T^{-1} = T \begin{bmatrix} \lambda_1 & b_1 & 0 \\ 0 & \lambda_2 & b_2 \\ 0 & 0 & \lambda_3 \end{bmatrix} T^{-1},$$

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where $b_1, b_2 \in \{0, 1\}$.

Here we will only deal with the case that $\lambda_1 = \lambda_2 = \lambda_3 \neq 0$ and

$$b_1 = b_2 = 1.$$

Sleight of Hand

We have

$$(\widetilde{M}_f)^s = T(\Lambda)^s T^{-1},$$

where

$$\Lambda = \begin{bmatrix} \lambda & 1 & 0 \\ 0 & \lambda & 1 \\ 0 & 0 & \lambda \end{bmatrix}.$$

Notice

$$\widetilde{M}_{id} = T \widetilde{M}_{id} T^{-1}.$$

We will consider new instances where **each** occurrence of *id* (or N_s) is replaced by **three** signatures whose compressed matrices are T , \widetilde{M}_{id} (or Λ^s), and T^{-1} respectively.

Stratification

We stratify all assignments to Λ^S according to:

- $(0, 0)$ or $(2, 2)$ i many times;
- $(1, 1)$ j many times;
- $(0, 1)$ k many times;
- $(1, 2)$ ℓ many times;
- $(0, 2)$ m many times.

Any other assignment contributes a factor 0.

In Ω only $(0, 0)$, $(1, 1)$ $(2, 2)$ contributes a 1.

Stratification

Let c_{ijklm} be the sum over all such assignments of the products of evaluations (including the contributions from T and T^{-1}) on Ω_s .

$$\text{Holant}_{\Omega} = \sum_{i+j=n} \frac{c_{ij000}}{2^j}.$$

The value of the Holant on Ω_s , for $s \geq 1$, is

$$\begin{aligned} \text{Holant}_{\Omega_s} &= \sum_{i+j+k+\ell+m=n} \lambda^{(i+j)s} (s\lambda^{s-1})^{k+\ell} (s(s-1)\lambda^{s-2})^m \left(\frac{c_{ijklm}}{2^{j+k+m}} \right) \\ &= \lambda^{ns} \sum_{i+j+k+\ell+m=n} s^{k+\ell+m} (s-1)^m \left(\frac{c_{ijklm}}{\lambda^{k+\ell+2m} 2^{j+k+m}} \right). \end{aligned}$$

Rank Deficiency

However, the linear system is **rank deficient**.

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Our system:

$$\text{Holant}_{\Omega_s} = \lambda^{ns} \sum_{i+j+k+l+m=n} s^{k+l+m} (s-1)^m \left(\frac{c_{ijklm}}{\lambda^{k+l+2m} 2^{j+k+m}} \right).$$

Rank Deficiency

We define new unknowns for any $q, m \geq 0$ and $q + m \leq n$,

$$x_{q,m} = \sum_{i+j=n-m-q, k+l=q} \left(\frac{c_{ijklm}}{\lambda^{k+l+2m} 2^{j+k+m}} \right)$$

The Holant of Ω , which equals to $\sum_{i+j=n} \frac{c_{ij000}}{2^j}$, now becomes $x_{0,0}$.

This new linear system is

$$\text{Holant}_{\Omega_s} = \lambda^{ns} \sum_{q+m \leq n} s^{q+m} (s-1)^m x_{q,m}.$$

Let $\alpha_{q,m} = s^{q+m} (s-1)^m$ be the coefficients.

Rank Deficiency

The new system is still **rank deficient**.

Observe that

$$s^{q+m}(s-1)^m = s^{q-1+m}(s-1)^m + s^{q-2+m+1}(s-1)^{m+1}.$$

Therefore

$$\alpha_{q,m}X_{q,m} = \alpha_{q-1,m}X_{q,m} + \alpha_{q-2,m+1}X_{q,m}.$$

More new unknowns

We recursively define new variables

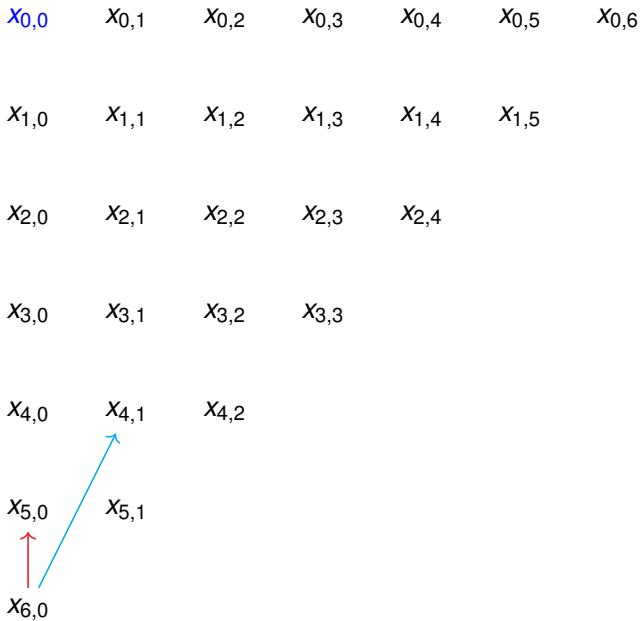
$$x_{q-1,m} \leftarrow x_{q,m} + x_{q-1,m}$$

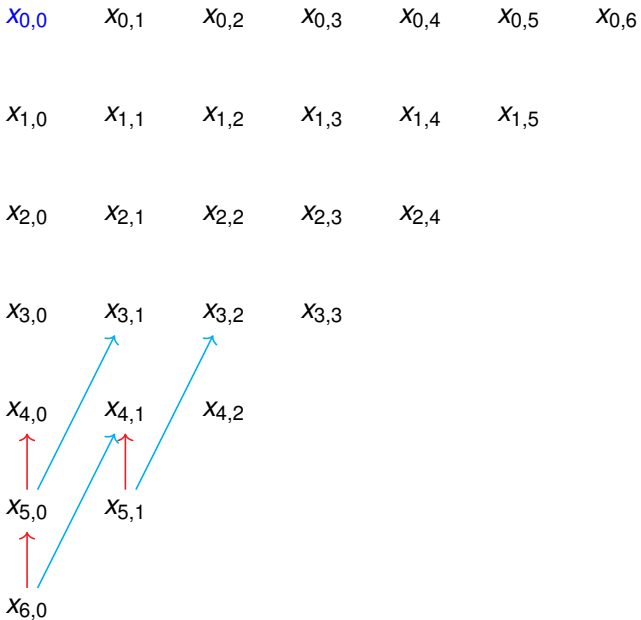
$$x_{q-2,m+1} \leftarrow x_{q,m} + x_{q-2,m+1}$$

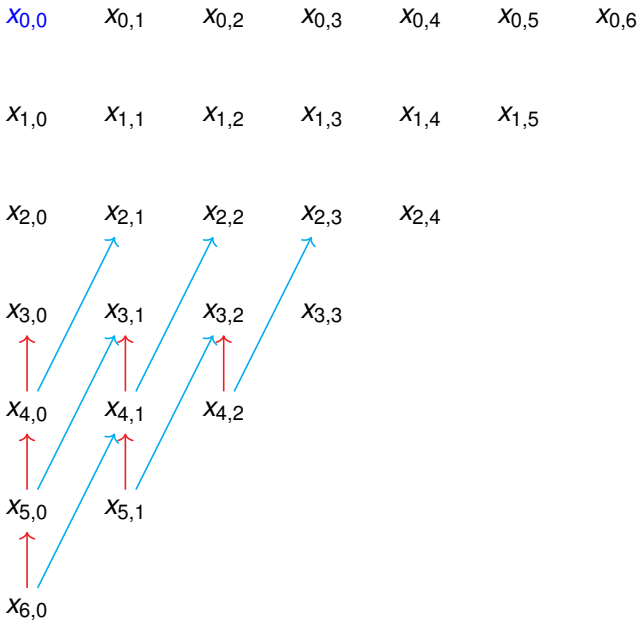
from $q = n$ down to 2.

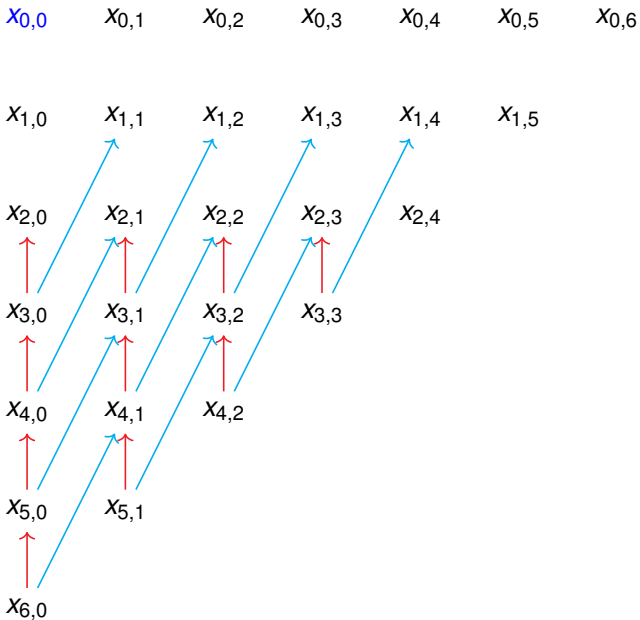
$$\begin{array}{ccccccc}
x_{0,0} & x_{0,1} & x_{0,2} & \cdots & x_{0,n-2} & x_{0,n-1} & x_{0,n} \\
x_{1,0} & x_{1,1} & x_{1,2} & \cdots & x_{1,n-2} & x_{1,n-1} & \\
x_{2,0} & x_{2,1} & x_{2,2} & \cdots & x_{2,n-2} & & \\
\vdots & \vdots & \vdots & & & & \\
x_{n-2,0} & x_{n-2,1} & x_{n-2,2} & & & & \\
x_{n-1,0} & x_{n-1,1} & & & & & \\
x_{n,0} & & & & & &
\end{array}$$

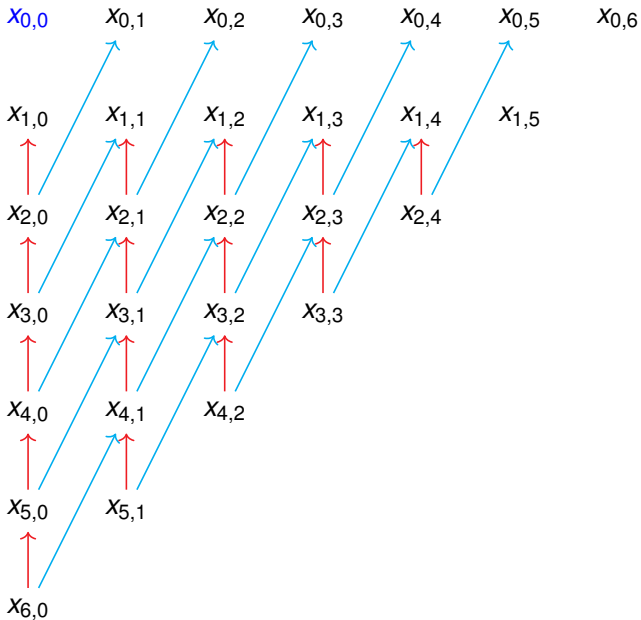
$x_{0,0}$	$x_{0,1}$	$x_{0,2}$	$x_{0,3}$	$x_{0,4}$	$x_{0,5}$	$x_{0,6}$
$x_{1,0}$	$x_{1,1}$	$x_{1,2}$	$x_{1,3}$	$x_{1,4}$	$x_{1,5}$	
$x_{2,0}$	$x_{2,1}$	$x_{2,2}$	$x_{2,3}$	$x_{2,4}$		
$x_{3,0}$	$x_{3,1}$	$x_{3,2}$	$x_{3,3}$			
$x_{4,0}$	$x_{4,1}$	$x_{4,2}$				
$x_{5,0}$	$x_{5,1}$					
$x_{6,0}$						











Concluding Remarks

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- Future directions:
 - ▶ H -minor free graphs.
 - ▶ Higher domains.
 - ▶ ...

Thank You!