

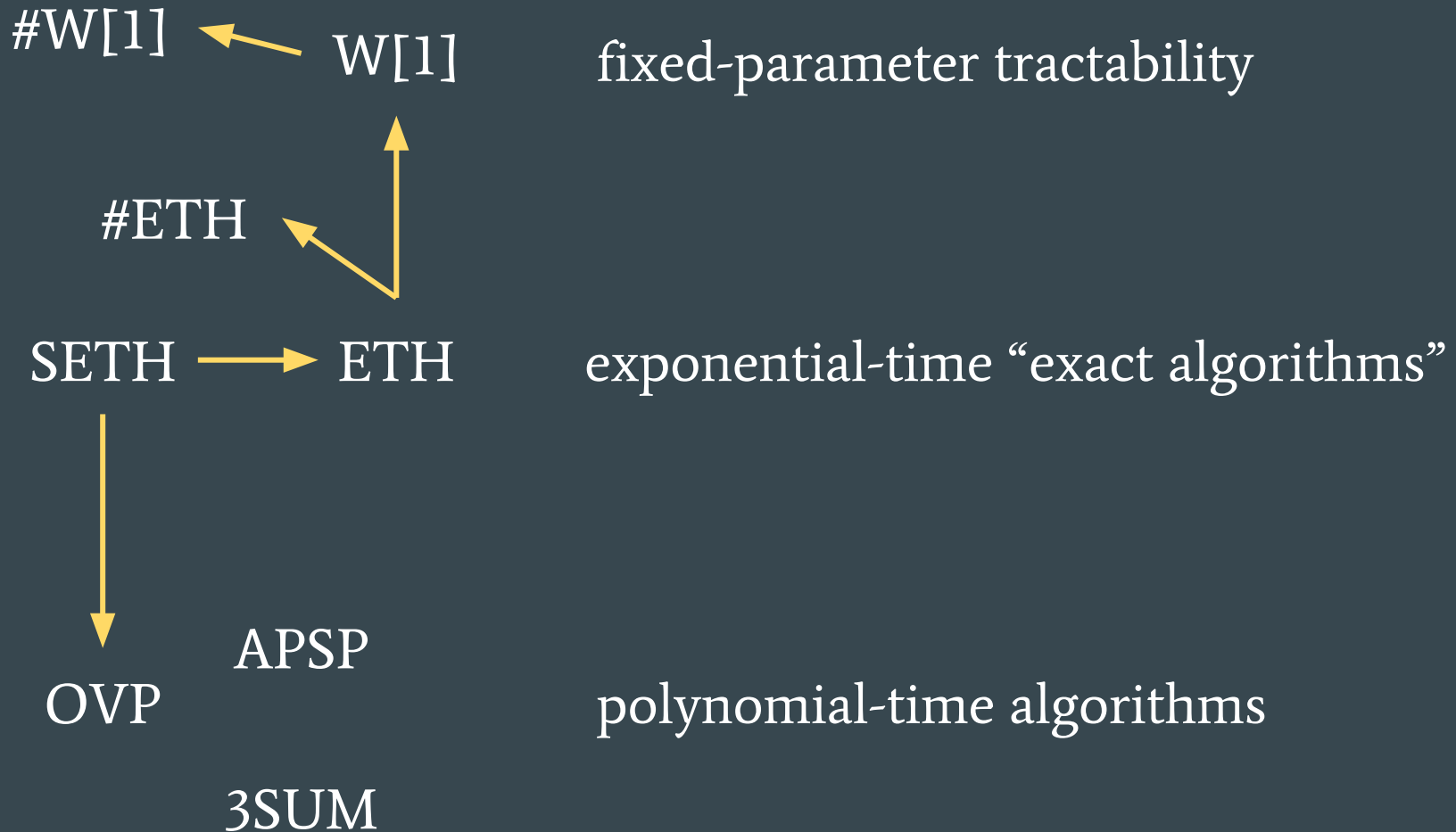
# Fine-Grained Counting Complexity I



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# 50 Shades of Fine-Grained



# Outline

- classical counting complexity
- fine-grained lens
- specific problems
- structural results
- open problems



# The classics

# Decision

vs.

# Counting

**SAT**

Is the CNF formula  
satisfiable?

**#SAT**

How many satisfying  
assignments are there?

**NP**

problems  $L : \{0,1\}^* \rightarrow \{0,1\}$

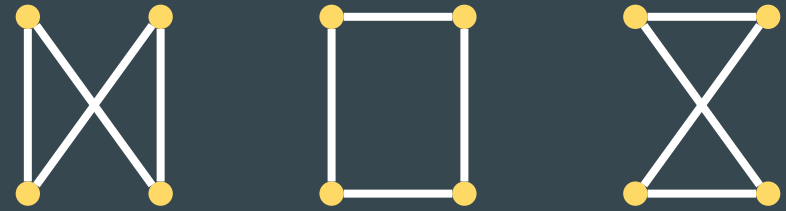
that reduce to **SAT**

**#P**

problems  $f : \{0,1\}^* \rightarrow \mathbf{N}$

that reduce to **#SAT**

# Example: Counting Hamiltonian Cycles reduces to #SAT



Three Hamiltonian Cycles



Circuit  $C$

Input variables  $x_e$

$C(x)$  accepts  
iff  $x$  is a length- $n$  cycle

Three satisfying assignments

# Parsimonious reductions and the counting version of NP

$R$  is a parsimonious reduction from  $f$  to  $g$  if

$$f(x) = g(R(x)) \text{ for all } x.$$

$$\#P = \left\{ \begin{array}{l} \text{problems } f \text{ that parsimoniously} \\ \text{poly-time reduce to } \#SAT \end{array} \right\}$$

Counting solutions is harder than finding one





# Some examples of counting problems

“Combinatorial” counting problems

$$f(G) = \# \text{ Hamiltonian Cycles}$$

#P-complete using  
existing hardness  
reduction

“Optimization” problems

$$f(G) = \text{size of the largest clique}$$

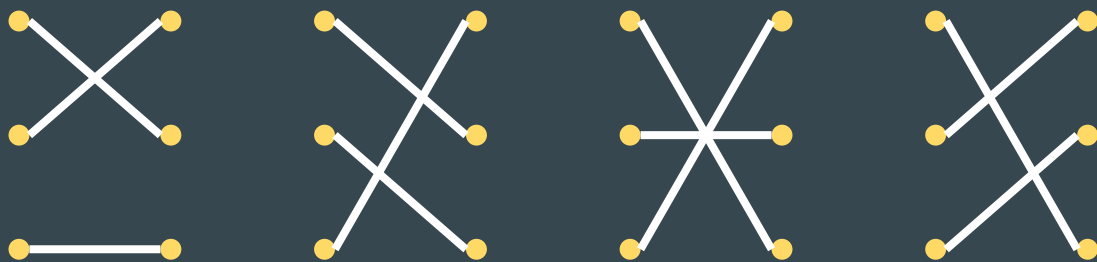
In  $P^{NP}$  and probably  
not #P-hard

“Algebraic” problems

$$f(\text{matrix } A) = \text{value of the determinant}$$

in poly-time

# Count Perfect Matchings in Bipartite Graphs



# Perfect Matchings

$$= \text{per}(A)$$

$$= \sum_{\text{permutation } \pi} \prod_{i \in \{1..d\}} A_{i \pi(i)}$$

$d \times d$  matrix  $A$

$A_{ij} = 1$  iff  $\{i, j\}$  is an edge

# Computing the permanent

Evaluation time  
 $\sim d! \sim 2^{d \log d}$

$$\text{per}(d \times d \text{ matrix } A) = \sum_{\text{permutation } \pi} A_{1 \pi(1)} \cdots A_{d \pi(d)}$$

$$= \sum_{\text{all functions } f: \{1..d\} \rightarrow \{1..d\}} A_{1 f(1)} \cdots A_{d f(d)}$$

$$- \sum_j \sum_{f: \{1..d\} \rightarrow \{1..d\} \setminus \{j\}} A_{1 f(1)} \cdots A_{d f(d)}$$

$$+ \sum_{j,k} \sum_{f: \{1..d\} \rightarrow \{1..d\} \setminus \{j,k\}} A_{1 f(1)} \cdots A_{d f(d)}$$

...

$$\prod_{i \in \{1..d\}} \sum_{j \in \{1..d\}} A_{ij}$$

Evaluation time  
 $O(d2^d)$

## Ryser's Inclusion-Exclusion Formula (1963)

$$= \sum_{S \subseteq \{1..d\}} (-1)^{|S|} \prod_{i \in \{1..d\}} \sum_{j \in \{1..d\} \setminus S} A_{ij}$$

# Permanent and Determinant

$$\text{per}(\mathbf{A}) = \sum_{\pi} \prod_i \mathbf{A}_{i \pi(i)}$$

$$\text{det}(\mathbf{A}) = \sum_{\pi} (-1)^{\text{sgn}(\pi)} \prod_i \mathbf{A}_{i \pi(i)}$$

$$\text{det}(\mathbf{A}) \equiv \text{per}(\mathbf{A}) \pmod{2}$$

# Permanent: Probably not parsimoniously hard

If there was a **parsimonious** reduction  $R$  from  $\#SAT$  to  $per$ :

- $\#SAT(F) \equiv per(R(F)) \equiv det(R(F)) \pmod{2}$
- Distinguish  $\#SAT(F) = 1$  from  $= 0$
- $RP = NP$  [Valiant-Vazirani isolation lemma]

# Polynomial-time oracle reductions from $f$ to $g$

$R$  is a Turing machine with oracle access to  $g$

such that  $f(x) = R^g(x)$  holds for all  $x$

**Theorem [Valiant 79].**

Poly-time oracle reduction from #SAT to Permanent.

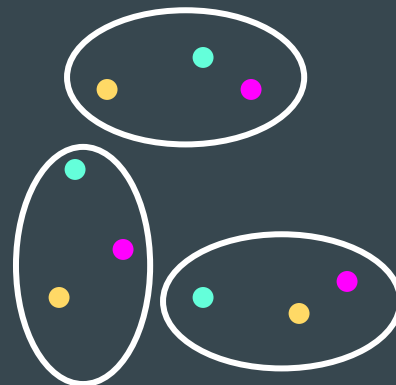
→ If Permanent is poly-time, then  $PH=P$

# Proof that the Permanent is Hard I

[D and Marx 15+] Reduction from # 3-Dimensional Matching



- Sets of size 3
- 3 colors
- Every set is multicolored



3D-matching =  $n/3$  disjoint sets

**Fact:** The known reduction from 3-Sat to 3-Dimensional Matching is parsimonious.

# Proof that the Permanent is Hard II

Compute: # 3-Dimensional Matching ( $n$  elements,  $m$  sets)

Oracle: # Size- $n$  Matchings in  $n + 3m$  bipartite graphs.



Set Gadget

Higher moduli  
+ Chinese Remainder Theorem

→ Recover exact number

“S  
2 Matchings of this type

“selected”  
6 Matchings of this type

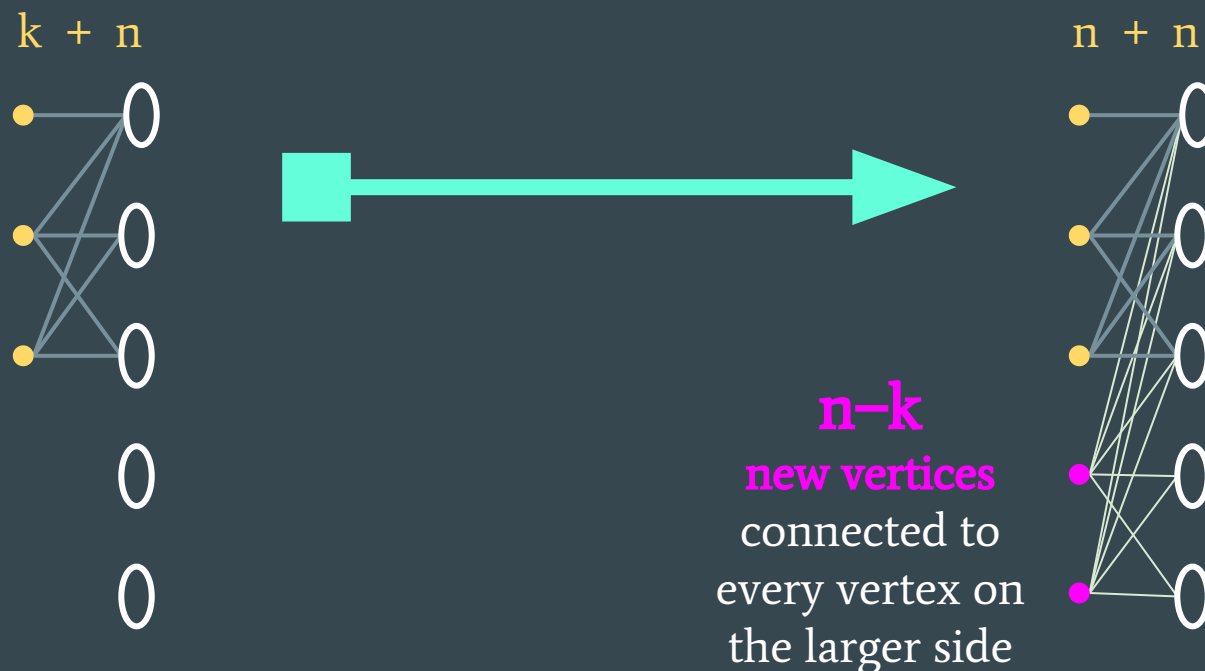
$$\# \text{ Size-}n \text{ Matchings} \equiv 2 \times \#3\text{DM} \pmod{3}$$



# Proof that the Permanent is Hard III

Compute: #  $k$ -Matchings in a  $k+n$  bipartite graph

Oracle: Permanent = # Perfect Matchings in  $n+n$  bipartite graph



$$(n-k)! \times \# k\text{-Matchings}(G) = \# \text{Perfect Matchings}(G')$$

# Proof that the Permanent is Hard, Summary

# 3-CNF Sat



# 3-dimensional matchings mod 3

# 5-dimensional matchings mod 5

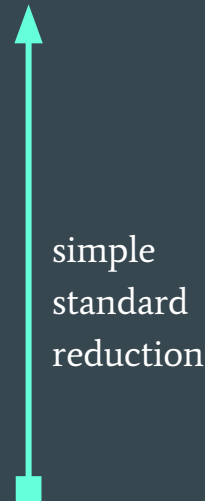
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# p-dimensional matchings mod p



# k-matchings in k+n graph mod p

Permanent



# Fine-Grained Counting Complexity



# Counting Satisfying Assignments of CNFs

Theorem [Chan and Williams 15]

Deterministically compute #SAT for a CNF formula  $F$   
in time  $2^n (1 - \text{savings})$

- $F$  is a  $k$ -CNF  $\rightarrow$  savings  $\sim 1 / k$
- $F$  has  $cn$  clauses  $\rightarrow$  savings  $\sim 1 / \log c$

# Counting Exponential Time Hypotheses

## #ETH

#SAT for  $k$ -CNFs does not have  $\exp(o(n))$  time algorithm

Sparsification Lemma [Impagliazzo Paturi Zane 01; Calabro Impagliazzo Paturi 06]

Can assume  $m \sim k^k n$

## #SETH

#SAT for CNFs does not have  $1.999^n$  time algorithm

# Fine-Grained Complexity of the Permanent

**Theorem** [Curticapean 15; D Husfeldt Marx Taslaman Wahlén 10]

If  $\text{per}(d \times d \text{ matrix } A)$  can be computed in  $\exp(o(d))$ ,  
then #ETH is false

**Theorem** [Servedio and Wan 05]

If  $A$  has  $cn$  nonzero entries,  
 $\text{per}(A)$  can be computed in time  $(2-\varepsilon)^d$  where  $\varepsilon(c) < 1$

**PETH** (Permanent Exponential Time Hypothesis)

$\text{per}(A)$  cannot be computed in time  $1.999^d$

# Counting Solutions to 2-CNF formulas

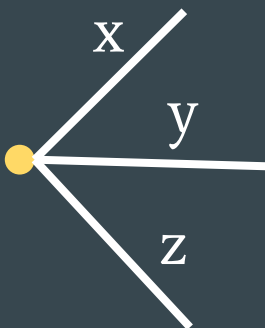
#SAT for 3-CNFs



#SAT for 3-CNFs  
each variable appears  $O(1)$  times



# All Matchings  
in constant degree graph



## Theorem

#SAT for 2-CNFs is #ETH-hard

#SAT for 2-CNFs  
each variable appears  $O(1)$  times

$$(\neg x \vee \neg y)$$

$$(\neg x \vee \neg z)$$

$$(\neg y \vee \neg z)$$

# Results for Various Counting Problems



# Count Perfect Matchings in General Graphs

$\text{per}( (n/2) \times (n/2) \text{ matrix} )$

= # Perfect Matchings of bipartite graph with  $n/2 + n/2$  vertices

→  $2^{n/2}$  algorithm

**Theorem [Björklund 11].**

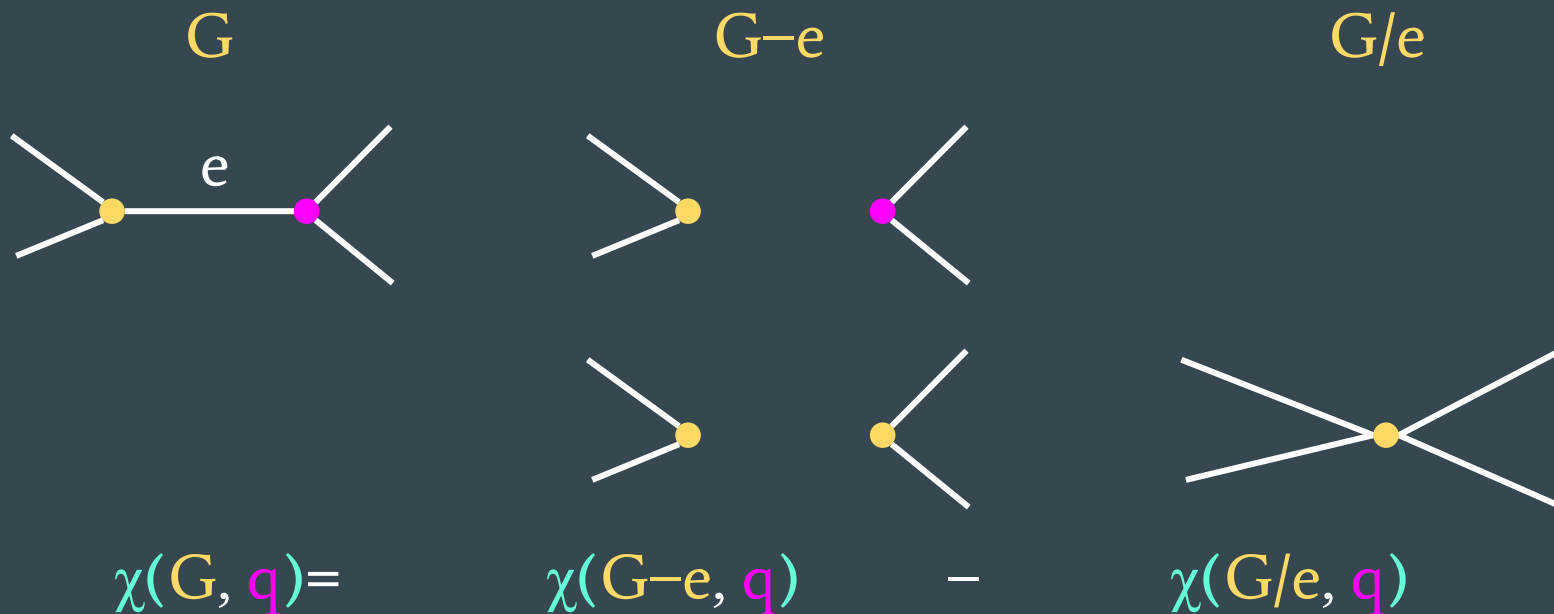
Count perfect matchings in general graphs in time  $2^{n/2}$ .

# Proper q-colorings



# Chromatic polynomial & Deletion-Contraction

$\chi(G, q) = \#$  proper  $q$ -colorings of  $G$



$\chi(k\text{-independent set}, q) = q^k$

$\rightarrow \chi(G, q)$  is a degree- $n$  polynomial in  $q$ .

# Compute # q-Colorings

The deletion-contraction algorithm takes time  $2^m$ .

**Theorem** [Björklund Husfeldt Koivisto 09]

Compute the number of q-colorings in time  $2^n$ .

**Theorem** [Impagliazzo Paturi Zane 01]

ETH  $\rightarrow$  no  $2^{o(n)}$  algorithm for q-coloring.

# The Tutte Polynomial

$$T(\mathbf{G}, \mathbf{x}, \mathbf{y}) = \sum_{A \subseteq E} (\mathbf{x}-1)^{k(A)-k(\mathbf{G})} (\mathbf{y}-1)^{k(A)+|A|-|V|}$$

Generalizes

- chromatic polynomial  $\chi(\mathbf{G}, \mathbf{q}) = (-1)^{n-k(\mathbf{G})} \mathbf{q}^{k(\mathbf{G})} T(\mathbf{G}, \mathbf{1}-\mathbf{q}, \mathbf{0})$
- Ising model,  $\mathbf{q}$ -state Potts model
- many combinatorial problems

# Computing the Tutte polynomial

The trivial algorithm runs in time  $2^m$

**Theorem** [Björklund Husfeldt Kaski Koivisto 08]

It can be computed in time  $2^n$

**Theorem** [Curticapean 15; D Husfeldt Marx Taslamán Wahlén 10;

Jaeger Vertigan Welsh 1990]

#ETH  $\rightarrow$  no  $2^{o(m)}$  algorithm

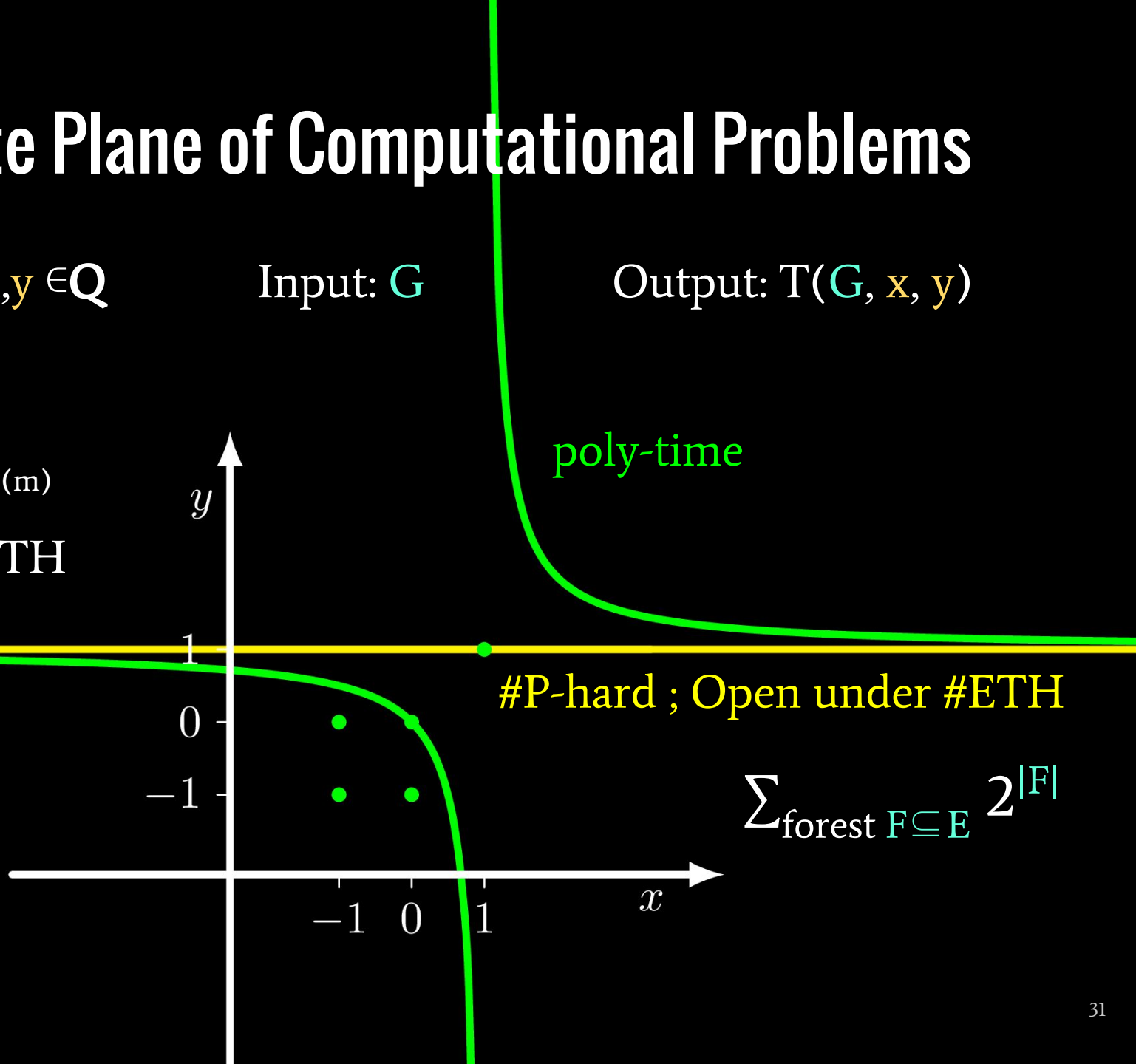
# The Tutte Plane of Computational Problems

Fix  $x, y \in \mathbb{Q}$

Input:  $G$

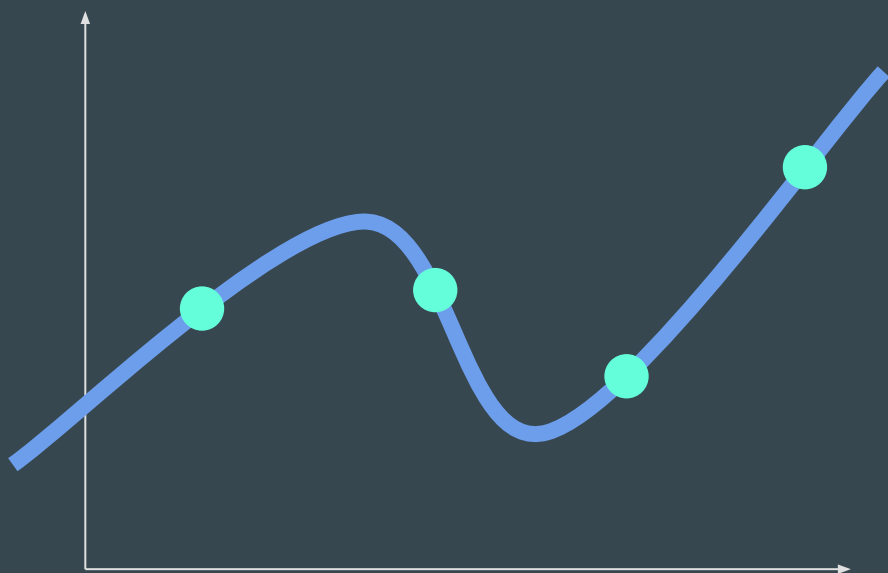
Output:  $T(G, x, y)$

Black:  
not in  $2^{o(m)}$   
under #ETH



# Polynomial Interpolation

→ compute  $p$  in poly-time from samples



polynomial  $p$   
degree  $d$

$d + 1$  samples  
(  $a, p(a)$  )

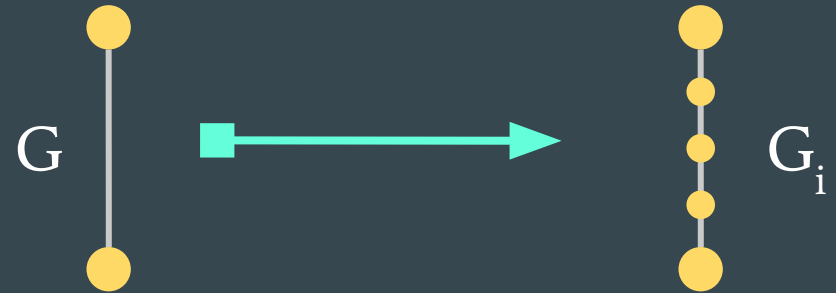


# Interpolation in Counting Complexity

[seriously, like, every paper in the area]

Only rules out  $2^{o(m/\log m)}$   
time algorithms under  
#ETH

$$T(G, z_i) = T(G_i, z)$$

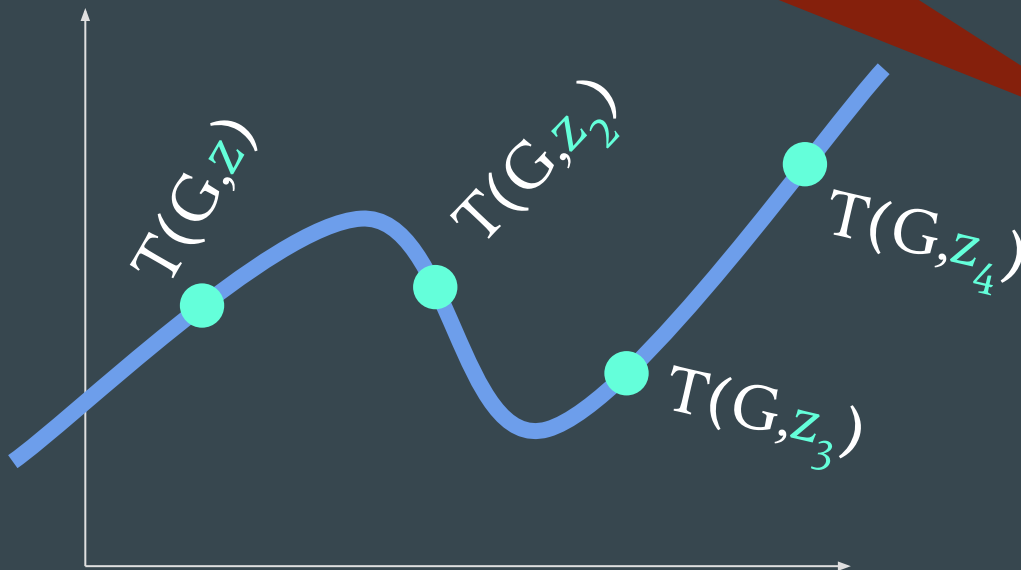


Need  **$m+1$  samples**

→  $m+1$  different gadgets

→  $m(G_i) \sim m \log m$

**Tutte polynomial  $T(G, z)$**   
degree  $m$



# Block interpolation [Curticapean 15]

$$T(G, z) = \sum_{A \subseteq E} q^{k(A)} z^{|A|}$$

Can rule out  $2^{o(m)}$  time algorithms under #ETH

Partition edges  $E$  into  $n/r$  blocks of size  $r$

$$T(G, z_1, \dots, z_{n/r}) = \sum_{A \subseteq E} q^{k(A)} z_1^{|A(1)|} \dots z_{n/r}^{|A(n/r)|}$$

→ **Multivariate** interpolation

$\sim r^{n/r} = \exp(\varepsilon n)$  samples

$r+1$  distinct gadgets per variable

# Approximate Counting



(German idiom)

# Approximate Counting

[Jerrum Sinclair Vigoda 04]

$\text{poly}(n/\epsilon)$ -time  $(1+\epsilon)$ -approximation (FPRAS) for Permanent

[Stockmeyer 1985]

FPRAS for # Sat when given access to an NP-oracle

[Traxler 14]

If CNF-Sat is in  $1.99^n$  time,

we can  $(1+1.1^{-n})$ -approximate # CNF-Sat in time  $1.99001^n$

# Open Problems

# Dichotomy theorems

Constraint Satisfaction Problems (CSPs)

$$R_1(x_1, y_1, z_1) \wedge R_1(x_2, y_1, z_3) \wedge R_2(x_2, y_2, z_1) \wedge \dots$$

**Theorem** [Bulatov 08, Dyer Richerby 10]

Dichotomy for #CSP depending on  $\{R_1, R_2, \dots\}$  :

It's either in **P** or **#P**-complete

Is there a Dichotomy under #ETH ?

- Weighted #CSP [Cai, Chen, Lu 11]
- Planar Holant problems [Cai, Fu, Guo, Williams 15]

# Is Counting really harder than Decision?

If **SETH** is true,

- **CNF-SAT** takes time  $2^n$
- **# CNF-SAT** takes time  $2^n$
- **QBF-SAT** takes time  $2^n$

In applications: **CNF-SAT** **much** easier than **QBF-SAT**.

Is there a tight reduction from **QBF-SAT** to **# CNF-SAT** ?

# Summary

- counting is hard:  $\text{PH} \subseteq \text{P}^{\#\text{P}}$
- is computing  $\sum_{\text{forest } F \subseteq E} 2^{|F|}$  hard under  $\text{ETH}$  or  $\#\text{ETH}$  ?
- is the **permanent** hard under  $\text{SETH}$  ?
- which problems are hard under  $\text{PETH}$  ?
- fine-grained inapproximability ?
- is fine-grained counting really harder than decision?  
can we tightly reduce **QBF-SAT** to **# CNF-SAT** ?