# Deletion codes in the high-noise and high-rate regimes

Venkatesan Guruswami

Carol Wang

Carnegie Mellon University

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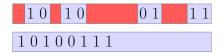
#### The deletion model

Erasures:

#### ? 1 0 ? 1 0 ? ? ? ? ? 0 1 ? ? 1 1

Symbols are lost; receiver sees "?".

Deletions:



Symbols are lost; receiver sees *nothing* (gets a subsequence of message).

#### The deletion model cont.

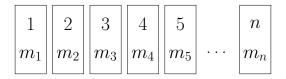
Some assumptions:

- No errors or insertions
- Receiver knows block length
- Our results will be for adversarial deletions

Why deletions?

- Natural model for asynchronous channels
- Can think of dropped packets
- Nice combinatorial questions

#### Deletions are easy!



Reduces to erasures (easier), but alphabet is  $\Omega(n)$ .

#### Question

What can we do over constant alphabets?

#### **Previous work**

- Lots of work on constant *number* of deletions (we're interested in constant *fraction*).
- Random deletions: for deletion probability *p*, capacity is at least (1 - *p*)/9 [Mitzenmacher and Drinea].
- Random deletions: for p going to 0, capacity is  $\approx 1 h(p)$  [Kalai, Mitzenmacher, Sudan].
- Adversarial deletions: explicit good binary codes correcting constant fraction of deletions [Schulman and Zuckerman].

#### What do we study?

- Goal: Understand tradeoff between redundancy (rate) and correction capability.
- For fixed deletion fraction *p*, what's the best rate we can get?
- Difficult even for *random* deletions.

We focus on coding for the two extremes, *low* and *high* deletion fractions.

#### What's possible?

Greedy construction gives:

- (High noise) There exist codes correcting a 1 ε deletion fraction with rate Ω(ε) and alphabet size O(1/ε<sup>3</sup>).
- (Low noise) There exist binary codes correcting an ε deletion fraction with rate ≈ 1 − 2h(ε).

For high noise, large alphabet is *necessary*: With > 1/2 deletion fraction on a binary codeword, can delete all 1's or all 0's.

#### Our results

#### Theorem (High noise)

There is an explicit code which can correct a  $1 - \epsilon$  fraction of deletions with rate  $\epsilon^2$  and alphabet size  $1/\epsilon^4$ .

(Existential: rate  $\epsilon$ , alphabet  $1/\epsilon^3$ .)

#### Theorem (High rate)

There is an explicit code which can correct a  $\epsilon$  fraction of deletions with rate  $\sim 1 - \sqrt{\epsilon}$  and alphabet size 2.

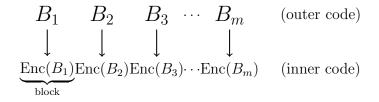
(Existential:  $\sim 1 - \epsilon$  rate.)

#### Idea: concatenation

We know two kinds of deletion codes:

- Good explicit codes for large alphabets (headers)
- Good non-explicit codes for small alphabets (brute-force)

Put them together!



#### Concatenation cont.

How to decode a concatenated code?

Enc(
$$B$$
 nc( $B_2$ )E  $\cdots$  E c( $B_m$ )  
 $\downarrow$  deletions  
Enc( $B$ nc( $B_2$ )E)...Ec( $B_m$ )

If we knew where blocks were, could decode.

Challenge: How to find blocks?

This talk: Different schemes for locating blocks.

#### High deletions

#### Theorem

There is an explicit code which can correct a  $1 - \epsilon$  fraction of deletions with rate poly( $\epsilon$ ) and alphabet size poly( $1/\epsilon$ ).

Initial code: Concatenate a Reed-Solomon code (with headers) and a small-alphabet code against a  $1 - \epsilon/2$  deletion fraction.

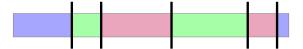
Need to modify the code to help locate blocks.

#### High deletions cont.

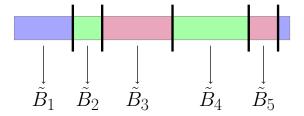
Idea: add constant-sized labels.

 $\operatorname{Enc}(B_1)\operatorname{Enc}(B_2)\operatorname{Enc}(B_3)\operatorname{Enc}(B_4)\operatorname{Enc}(B_5)\operatorname{Enc}(B_6)\cdots$ 

Now receiver can use colors (labels) to guess and decode blocks.



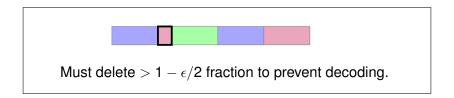
## Algorithm

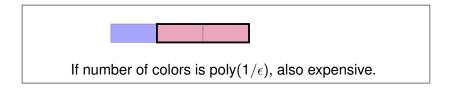


- Decode if "many" windows are correct
- Need to bound number of bad windows

## Analysis

Idea: with 1 –  $\epsilon$  fraction of deletions, adversary can't affect too many blocks.





## High-rate binary codes

#### Theorem

There is an explicit binary code of rate  $1 - \epsilon$  which can correct a poly( $\epsilon$ ) fraction of deletions.

Initial code: High-rate Reed-Solomon (with headers) concatenated with inner binary code for  $\sim \sqrt{\epsilon}$  deletions.

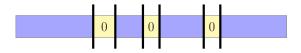
Fact: can choose inner code to be "dense": long substrings have many 1's.

#### High-rate cont.

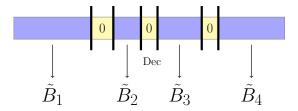
Idea: use "buffers" of 0's to separate blocks.



Dense inner code means decoder can look for long runs of 0's.



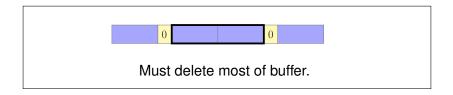
## Algorithm

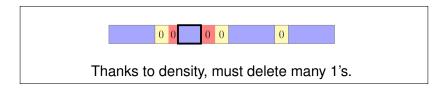


- Decode if "many" windows are correct
- Need to bound number of bad windows

## Analysis

Idea: with small fraction of deletions, adversary can't affect too many blocks.





#### Conclusion and open questions

Constructed good deletion codes for high noise and high rate, but there's still a lot we don't know.

- For binary codes, what is the highest fraction we can correct with constant rate?
- How about for fixed alphabet size k?
- Are there efficient codes of rate 1 p γ correcting a p fraction of deletions with alphabet only depending on γ?

## Thanks! Questions?