

Siegel's Theorem, Edge Coloring, and a Holant Dichotomy

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Carl L. Siegel

Theorem (Siegel's Theorem)

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Theorem (Faltings' Theorem–Mordell Conjecture)

Any smooth algebraic curve of genus $g > 1$ defined by a polynomial $f(x, y) \in \mathbb{Z}[x, y]$ has only finitely many *rational* solutions.

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Pell's Equation (genus 0)

$$x^2 - 61y^2 = 1$$

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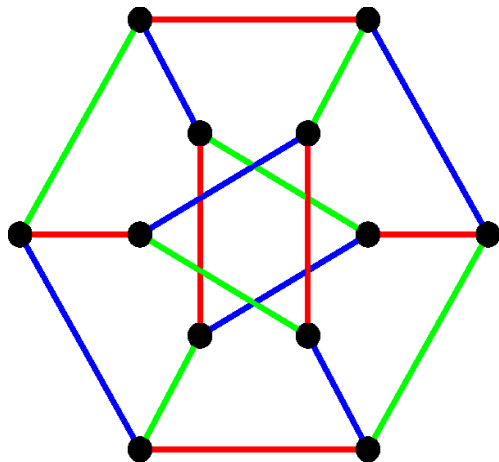
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Next smallest solution:

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But this reduction is **not** parsimonious (see **Welsh**).

Edge Coloring–Counting Problem

Problem $\#\kappa$ -EDGECOLORING:

Input: A graph G .

Output: The **number** of valid edge colorings of G , using κ colors.

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This is proved in the framework of complexity dichotomy theorems.

Three Frameworks for Counting Problems

- 1 Graph Homomorphisms
- 2 Constraint Satisfaction Problems (CSP)
- 3 Holant Problems

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A **signature grid** $\Omega = (G, \mathcal{F}, \pi)$ consists of a graph $G = (V, E)$, where π assigns a function $f_v \in \mathcal{F}$ to each $v \in V$.

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Over the Boolean domain $\{0, 1\}$, the **Holant problem** on instance Ω is to evaluate

$$\text{Holant}_{\Omega} = \sum_{\sigma} \prod_{v \in V} f_v(\sigma |_{E(v)}),$$

a sum over all edge assignments $\sigma : E \rightarrow \{0, 1\}$.

Constraint Functions

A function f_v can be represented by listing its values in lexicographical order as in a truth table, which is a vector in \mathbb{C}^{2^n} , or as a tensor in $(\mathbb{C}^2)^{\otimes n}$.

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E.g. The n -ary EQUALITY function is

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Under the Holographic Transformation by $H = \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$,

$$H^{\otimes n} \left\{ \begin{bmatrix} 1 \\ 0 \end{bmatrix}^{\otimes n} + \begin{bmatrix} 0 \\ 1 \end{bmatrix}^{\otimes n} \right\} = \begin{bmatrix} 1 \\ 1 \end{bmatrix}^{\otimes n} + \begin{bmatrix} 1 \\ -1 \end{bmatrix}^{\otimes n}$$

is a (constant multiple of) the **Parity** function.

Equivalence with $\mathfrak{sl}(2; \mathbb{C})$ representation

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This gives a representation

$$\varphi_n : (f_0, f_1, \dots, f_n) \mapsto (f'_0, f'_1, \dots, f'_n).$$

Let $p_n(x, y) = \sum_{i=0}^n a_i \binom{n}{i} x^{n-i} y^i$. Then

$$q_n(x, y) = p_n((x, y)U) = \sum_{i=0}^n a'_i \binom{n}{i} x^{n-i} y^i.$$

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Theorem

The two representations φ_n and ψ_n are the same.

Consider a 3-regular graph G .

Let AD_3 denote the following **local constraint** function

$$AD_3(x, y, z) = \begin{cases} 1 & \text{if } x, y, z \in [\kappa] \text{ are all distinct} \\ 0 & \text{otherwise} \end{cases}$$

κ -EdgeColoring as a Holant Problem

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Now place AD_3 at each vertex v , with incident edges x, y, z .

Then we evaluate the **sum of product**

$$\text{Holant}(G; AD_3) = \sum_{\sigma: E(G) \rightarrow [\kappa]} \prod_{v \in V(G)} AD_3(\sigma|_{E(v)}).$$

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Clearly $\text{Holant}(G; AD_3)$ computes $\# \kappa$ -EDGE COLORING.

In general, we consider all **local constraint** functions

$$f(x, y, z) = \begin{cases} a & \text{if } x = y = z \in [\kappa] \\ b & \text{if } |\{x, y, z\}| = 2 \\ c & \text{if } |\{x, y, z\}| = 3 \end{cases}$$

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Thus $\text{AD}_3 = \langle 0, 0, 1 \rangle$.

L. Lovász:

Operations with structures, Acta Math. Hung. 18 (1967), 321-328.

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Let $\mathbf{A} = (A_{i,j}) \in \mathbb{C}^{\kappa \times \kappa}$ be a symmetric complex matrix.

The **graph homomorphism problem** is:

INPUT: An undirected graph $G = (V, E)$.

OUTPUT:

$$Z_{\mathbf{A}}(G) = \sum_{\xi: V \rightarrow [\kappa]} \prod_{(u,v) \in E} A_{\xi(u), \xi(v)}.$$

Let

$$\mathbf{A} = \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix}$$

then $Z_{\mathbf{A}}(G)$ counts the number of VERTEX COVERS in G .

Examples of Graph Homomorphism

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then $Z_{\mathbf{A}}(G)$ counts the number of **vertex** κ -COLORINGS in G .

Theorem (C., Xi Chen and Pinyan Lu)

There is a complexity dichotomy for $Z_{\mathbf{A}}(\cdot)$:

For any symmetric complex valued matrix $\mathbf{A} \in \mathbb{C}^{\kappa \times \kappa}$, the problem of computing $Z_{\mathbf{A}}(G)$, for any input G , is either in P or $\#P$ -hard.

Given \mathbf{A} , whether $Z_{\mathbf{A}}(\cdot)$ is in P or $\#P$ -hard can be decided in polynomial time in the size of \mathbf{A} .

SIAM J. Comput. 42(3): 924-1029 (2013) (106 pages)

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Further generalized to **all** counting CSP.

Theorem (C., Xi Chen)

Every finite set \mathcal{F} of complex valued constraint functions on any finite domain set $[\kappa]$ defines a counting CSP problem $\#CSP(\mathcal{F})$ that is either computable in P or $\#P$ -hard.

The decision version of this is open (**Feder-Vardi** Dichotomy Conjecture).

Theorem (Main Theorem)

For any κ , any 3-regular graph G and any $f = \langle a, b, c \rangle$, the problem Holant($G; f$) is either computable in polynomial time or is #P-hard.

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$\#\kappa$ -EDGECOLORING is the special case for $f = \langle 0, 0, 1 \rangle$.

Non-trivial Examples of Tractable Holant Problems

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Holographic transformation by the orthogonal matrix $T = \frac{1}{3} \begin{bmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{bmatrix}$.

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- In general $\text{Holant}(G; \langle \kappa^2 - 6\kappa + 4, -2(\kappa - 2), 4 \rangle)$ is computable in P.
- Suppose $\kappa = 4$. For any $\lambda \in \mathbb{C}$,

$$\text{Holant}(G; \lambda \langle -3 - 4i, 1, -1 + 2i \rangle)$$

is computable in P.

Definition

For an undirected graph $G = (V, E)$, the Tutte polynomial of G is

$$T(G; x, y) = \sum_{A \subseteq E} (x - 1)^{\kappa(A) - \kappa(E)} (y - 1)^{\kappa(A) + |A| - |V|},$$

where $\kappa(A)$ denotes the number of connected components of the spanning subgraph (V, A) .

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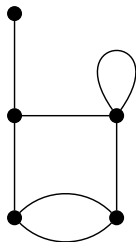
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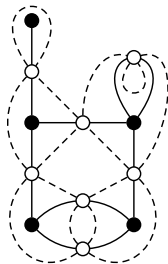
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Theorem (Vertigan)

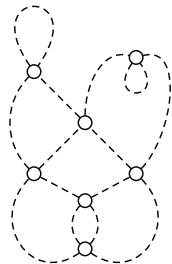
For any $x, y \in \mathbb{C}$, the problem of computing the Tutte polynomial at (x, y) over planar graphs is #P-hard unless $(x - 1)(y - 1) \in \{1, 2\}$ or $(x, y) \in \{(1, 1), (-1, -1), (\omega, \omega^2), (\omega^2, \omega)\}$, where $\omega = e^{2\pi i/3}$. In each of these exceptional cases, the computation can be done in polynomial time.



(a)



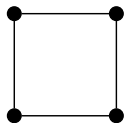
(b)



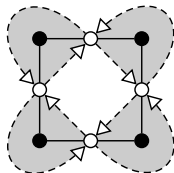
(c)

A plane graph (a), its medial graph (c), and the two graphs superimposed (b).

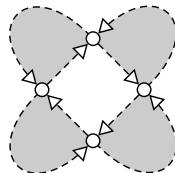
Directed Medial Graph



(a)



(b)



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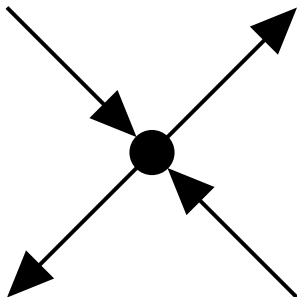
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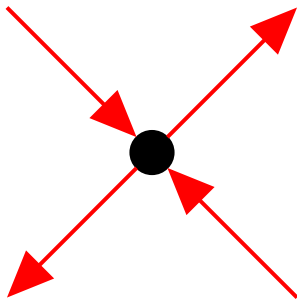
Suppose G is a connected plane graph and \vec{G}_m its directed medial graph. For any κ , the **Eulerian partitions** $\pi(\vec{G}_m)$ are κ -labelings of edges of \vec{G}_m , such that each color set forms an Eulerian digraph.

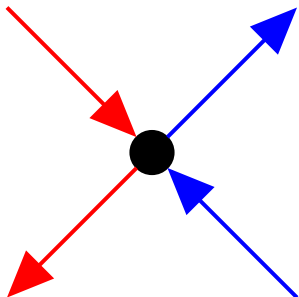
Theorem (Ellis-Monaghan)

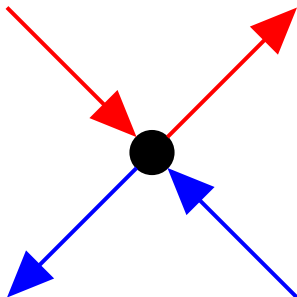
$$\kappa T(G; \kappa + 1, \kappa + 1) = \sum_{c \in \pi(\vec{G}_m)} 2^{\mu(c)},$$

where $\mu(c)$ is the number of monochromatic vertices in the coloring c .



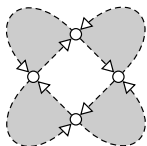






The Eulerian Signature

The sum $\sum_{c \in \pi(\vec{G}_m)} 2^{\mu(c)}$ can be expressed as a Holant problem:

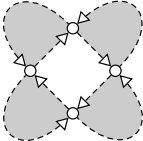


$$\mathcal{E} \left(\begin{array}{c} w \\ x \end{array} \begin{array}{c} z \\ y \end{array} \right) = \begin{cases} 2 & \text{if } w = x = y = z \in [\kappa] \\ 1 & \text{if } w = x \neq y = z \in [\kappa] \\ 0 & \text{if } w = y \neq x = z \in [\kappa] \\ 1 & \text{if } w = z \neq x = y \in [\kappa] \\ 0 & \text{all other cases.} \end{cases}$$

Denote by $\mathcal{E} = \langle 2, 1, 0, 1, 0 \rangle$.

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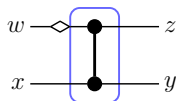
Denote by $\mathcal{E} = \langle 2, 1, 0, 1, 0 \rangle$.

To be Eulerian, at every vertex $v \in V(G)$, either it is monochromatic, or **RRBB** cyclically, since the local orientation in \vec{G}_m is “in, out, in, out”.

Then

$$\sum_{c \in \pi(\vec{G}_m)} 2^{\mu(c)} = \text{Holant}_{G_m}(\langle 2, 1, 0, 1, 0 \rangle)$$

An Arity 4 Gadget



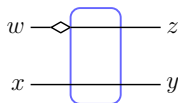
Quaternary gadget f . All vertices are assigned the AD_κ signature.

Think of $\kappa = 3$.

$$f\left(\begin{matrix} w & z \\ x & y \end{matrix}\right) = \begin{cases} 0 & \text{if } w = x = y = z \in [\kappa] \\ 1 & \text{if } w = x \neq y = z \in [\kappa] \\ 1 & \text{if } w = y \neq x = z \in [\kappa] \\ 0 & \text{if } w = z \neq x = y \in [\kappa] \\ 0 & \text{all other cases.} \end{cases}$$

Denote by $f = \langle 0, 1, 1, 0, 0 \rangle$.

A Gadget with Two Parallel Edges



A gadget with two parallel edges

Again think of $\kappa = 3$.

$$f_0 \left(\begin{array}{c} w \\ x \end{array} \begin{array}{c} z \\ y \end{array} \right) = \begin{cases} 1 & \text{if } w = x = y = z \in [\kappa] \\ 0 & \text{if } w = x \neq y = z \in [\kappa] \\ 0 & \text{if } w = y \neq x = z \in [\kappa] \\ 1 & \text{if } w = z \neq x = y \in [\kappa] \\ 0 & \text{all other cases.} \end{cases}$$

Denote by $f_0 = \langle 1, 0, 0, 1, 0 \rangle$.

Theorem

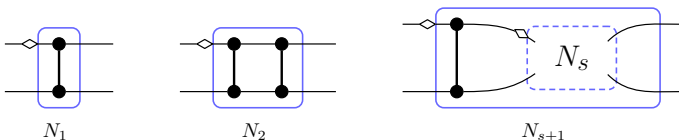
$\#\kappa$ -EDGE COLORING is $\#P$ -hard over planar κ -regular graphs for $\kappa \geq 3$.

#P-hardness of $\#\kappa$ -EdgeColoring

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We reduce $\text{PI-Holant}(\langle 2, 1, 0, 1, 0 \rangle)$ to $\text{PI-Holant}(\text{AD}_3)$.

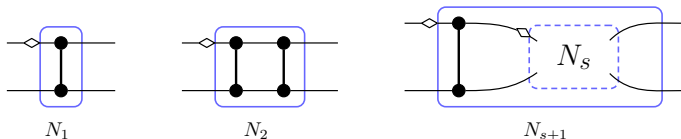


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Let f_s be the signature for the s th gadget. Then $f_s = M^s f_0$, where

$$M = \begin{bmatrix} 0 & \kappa - 1 & 0 & 0 & 0 \\ 1 & \kappa - 2 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

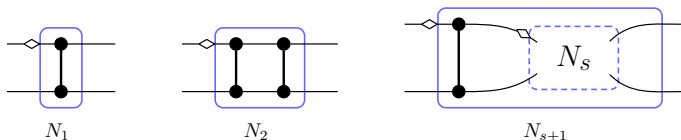
and $f_0 = [1 \ 0 \ 0 \ 1 \ 0]^T$.

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and $f_0 = [1 \ 0 \ 0 \ 1 \ 0]^T$. One can easily verify that $f_1 = f$.

Eigenvalues and Eigenvectors

By the spectral decomposition $M = P\Lambda P^{-1}$, where

$$P = \begin{bmatrix} 1 & 1 - \kappa & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & -1 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix} \quad \text{and} \quad \Lambda = \begin{bmatrix} \kappa - 1 & 0 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix}.$$

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Let $x = (\kappa - 1)^{2s}$, then

$$f_{2s} = P\Lambda^{2s}P^{-1}f_0 = P \begin{bmatrix} x & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix} P^{-1}f_0 = \begin{bmatrix} \frac{x-1}{\kappa} + 1 \\ \frac{x-1}{\kappa} \\ 0 \\ 1 \\ 0 \end{bmatrix}.$$

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Note that if $x = 1 + \kappa$, then it is the **Eulerian Signature** $\mathcal{E} = \langle 2, 1, 0, 1, 0 \rangle$.

Consider an instance Ω of PI-Holant($\langle 2, 1, 0, 1, 0 \rangle$) on domain size κ .

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Evaluating this polynomial at $x = 1 + \kappa$ gives the value of PI-Holant_Ω .

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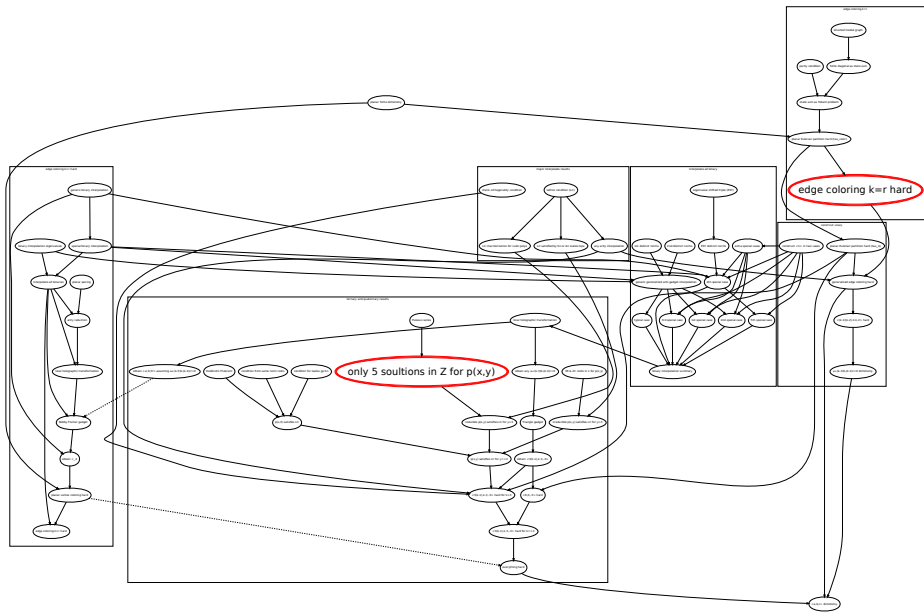
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Along the way, we may find certain $\langle a, b, c \rangle$ does not allow us to achieve these steps.

Instead, in those cases, we can directly prove that these problems are **either** in P **or** #P-hard (without the help of additional signatures).



Definition

We say that $\lambda_1, \lambda_2, \dots, \lambda_\ell \in \mathbb{C} - \{0\}$ satisfy the **lattice condition** if for all $x \in \mathbb{Z}^\ell - \{\mathbf{0}\}$ with $\sum_{i=1}^\ell x_i = 0$, we have

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Taking the logarithms, this is really a condition about linear independence $\{\log \lambda_i\}$ over \mathbb{Q} .

Theorem

If there exists an infinite sequence of planar \mathcal{F} -gates defined by an initial signature $s \in \mathbb{C}^{n \times 1}$ and a recurrence matrix $M \in \mathbb{C}^{n \times n}$ satisfying the following conditions,

- 1 M is diagonalizable with n linearly independent eigenvectors;
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To prove our dichotomy we use a combinatorial construction with $n = 9$ and $\ell = 5$.

$$\begin{bmatrix}
 (\kappa-1)(\kappa^2+9\kappa-9) & 12(\kappa-3)(\kappa-1)^2 & (\kappa-3)^2(\kappa-1) & 2(\kappa-3)^2(\kappa-2)(\kappa-1) & (\kappa-3)^2(\kappa-1) & 2(\kappa-3)^2(\kappa-2)(\kappa-1) & (\kappa-1)(2\kappa-3)(4\kappa-3) & 6(\kappa-3)(\kappa-2)(\kappa-1)^2 & (\kappa-3)^3(\kappa-2)(\kappa-1) \\
 3(\kappa-3)(\kappa-1) & 3\kappa^3-28\kappa^2+60\kappa-36 & -(\kappa-3)(2\kappa-3) & -2(\kappa-3)(\kappa-2)(2\kappa-3) & -(\kappa-3)(2\kappa-3) & -2(\kappa-3)(\kappa-2)(2\kappa-3) & 3(\kappa-3)(\kappa-1)^2 & (\kappa-2)(\kappa^3-14\kappa^2+30\kappa-18) & -(\kappa-3)^2(\kappa-2)(2\kappa-3) \\
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The characteristic polynomial of M is $\lambda_M(x, \kappa) = (x - \kappa^3)^4 f(x, \kappa)$, where $f(x, \kappa) = x^5 - \kappa^6(2\kappa - 1)x^3 - \kappa^9(\kappa^2 - 2\kappa + 3)x^2 + (\kappa - 2)(\kappa - 1)\kappa^{12}x + (\kappa - 1)^3\kappa^{15}$.

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$$p(x, y) = x^5 - (2y + 1)x^3 - (y^2 + 2)x^2 + (y - 1)yx + y^3.$$

We want to prove that for all integer $y \geq 4$, the roots of $p(x, y)$ satisfy the **lattice condition**.

Irreducible over $\mathbb{Q}[x]$?

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Can't prove that.

We know five integer solutions $(x, y) \in \mathbb{Z}^2$, so for these five values of $y \in \mathbb{Z}$, $p(x, y)$ is reducible as a polynomial in x :

$$p(x, y) = \begin{cases} (x - 1)(x^4 + x^3 + 2x^2 - x + 1) & y = -1 \\ x^2(x^3 - x - 2) & y = 0 \\ (x + 1)(x^4 - x^3 - 2x^2 - x + 1) & y = 1 \\ (x - 1)(x^2 - x - 4)(x^2 + 2x + 2) & y = 2 \\ (x - 3)(x^4 + 3x^3 + 2x^2 - 5x - 9) & y = 3. \end{cases}$$

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Lemma

*Let $f(x) \in \mathbb{Q}[x]$ be a polynomial of degree $n \geq 2$. If the **Galois group** of f over \mathbb{Q} is S_n or A_n and the roots of f do not all have the same complex norm, then the roots of f satisfy the lattice condition.*

Lemma

For any integer $y \geq 1$, the polynomial $p(x, y)$ has three distinct real roots and two nonreal complex conjugate roots in x .

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Lemma

For any integer $y \geq 4$, if $p(x, y)$ is irreducible in $\mathbb{Q}[x]$, then the roots of $p(x, y)$ satisfy the lattice condition.

Proof.

Three distinct real roots do not have the same complex norm.

An irreducible polynomial of **prime** degree n with exactly two nonreal roots has S_n as its **Galois group** over \mathbb{Q} .

Hence they satisfy the lattice condition. □

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The only integer solutions to $p(x, y) = 0$ are

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Consider

$$g_1(x, y) = y - x^2 \quad \text{and} \quad g_2(x, y) = \frac{y^2}{x} + y - x^2 + 1.$$

(This particular choice is due to Aaron Levin.) Whenever $p(a, b) = 0$ with $a \neq 0$, $g_1(a, b)$ and $g_2(a, b)$ are integers. However, we show that if $a \leq -3$ or $a \geq 17$, then either $g_1(a, b)$ or $g_2(a, b)$ is not an integer.

The Puiseux series expansions for $p(x, y)$ are

$$y_1(x) = x^2 + 2x^{-1} + 2x^{-2} - 6x^{-4} - 18x^{-5} + O(x^{-6})$$

$$y_2(x) = x^{3/2} - \frac{1}{2}x + \frac{1}{8}x^{1/2} - \frac{65}{128}x^{-1/2} - x^{-1} - \frac{1471}{1024}x^{-3/2} - x^{-2} + O(x^{-5/2})$$

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So for large x , it is non-zero **and** non-integral.

Hence there are no large integral solutions.

Some papers can be found on my web site
<http://www.cs.wisc.edu/~jyc>

THANK YOU!