

# QUANTUM MACHINE LEARNING WITH SUBSPACE STATES

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# QUANTUM SPEEDUPS CRITERIA

- Three criteria for quantum speedups whose intersection has proved to be remarkably hard to meet:
  1. Quantum algorithm is directly *comparable* to classical and performs the *same* task.
  2. *Exponential speedups* over best known classical algorithm.
  3. Problems useful in practice and widely *applicable*.

# EXPONENTIAL QML SPEEDUPS?

- The amplitude encoding of N dimensional vector x requires  $\log(N)$  qubits compared to an  $O(N)$  sized classical array. For  $N=16$ ,



$$|x\rangle = \frac{1}{\|x\|} (x_1 |0000\rangle + x_2 |0001\rangle + x_3 |0010\rangle + \dots + x_{15} |1110\rangle + x_{16} |1111\rangle).$$

- Such an exponential compression raises the possibility of an exponential speedup for quantum machine learning (QML).
- Bottlenecks: State preparation requires a circuit of depth  $N$  and measurements perform  $\ell_2$ -sampling from the output.
- QML Algorithms: Inner product estimation/kernels, Hamiltonian simulation (HHL), quantum singular value transformation (QSVE/QSVT).

# READ THE FINE PRINT!

- *Input Issues:* The initial state for a linear algebra procedure may need exponential resources to prepare.
- *Output Issues:* Quantum algorithms sample from the output, a classical algorithm reconstructs the output.
- *Running time parameters:* Condition number is difficult to bound making it hard to establish speedups.
- *Dense matrices:* Matrices arising in machine learning are dense, but may often have good low rank approximations.
- *[Aaronson 14]:* Caveats make it difficult to establish end-to-end speedups for QML algorithms.

# TOWARDS END-TO-END QML

- **Input Issues:** QRAM data structures can be replaced with parametrized circuits, logarithmic depth circuits for unary encoding.
- **Output Issues:** End-to-end quantum speedups for sampling tasks: recommendation systems [KP17], determinant sampling [KP22], fermion and boson samplers.
- **Running time parameters:** Low rank quantum linear algebra depends on numerical rank. Improved understanding of parameters for quantum linear systems.
- **Dense matrices:** We can operate with dense matrices that are submatrices of efficient unitaries using block-encodings.
- [KP22]: A new approach to QML with subspace states and some candidate exponential speedups.

# NETFLIX PROBLEM

## Netflix Prize

**COMPLETED**

What we were interested in:

- High quality *recommendations*

Proxy question:

- Accuracy in predicted rating
- Improve by 10% = \$1million!

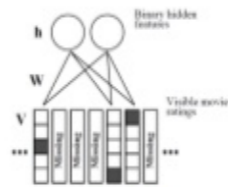
$$RMSE = \sqrt{\frac{1}{n} \sum_{j=1}^n (y_j - \hat{y}_j)^2}$$

NETFLIX



Results

- Top 2 algorithms still in production



RBM

Solutions based on reconstruction of the incomplete preference matrix under low rank assumptions.

- The preference matrix  $P$ .

	$P_1$	$P_2$	$P_3$	$P_4$	$\dots$	$\dots$	$P_{n-1}$	$P_n$
$U_1$	.1	.4	?	?	$\dots$	$\dots$	?	.9
$U_2$	.2	?	.6	?	$\dots$	$\dots$	.85	?
$U_3$	?	?	.8	.9	$\dots$	$\dots$	?	.2
$\vdots$	$\dots$	$\dots$	$\dots$	$\dots$	$\dots$	$\dots$	$\dots$	$\dots$
$U_m$	?	.75	?	?	$\dots$	$\dots$	?	.2

Quantum algorithm samples from high value entries without reconstructing matrix.

# QRS/DEQUANTIZATION

- *Low rank assumption*: The 'completed' preference matrix has a good low rank approximation as users fall into  $k$  types for  $k \ll n$ .
- *Theorem*: The quantum algorithm outputs good recommendations for most users in time  $O(k \text{polylog}(mn))$ . [Kerenidis, P. 2017].
- [Tang 2019]: There is a classical recommendation systems algorithm with running time  $\text{poly}(k, \text{polylog}(mn))$ .
- Exponential speedups for several other QML algorithms including PCA, SVM, k-means, semidefinite programming have since been refuted [CGLLTW19].

# QML POST DEQUANTIZATION

ALGORITHM	RUNNING TIME	PARAMETERS USERS, PRODUCTS: $10^8$ . TYPES: $10^3$ .
QUANTUM [KP17]	$O(k \text{polylog}(mn))$	$10^3$
CLASSICAL-CUR DECOMPOSITION [2002]	$O(k^2n)$	$10^{12}$
QUANTUM INSPIRED [T19, CGLLTW19]	$O(k^8 \text{polylog}(mn))$	$10^{17}$

- Sparse HHL based approach and the low rank approach both have their limitations, new techniques needed.

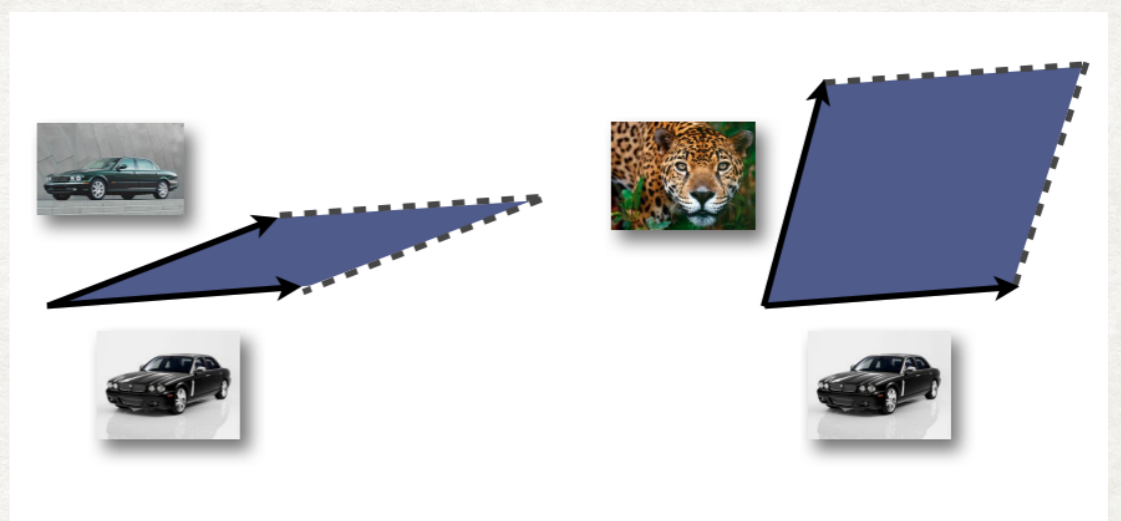
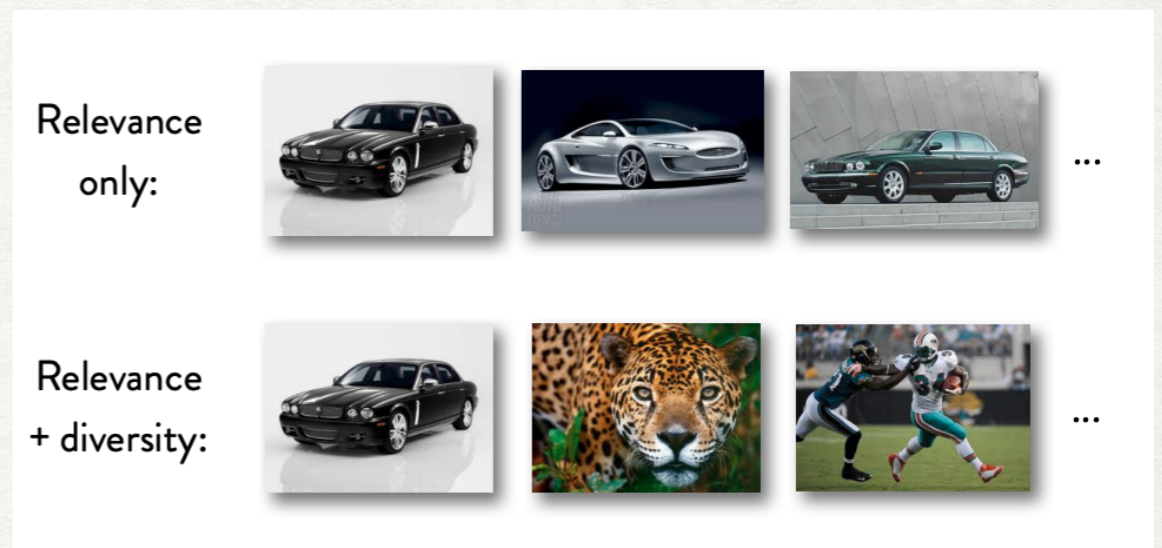


**NEW RESULTS IN  
QUANTUM MACHINE  
LEARNING**

# 1. DETERMINANT SAMPLING

- Relevance vs diversity in machine learning. (query: Jaguar).
- Diverse results are obtained using volume sampling.
- Problem: Sample a set of rows  $S$  of matrix  $A \in \mathbb{R}^{N \times D}$  with probability proportional to squared volume.

- APPLICATIONS: LOW RANK APPROXIMATION, LEAST SQUARES, REPRESENTATIVE SUMMARY, MONTE CARLO METHODS, SPARSE VECTOR IN SUBSPACE AND MORE!



# 1. DETERMINANT SAMPLING

- Determinant sampling: Given full rank matrix  $A \in \mathbb{R}^{N \times D}$ , sample from probability distribution on row subsets  $S$  of size  $d$ ,

$$\Pr[S] = \frac{\det(A_S)^2}{\det(A^T A)}.$$

- Distribution is invariant under column operations on  $A$ , matrix is pre-processed to have orthonormal columns.
- Classical algorithm: Subsequent samples using  $O(D^3)$  arithmetic operations [*Derezinski, Clarkson, Mahoney, Warmuth 19*].

• THEOREM: THERE IS A QUANTUM DETERMINANT SAMPLING ALGORITHM USING  $O(ND)$  GATES AND WITH CIRCUIT DEPTH  $O(D \log(N))$ .

## 2. COMPOUND MATRICES

- The k-th order compound matrix  $\mathcal{A}^{[k]}$  corresponding to  $A \in \mathbb{R}^{n \times n}$  is the matrix indexed by size-k subsets  $I, J$  of the rows and columns of  $A$  and with entries,

$$\mathcal{A}_{I,J}^{[k]} = \det(A_{I,J}).$$

- The compound matrix is an exponentially large matrix with dimension  $\binom{n}{k}$  and the minors of the matrix as entries.

THEOREM: THERE IS A QUANTUM ALGORITHM FOR SINGULAR VALUE ESTIMATION/ TRANSFORMATION FOR COMPOUND MATRICES OF ALL ORDERS WITH COMPLEXITY  $O(\text{POLY}(N))$ .

- Kernel property:  $\mathcal{A}^{[k]} \mathcal{B}^{[k]} = (\mathcal{A} \mathcal{B})^{[k]}$ . Compound matrix/Cauchy Binet kernels are widely applicable in ML. *[Vishwanathan, Smola 08]*.

## 2. COMPOUND MATRICES

- Naively, classical algorithms would have complexity  $O(n^k)$  for compound matrix SVD, this is a potentially exponential speedup.
- Quantum inspired algorithms have running time polynomial in the matrix rank.
- If matrix  $A$  has a rank  $r$  approximation, the rank of the compound matrix  $\mathcal{A}^{[k]}$  is  $O(r^k)$ , which is exponential in  $r$ .

OPEN QUESTION: FIND END-TO-END QML APPLICATION WITH EXPONENTIAL QUANTUM SPEEDUPS USING COMPOUND MATRIX SVD.

# 3. TOPOLOGICAL DATA ANALYSIS

- Topological data analysis: Captures topological features of the data-set, complementary to classical ML methods.
- Simplicial complexes generalize graphs, besides vertices and edges they have higher order faces.
- The Vietoris-Rips complex  $VR(X, n, d)$  includes all point sets with diameter at most  $d$ .
- A Dirac operator  $D$  and the Laplacian  $L = DD^* + D^*D$  can be defined for every simplicial complex.
- The Betti numbers are the dimensions of the kernels of the 'graded' Laplacian  $L$ .
- Topological data analysis: The persistent Betti numbers for the VR complexes capture topological/'shape' features of the data.

# 3. TOPOLOGICAL DATA ANALYSIS

- The Dirac Operator is an exponentially large sparse operator, hence Hamiltonian simulation can be used for quantum TDA [*Lloyd, Garnerone, Zanardi 16*].
- Large polynomial overheads  $O(n^5)$  for Dirac operator simulation.
- The depth overhead has been reduced to  $O(n)$  in a series of recent works with more efficient Dirac operator constructions.

• **THEOREM: THERE IS A  $O(\log N)$  DEPTH EMBEDDING FOR THE DIRAC OPERATOR, THAT YIELDS A QUANTUM TDA ALGORITHM WITH POLY-LOGARITHMIC OVERHEAD.**

# SUBSPACE STATES

- The orthogonal group acts not only on vectors, but on subspaces of arbitrary dimension.
- A  $d$ -dimensional subspace  $\mathcal{X} \subset \mathbb{R}^n$  is represented by a matrix  $X \in \mathbb{R}^{n \times d}$  with orthonormal columns such that  $\mathcal{X} = \text{Col}(X)$ .
- Quantum subspace state:

$$|\mathcal{X}\rangle = |\text{Col}(X)\rangle = \sum_{|S|=d} \det(X_S) |S\rangle.$$

- A one-to-one encoding for subspaces, depends on  $\text{Col}(X)$  and not the representing matrix  $X$ , that is  $|\text{Col}(X)\rangle = |\text{Col}(XV)\rangle$  for orthogonal  $V$ .
- Subspace states are a small fraction of the Hamming weight  $d$  quantum states, standard basis states are subspace states.



# SUBSPACE STATES FOR QML

- Near term QML algorithms use unary encodings of vectors and inner product estimation.
- Inner product between subspace states is the product of the principal angles between the corresponding subspaces,

$$\langle \text{Col}(X) | \text{Col}(Y) \rangle = \det(X^T Y) = \prod_i \cos(\theta_i).$$

- Subspace based classification and clustering methods have been useful in classical machine learning [*Rene Vidal*].
- Particularly relevant to settings where data can be represented by a k-dimensional PCA, like images and videos.

# QUANTUM OPERATIONS ON SUBSPACE STATES

- **Measurement:** Measuring a subspace state in the standard basis is equivalent to the determinant sampling problem.
- **Addition/deletion of vector:** Efficient quantum circuits  $C(y)$  for adding or deleting the vector  $y$  from the subspace  $|\text{Col}(X)\rangle$ .
- **Rotations:** Given  $|\text{Col}(X)\rangle$  it is possible to create the rotated subspace state  $|\text{Col}(UX)\rangle$  for an orthogonal matrix  $U$ .
- **Givens complexity:** The gate complexity for the rotation  $|\text{Col}(UX)\rangle$  is the number of elementary Givens rotations in a decomposition of  $U$ .
- Compound matrices and TDA algorithms follow from considering matrices embedded in the addition and rotation circuits.

# CLIFFORD ALGEBRAS

- **Clifford algebras:** Operator algebras generated by mutually anti-commuting operators.
- **Theorem:** If  $A(1), A(2), \dots, A(2N+1)$  are mutually anti-commuting operators acting on a Hilbert space  $H$ , then  $\dim(H) > 2^N$ .
- **Quantum Proof:** A pair of anti-commuting operators induces a tensor product factorization  $H = H_1 \otimes H'$  where  $H_1$  is a one qubit system. [Reichardt, Unger, Vazirani 13].
- There is a canonical set of  $(N+1)$  mutually anti-commuting operators on the  $n$ -qubit real Hilbert space:

$$A(i) = Z^{\otimes(i-1)} \otimes X \otimes I^{\otimes(n-i)}.$$

# THE GAMMA MAP

- Introduced by Dirac, the Gamma map lifts vectors, matrices and higher order tensors to the Clifford algebra.
- **Definition:** The Gamma map for a vector  $x$  is defined as:

$$\Gamma(x) = \sum_{i \in [n]} x_i Z^{\otimes i-1} \otimes X \otimes I^{\otimes n-i}.$$

- It follows from anti-commutativity that if  $\|x\|=1$ , then the Gamma map squares to  $I$  and is thus a unitary operator.
- **Definition:** A Clifford loader circuit  $C(x)$  is an implementation of the unitary operator  $\Gamma(x)$ .

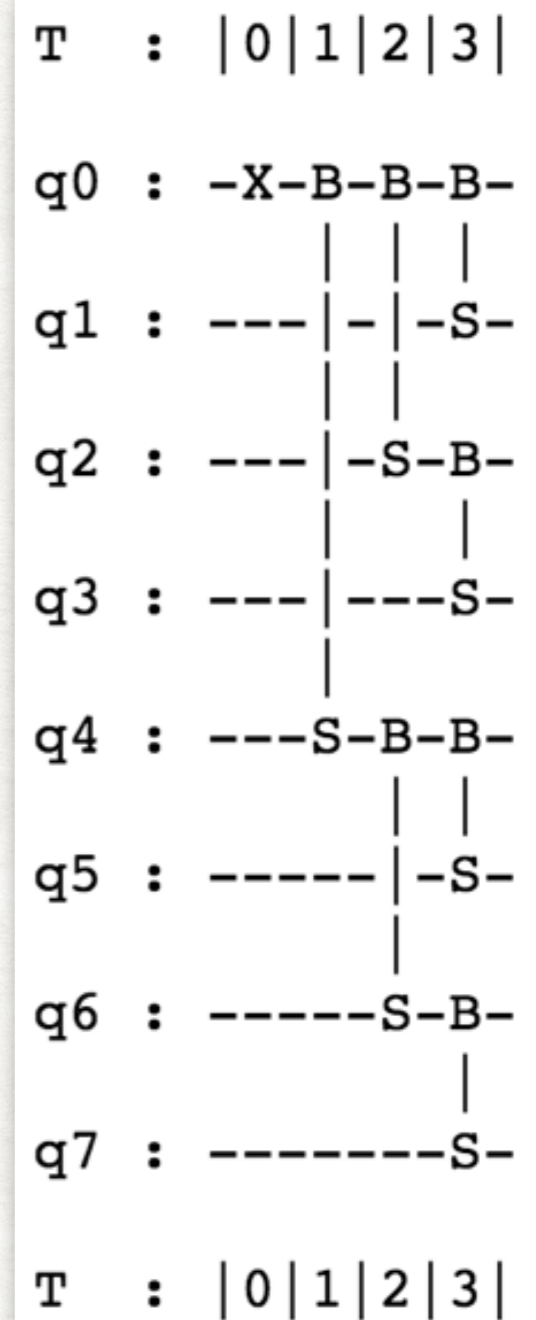
# CLIFFORD LOADERS

- **Theorem:** The action of the Clifford loader  $C(x)$  on  $|Col(Y)\rangle$ :
  1. **Addition:** If  $x$  is orthogonal to  $Col(Y)$ , then  $C(x)|Col(Y)\rangle = |Col(Y, x)\rangle$ .
  2. **Deletion:** If  $x$  belongs to  $Col(Y)$  and  $Col(Y) = Col(Y', x)$ , then  $C(x)|Col(Y)\rangle = |Col(Y')\rangle$ .
- In general,  $x$  will have components perpendicular and parallel to  $Y$ , and the result will be a superposition of case A and B.
- Given matrix  $A$  with orthonormal columns the subspace state  $Col(A)$  can be prepared using Clifford loaders,

$$|Col(A)\rangle = C(a_d)C(a_{d-1})\cdots C(a_1)|0^n\rangle.$$

# UNARY DATA LOADERS

- Logarithmic depth state preparation circuits.
- Circuit structured as binary tree.
- Uses two qubit RBS gates: rotations on subspace spanned by  $|01\rangle$  and  $|10\rangle$ , identity otherwise.
- $N$  qubit and  $O(\log N)$  depth: Optimal trade-off for circuit depth vs number of qubits.
- Figure: 8 dimensional data loader with depth 4.



# FERMIONIC BEAM SPLITTER (FBS) GATES

- The two qubit gates used in the data loader are the RBS (Reconfigurable beam splitter) gates:

$$RBS(\theta) = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos(\theta) & \sin(\theta) & 0 \\ 0 & -\sin(\theta) & \cos(\theta) & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}.$$

- The Clifford loader uses the Fermionic analog of the RBS gates that we call the FBS (Fermionic beam splitter) gate:

$$FBS(i, j, \theta) = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos(\theta) & (-1)^{\oplus_{i < k < j} x_k} \sin(\theta) & 0 \\ 0 & (-1)^{1 + \oplus_{i < k < j} x_k} \sin(\theta) & \cos(\theta) & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}.$$

# CLIFFORD LOADER CONSTRUCTION

- A 'fermionic' data loader  $D'(x)$  is obtained by replacing all the RBS gate in a data loader  $D(x)$  by the corresponding FBS gates.
- A Clifford loader  $C(x)$  is defined to be a circuit such that,

$$C(x) = D'(x)(X \otimes I^{n-1})D'(x)^\dagger.$$

- Theorem: The  $C(x)$  defined above implements the Gamma map unitary:

$$\Gamma(x) = \sum_{i \in [n]} x_i Z^{\otimes i-1} \otimes X \otimes I^{\otimes n-i}.$$

- The construction works for all circuits  $D(x)$  composed of RBS gates such that  $D(x)|10^{N-1}\rangle = |x\rangle$ .



```

T   : |0|1|2|3|4|5|6|7|8|9|10|11|12|13|14|15|16|
q0  : -B-Z-B-Z---Z-B-Z-X-Z-B--Z-----Z--B--Z--B--
      | | | |   | | |   | | |   | | |   | | |   | | |
q1  : -S-@-|-@-X-@-|-@---@-|--@--X--@--|--@--S--
      |   |   |   |   |   |   |   |   |   |   |
q2  : -B---S-X-@---|-----|-----@--X--S-----B--
      |   |   |   |   |   |   |   |   |   |   |
q3  : -S-----@-----|-----|-----@-----S--
      |   |   |   |   |   |   |   |   |   |   |
q4  : -B-Z-B-Z-----S-----S-----Z--B--Z--B--
      | | | |   |   |   |   |   |   |   |   |   |
q5  : -S-@-|-@-----@-----@--|--@--S--
      |   |   |   |   |   |   |   |   |   |   |
q6  : -B---S-----S-----B--
      |   |   |   |   |   |   |   |   |   |   |
q7  : -S-----S--
      |   |   |   |   |   |   |   |   |   |   |
T   : |0|1|2|3|4|5|6|7|8|9|10|11|12|13|14|15|16|

```

LOGARITHMIC DEPTH CLIFFORD LOADER ON 8 QUBITS.

# ROTATIONS ON SUBSPACE STATES

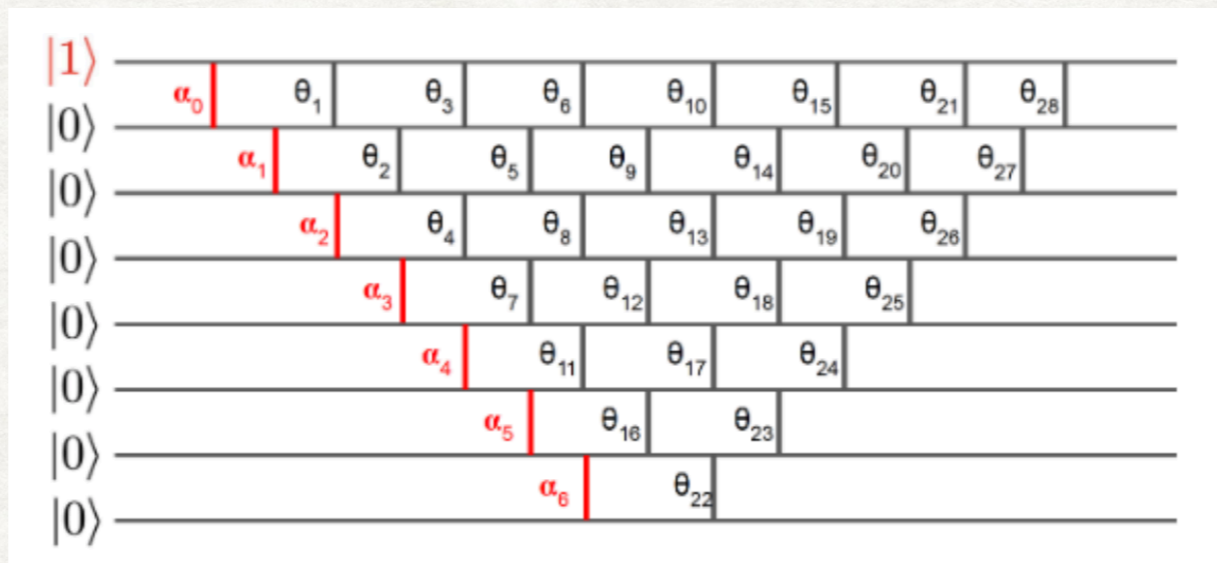
- The Givens rotations  $G(i, j, \theta)$  is rotation by angle theta on coordinates (i,j) and identity on other coordinates.
- Givens rotations generate the special orthogonal group, there are different methods for decomposing an orthogonal matrix into Givens rotations. [Cosine Sine, pyramid decompositions].
- *Givens complexity: Minimum number of Givens rotations in a decomposition of  $U$ .*
- *Givens complexity is  $O(N \log N)$  for Fourier and related transforms.*

# QUANTUM GIVENS ROTATIONS

- Lemma: A quantum Givens rotation is implemented by a single quantum gate,
 
$$|Col(G(i, j, \theta)X)\rangle = FBS(i, j, \theta) |Col(X)\rangle .$$

- Starting with  $|Col(I_K)\rangle = |1^k 0^{n-k}\rangle$ , a sequence of Givens rotations can be applied to obtain the desired subspace state  $|Col(X)\rangle$ .

$$I_K = \begin{pmatrix} 1 & 0 & \dots & 0 \\ 0 & 1 & \dots & 0 \\ \cdot & \dots & \dots & \cdot \\ 0 & 0 & \dots & 1 \\ 0 & 0 & \dots & 0 \\ \cdot & \dots & \dots & \cdot \\ 0 & 0 & \dots & 0 \end{pmatrix}$$



$$X = \begin{pmatrix} x_{11} & x_{12} & \dots & x_{1d} \\ x_{21} & x_{22} & \dots & x_{2d} \\ \dots & \dots & \dots & \dots \\ x_{d1} & x_{d2} & \dots & x_{dd} \\ \dots & \dots & \dots & \dots \\ \dots & \dots & \dots & \dots \\ x_{n1} & x_{n2} & \dots & x_{nd} \end{pmatrix}$$

- A classical Givens rotation on rows  $(i, j)$  costs  $O(k)$  arithmetic operations and maps  $(x_i, x_j) \rightarrow (cx_i + sx_j, cx_j - sx_i)$ .

# THE ACTION OF A GIVENS CIRCUIT

- Decompose  $U$  into a sequence of elementary Givens rotations.
- *The Givens circuit  $G(U)$  is a quantum circuit obtained by replacing every Givens rotation by the corresponding RBS or FBS gate.*
- *Claim:* On the standard basis  $G(U)|S\rangle = |\text{Col}(U_S)\rangle$ , it selects the columns and prepares corresponding subset state.
- *Proof:* Follows from the claim  $G(U)|\text{Col}(X)\rangle = |\text{Col}(UX)\rangle$  applied to the standard basis state  $|S\rangle$ .
- Computationally hard case:  $G(U)$  applied to an entangled initial state, for example  $(|00\rangle + |11\rangle)^{\otimes n/2}$ . **[Ivanov]**

# COMPOUND MATRIX SVD

- The  $k$ -th order compound matrix  $\mathcal{A}^{[k]}$  corresponding to  $A \in \mathbb{R}^{n \times n}$  is the matrix indexed by size- $k$  subsets  $I, J$  of the rows and columns of  $A$  and with entries  $\mathcal{A}_{I,J}^{[k]} = \det(A_{IJ})$ .
- **Observation 1:** The Givens circuit  $G(U)$  acts as the compound matrix  $\mathcal{U}^{[k]}$  on bit strings of Hamming weight  $k$ .
- **Observation 2:** If  $U$  is a block encoding for  $A$ , then compound matrices  $\mathcal{U}^{[k]}$  are block encodings for  $\mathcal{A}^{[k]}$ .
- Thus, we can perform SVE and SVT and quantum linear algebra for compound matrices in a black box manner using  $G(U)$ .

# DIRAC OPERATOR EMBEDDING

- **Observation 3:** The Dirac operator for the complete simplicial complex is implemented by the  $C(z)$  circuit for  $z = \frac{1}{\sqrt{N}}(1,1,\dots,1)$ .
- **Observation 4:** The Dirac operator for an arbitrary simplicial complex is a sub matrix of  $C(z)$ , giving an efficient block encoding for  $D$ .
- Logarithmic depth Clifford loader constructions reduce the depth for quantum TDA from  $O(n)$  to  $O(\log n)$ .
- Potential exponential speedups were found by looking at matrices embedded in exponentially large unitaries associated with subspace states: *Givens circuits and Clifford Loaders*.

# NEW QUANTUM SPEEDUPS

PROBLEM	QUANTUM	CLASSICAL	SPEEDUP
DETERMINANT SAMPLING	CLIFFORD LOADERS	IMPORTANCE SAMPLING	$O(n^2)$ $O(n^3)$
COMPOUND MATRIX SVD	GIVENS CIRCUITS	SINGULAR VALUE DECOMPOSITION	$O(\text{poly}(n))$ $O(\text{poly}(n^k))$
TOPOLOGICAL DATA ANALYSIS	DIRAC OPERATOR EMBEDDING	-----	$O(\log(N))$ CIRCUIT DEPTH $O(N)$

# RESEARCH QUESTIONS

- Find end-to-end QML application using compound matrix SVD with potentially exponential speedup.
- Find a QML application with exponential speedup for quantum TDA taking into account the implicit assumptions.
- Bosonic compound matrices are indexed by multi-sets with scaled permanents as entries, develop NISQ methods for quantum SVD for bosonic compound matrices and find applications to ML.



# SOME TAKEAWAYS

- A promising avenue for exponential quantum linear algebra speedups is to find matrices that embed in unitary operators associated with non interacting fermions.
- Quantum Machine learning algorithms can be formulated to work not only with vectors (1-dimensional subspaces) but for subspaces of arbitrary dimension.
- The way to obtain quantum speedups in an applied domain is not to fit an applied problem to a known quantum technique, but rather to look at problems closely related to quantum mechanics.