# Self-tests of Physical Theories in Networks and their Implications for the Foundations of Quantum Theory

based on joint works with

Marc-Olivier Renou, David Trillo, Le Phuc Thinh, Armin Tavakoli, Nicolas Gisin, Antonio Acín, Miguel Navascués

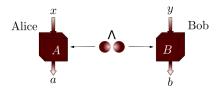
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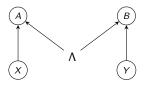
Roger Colbeck

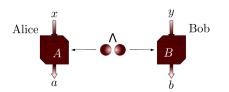


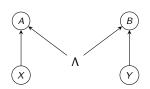


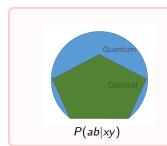




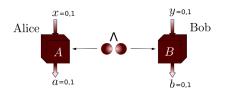


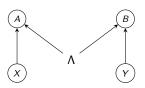


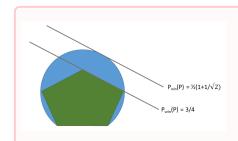


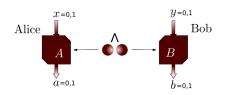


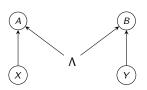
$$\begin{split} P_{C}(ab|xy) &= \sum_{\lambda} P(a|x,\lambda) P(b|y,\lambda) P(\lambda) \\ P_{Q}(ab|xy) &= \operatorname{tr}(A_{x}^{a} \otimes B_{y}^{b} \rho_{\Lambda}) \end{split}$$

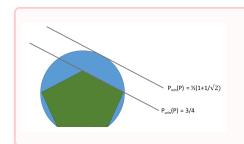










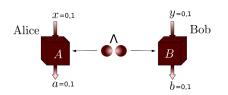


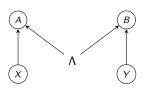
CHSH game with winning probability

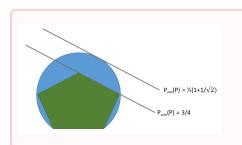
$$p_{\text{win}}(P) = \sum_{a,b,x,y} \frac{1}{4} P_{AB|xy}(a,b) Q(a,b,x,y)$$

and winning condition

$$Q(a,b,x,y) = \delta(x \cdot y, a \oplus b).$$







CHSH game with winning probability

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and winning condition

$$Q(a,b,x,y) = \delta(x \cdot y, a \oplus b).$$

• Optimal classical strategy: use  $\Lambda$  to prepare perfectly correlated outputs a, b.

doi:10.1038/nature15759

#### Loophole-free Bell inequality violation using electron spins separated by 1.3 kilometres

B. Hensen<sup>1,2</sup>, H. Bernien<sup>1,2</sup>, A. E. Dréau<sup>1,2</sup>, A. Reiserer<sup>1,2</sup>, N. Kalb<sup>1,2</sup>, M. S. Blok<sup>1,2</sup>, J. Ruitenberg<sup>1,2</sup>, R. F. L. Vermeulen<sup>1,2</sup>, R. N. Schouten<sup>1,2</sup>, C. Abelián<sup>3</sup>, W. Amaya<sup>3</sup>, V. Pruneri<sup>3,4</sup>, M. W. Mitchell<sup>3,4</sup>, M. Markham<sup>5</sup>, D. J. Twitchen<sup>5</sup>, D. Elkouss<sup>1</sup>, S. Wehner<sup>1</sup>, T. H. Taminiau<sup>1,2</sup> & R. Hanson<sup>1,2</sup>

More than 50 years ago1, John Bell proved that no theory of nature sufficiently separated such that locality prevents communication quantum theory; in any local-realist theory, the correlations, under local realism;

that obeys locality and realism<sup>2</sup> can reproduce all the predictions of between the boxes during a trial, then the following inequality holds



doi:10.1038/nature1575

# Loophole-free Bell inequality violation using electron spins separated by 1.3 kilometres

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18 DECEMBER 2015



More than that obeys quantum

#### Significant-Loophole-Free Test of Bell's Theorem with Entangled Photons

Marissa Giustina, <sup>1,2\*</sup> Marijn A. M. Versteegh, <sup>1,2</sup> Sören Wengerowsky, <sup>1,2</sup> Johannes Handsteiner, <sup>1,2</sup> Armin Hochrainer, <sup>1,2</sup> Kevin Phelan, <sup>1</sup> Fabian Steinlechner, <sup>1</sup> Johannes Kofler, <sup>2</sup> Jan-Åke Larsson, <sup>1</sup> Carlos Abellán, <sup>2</sup> Waldimar Amaya, <sup>5</sup> Valerio Pruneri, <sup>5,6</sup> Morgan W. Mitchell, <sup>5,6</sup> lörm Beyer, <sup>7</sup> Thomas Gerrits, <sup>8</sup> Adriana E. Lita, <sup>8</sup> Lynden K. Shalm, <sup>8</sup> Sae Woo Nam, <sup>8</sup> Thomas Scheidl, <sup>1,2</sup> Rupert Ursin, <sup>1</sup> Bernhard Wittmann, <sup>1,2</sup> and Anton Zeilinger <sup>1,2,†</sup> Institute for Quantum Optics and Quantum Information (IQQQI), Austrian Academy of Sciences, Boltzmanneases 3. Vienna 1090, Austria

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PRL 115, 250402 (2015)

PHYSICAL REVIEW LETTERS

18 DECEMBER 2015

#### Strong Loophole-Free Test of Local Realism

Lynden K. Shalm, <sup>1,†</sup> Evan Meyer-Scott, <sup>2</sup> Bradley G. Christensen, <sup>3</sup> Peter Bierhorst, <sup>1</sup> Michael A. Wayne, <sup>3,4</sup> Martin J. Stevens, <sup>1</sup> Thomas Gerrits, <sup>1</sup> Scott Glancy, <sup>1</sup> Deny R. Hamel, <sup>3</sup> Michael S. Allman, <sup>1</sup> Kevin J. Coakley, <sup>1</sup> Shellee D. Dyer, Carson Hodge, <sup>1</sup> Adriana E. Lita, <sup>1</sup> Varun B. Verma, <sup>1</sup> Camilla Lambrocco, <sup>1</sup> Edward Tortorici, <sup>1</sup> Alan L. Migdall, <sup>4,6</sup> Yanbao Zhang, <sup>2</sup> Daniel R. Kumor, <sup>3</sup> William H. Farr, <sup>7</sup> Francesco Marsili, <sup>7</sup> Matthew D. Shaw, <sup>7</sup> Jeffrey A. Stern, <sup>7</sup> Carlos Abellán, <sup>8</sup> Waldimar Amaya, <sup>8</sup> Valerio Pruneri, <sup>8,9</sup> Thomas Jennewein, <sup>2,10</sup> Morgan W. Mitchell, <sup>8,9</sup> Paul G. Kwiat, <sup>3</sup> Joshua C. Bienfang, <sup>4,6</sup> Richard P. Mirin, <sup>1</sup> Emanuel Knill, <sup>1</sup> and Sae Woo Nam<sup>1,2</sup> <sup>1</sup> National Institute of Standards and Technology, 325 Broadway, Boulder, Colorado 8305, USA <sup>2</sup> Institute for Quantum Computing and Department of Physics and Astronomy, University of Waterloo, 200 University Avenue West, Waterloo, Ontario, Canada, N2L 3G1 <sup>3</sup> Department of Physics, University of Illinois at Urbana-Champaign, Urbana, Illinois 61801, USA <sup>4</sup> National Institute of Standards and Technology, 100 Bureau Drive, Gaithersburg, Maryland 20899, USA

Spain

# LETTER

doi:10.1038/nature15759

# Loophole-free Bell inequality violation using electron spins separated by 1.3 kilometres

B. Hensen<sup>1,2</sup>, H. Bernien<sup>1,2</sup>, A. E. Dréau<sup>1,2</sup>, A. Reiserer<sup>1,2</sup>, N. Kalb<sup>1,2</sup>, M. S. Blok<sup>1,2</sup>, J. Ruitenberg<sup>1,2</sup>, R. F. L. Vermeulen<sup>1,2</sup>, R. S. Sch<sup>1</sup> PRL 115, 250401 (2015) PHY SICAL REVIEW LETTERS

The network is crucial!

A,B

P(ab|xy)

National Institute of Stundards and Technology, 325 Broadway, Boulder, Colorado 80305, USA

2 Institute for Quantum Computing and Department of Physics and Astronomy, University of Waterloo,
200 University Avenue West, Waterloo, Ontario, Canada, N2L 3G1

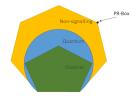


<sup>&</sup>lt;sup>3</sup>Department of Physics, University of Illinois at Urbana-Champaign, Urbana, Illinois 61801, USA
<sup>4</sup>National Institute of Standards and Technology, 100 Bureau Drive, Gaithersburg, Maryland 20899, USA

### Physical Principles Underlying Quantum Theory?

 Requiring no superluminal signals is not sufficient for singling out quantum correlations.

$$\begin{split} \sum_{a} P(ab|xy) &= \sum_{a} P(ab|x'y) \quad \forall \ x, x', b, y, \\ \sum_{b} P(ab|xy) &= \sum_{b} P(ab|xy') \quad \forall \ a, x, y, y' \end{split}$$

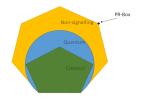


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$$\sum_{a} P(ab|xy) = \sum_{a} P(ab|x'y) \quad \forall \ x, x', b, y,$$

$$\sum_{b} P(ab|xy) = \sum_{b} P(ab|xy') \quad \forall \ a, x, y, y'$$



 Various (information-theoretic) physical principles towards recovering quantum correlations.



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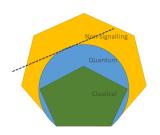


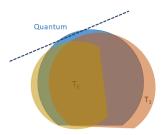
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Popescu & Rohrlich, Foundations of Physics 24, 379, 1994. Navascués et al., Nature communications 6, 6288, 2015.



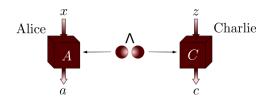
#### Networks Enable New Approaches to Singling out Quantum Theory

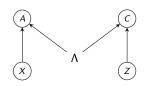


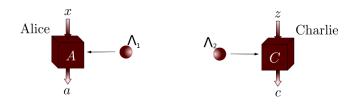


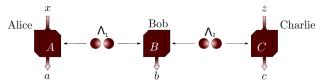
Goal: find a network and information processing task where quantum correlations are (uniquely) extremal.

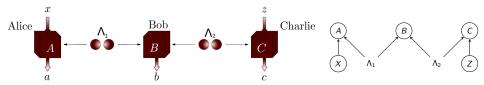
- → Rule out all other generalised probabilistic theories experimentally.
- → Point to a physical principle underlying quantum theory.

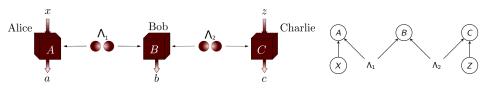










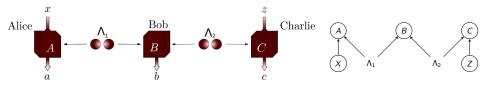


Adaptive CHSH game with winning probability

$$p_{\text{win}}(P) = \sum_{a,b,c,x,z} \frac{1}{4} P_{ABC|xz}(a,b,c) Q(a,b,c,x,z)$$

and 
$$Q(a,b,c,x,z) = 1$$
 iff

В	condition for $A$ and $C$
b = (0,0)	$(x \oplus 1) \cdot z = a \oplus c$
b = (0,1)	$(x \oplus 1) \cdot (z \oplus 1) \oplus 1 = a \oplus c$
b = (1,0)	$(x \oplus 1) \cdot (z \oplus 1) = a \oplus c$
b = (1, 1)	$(x \oplus 1) \cdot z \oplus 1 = a \oplus c$



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b = (1,0)	$(x \oplus 1) \cdot (z \oplus 1) = a \oplus c$
b = (1, 1)	$(x \oplus 1) \cdot z \oplus 1 = a \oplus c$

• Optimal quantum strategy at  $p_{win}(P) = \frac{1}{2} \left( 1 + \frac{1}{\sqrt{2}} \right)$  .

- Proposed experiment to rule out various "exotic" generalised probabilistic theories by adaptive CHSH game relying on system composition.<sup>1</sup>
- Proposed experiment to rule out various "exotic" generalised probabilistic theories by adaptive CHSH game relying on single system state spaces.<sup>1</sup>

<sup>&</sup>lt;sup>1</sup>MW, R. Colbeck, PRL 125, 060406 (2020) and PRA 102, 022203 (2020). ← 🦪 ト ∢ 🧵 ト ∢ 🧵 ト 🧵 🗸 🔈 🤇 🥎

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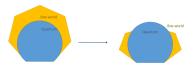
Bilocal experiment to rule out quantum theory over real Hilbert spaces.<sup>2</sup>



<sup>&</sup>lt;sup>1</sup>MW, R. Colbeck, PRL 125, 060406 (2020) and PRA 102, 022203 (2020).

<sup>&</sup>lt;sup>2</sup>M.O. Renou, D. Trillo, MW, T. P. Le, A. Tavakoli, N. Gisin, A. Acín, M. Navascués, Nature 600, (2021).

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### Complex Quantum Theory

# Real Quantum Theory

States: of a system A,

$$\mathcal{S}_{\mathcal{A}} = \left\{ 
ho \in \mathcal{B}(\mathcal{H}_{\mathbb{C}}^{\mathcal{A}}) \mid 
ho \geq 0, \mathsf{tr}(
ho) = 1 
ight\},$$

for a complex Hilbert space  $\mathcal{H}_{\mathbb{C}}^{A}$ .

• Composition: of independent systems  $\rho_A \in \mathcal{S}_A$  and  $\rho_B \in \mathcal{S}_B$ ,

$$\rho_{AB} = \rho_A \otimes \rho_B$$

more generally,

$$\mathcal{S}_{AB} = \left\{ \rho \in \mathcal{B}(\mathcal{H}_{\mathbb{C}}^{A} \otimes \mathcal{H}_{\mathbb{C}}^{B}) | \rho \geq 0, \mathsf{tr}(\rho) = 1 \right\}.$$

• Evolution: of a state  $\rho_{AB}$  is unitary

$$\rho_{AB}' = U_{AB}\rho_{AB}U_{AB}^{\dagger}.$$

• Measurement: x on AB, given by an observable  $A_x = \sum_a aA_x^a$ , with projectors  $A_x^a$  such that  $\sum_a A_x^a = \mathbb{I}_{AB}$ . The probability to observe a on  $\rho_{AB}$  is

$$P(a|x)=\operatorname{tr}(A_x^a\rho_{AB}).$$

• States: of a system A,

VS.

$$\mathcal{S}_A = \left\{ 
ho \in \mathcal{B}(\mathcal{H}_\mathbb{R}^A) \mid 
ho \geq 0, \mathsf{tr}(
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for a real Hilbert space  $\mathcal{H}_{\mathbb{R}}^{A}$ .

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more generally,

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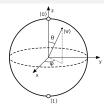
 $\bullet \quad \textbf{Evolution:} \ \, \text{of a state} \, \, \rho_{AB} \, \, \text{is orthogonal} \\$ 

$$\rho_{AB}' = \mathit{U}_{AB} \rho_{AB} \mathit{U}_{AB}^T.$$

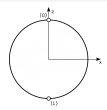
• Measurement: x on AB, given by a real observable  $\tilde{A}_x = \sum_a a \tilde{A}_x^a$ , with projectors  $\tilde{A}_x^a$  such that  $\sum_a \tilde{A}_x^a = \mathbb{I}_{AB}$ . The probability to observe a on  $\rho_{AB}$  is

$$P(a|x) = \operatorname{tr}(\tilde{A}_x^a \rho_{AB}).$$

# Real and Complex Quantum Theory have Different Properties

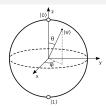


• Qubit:  $\rho = \frac{1}{2} (\mathcal{I} + x\sigma_x + y\sigma_y + z\sigma_z)$ .

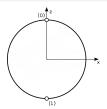


• Rebit:  $\rho = \frac{1}{2} (\mathcal{I} + x\sigma_x + z\sigma_z)$ .

#### Real and Complex Quantum Theory have Different Properties



- Qubit:  $\rho = \frac{1}{2} (\mathcal{I} + x\sigma_x + y\sigma_y + z\sigma_z)$ .
- Local Tomography: 2-qubit states characterised by local  $\sigma_x$ ,  $\sigma_y$ ,  $\sigma_z$  measurements on each qubit.



- Rebit:  $\rho = \frac{1}{2} (\mathcal{I} + x\sigma_x + z\sigma_z)$ .
- 2-rebit states not fully characterised  $\sigma_x$ ,  $\sigma_z$  on separate rebits.

Example: for the *real* state  $|\psi^+
angle=rac{1}{\sqrt{2}}(|00
angle+|11
angle)$  we have

$$|\psi^{+}\rangle\langle\psi^{+}|=rac{1}{2}\left(\mathcal{I}+\sigma_{x}\otimes\sigma_{x}-\sigma_{y}\otimes\sigma_{y}+\sigma_{z}\otimes\sigma_{z}
ight).$$

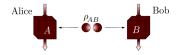
 $\longrightarrow$  No Local tomography!



#### Real Simulations of Quantum Theory in Multi-Party Scenarios

Quantum correlations from local measurements

$$P(a,b|x,y) = \operatorname{tr}\left(A_x^a \otimes B_y^b \rho_{AB}\right).$$



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E. C. G. Stuckelberg, Helvetica Physica Acta, 33 (1960). M. McKague, M. Mosca, N. Gisin, PRL 102, 020505 (2009).

#### Real Simulations of Quantum Theory in Multi-Party Scenarios

#### Quantum correlations from local measurements

$$P(a,b|x,y) = \operatorname{tr}\left(A_x^a \otimes B_y^b \rho_{AB}\right).$$



Real simulation on a larger Hilbert space preserves locality of measurements

$$P(a,b|x,y) = \operatorname{tr}(\tilde{A}_{x}^{a} \otimes \tilde{B}_{y}^{b} \tilde{\rho}_{AB})$$

using the real states and measurements (using  $|\pm i\rangle = \frac{1}{\sqrt{2}}(|0\rangle \pm i\,|1\rangle)$ )

$$ilde{
ho}_{AB} = rac{1}{2} \left( 
ho_{AB} \otimes |i\rangle\langle i|_{A'B'}^{\otimes 2} + 
ho_{AB}^* \otimes |-i\rangle\langle -i|_{A'B'}^{\otimes 2} 
ight)$$

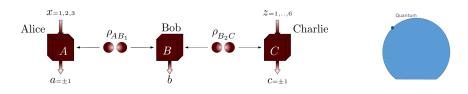
$$\tilde{A}_{x}^{a}=A_{x}^{a}\otimes|i\rangle\langle i|_{A'}+(A_{x}^{a})^{*}\otimes|-i\rangle\langle -i|_{A'}$$

$$\tilde{B}^b_y = B^b_y \otimes |i\rangle \langle i|_{B'} + \left(B^b_y\right)^* \otimes |-i\rangle \langle -i|_{B'} \,.$$

E. C. G. Stuckelberg, Helvetica Physica Acta, 33 (1960).
M. McKague, M. Mosca, N. Gisin, PRL 102, 020505 (2009).



#### Candidate Complex Quantum Distribution



#### **Candidate Distribution:**

$$\bar{P}(a,b,c|x,z) = \operatorname{tr}\left(A_x^a \otimes B^b \otimes C_z^c(|\psi^+\rangle\langle\psi^+|_{AB_1} \otimes |\psi^+\rangle\langle\psi^+|_{B_2C})\right),$$

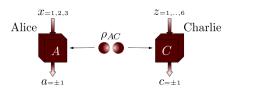
in terms of a Bell state measurement B and the observables

$$A_1 = \sigma_Z, \ A_2 = \sigma_X, \ A_3 = \sigma_Y,$$
  
 $C_1 = D_{zx}, \ C_2 = E_{zx}, \ C_3 = D_{zy}, \ C_4 = E_{zy}, \ C_5 = D_{xy}, \ C_6 = E_{xy}.$ 

where 
$$D_{ij} = \frac{\sigma_i + \sigma_j}{\sqrt{2}}$$
,  $E_{ij} = \frac{\sigma_i - \sigma_j}{\sqrt{2}}$ .

M.O. Renou, D. Trillo, MW, T. P. Le, A. Tavakoli, N. Gisin, A. Acín, M. Navascués, Nature 600, (2021).

### Intuition: Scenario that Requires Complex Quantum Measurements





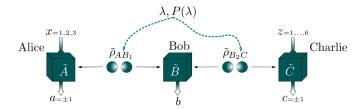
#### Intuition: Scenario that Requires Complex Quantum Measurements



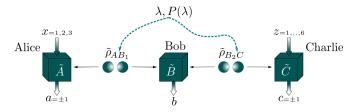
• Self-testing Pauli measurements: If we have a quantum P(a,c|x,z), such that  $\text{CHSH}_{00}^3(P) = \text{CHSH}_{A_1,A_2,C_1,C_2}(P) + \text{CHSH}_{A_1,A_3,C_3,C_4}(P) + \text{CHSH}_{A_2,A_3,C_5,C_6}(P) = 6\sqrt{2},$  then this self-tests  $|\psi^+\rangle_{AC}$  and Alice's observables

$$A_1 = \sigma_Z$$
,  $A_2 = \sigma_X$ ,  $A_3 = \sigma_Y$ .

#### Result: Candidate Distribution Not Reproducible in Real Quantum Theory



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Proposition:  $\bar{P}$  does not admit a decomposition

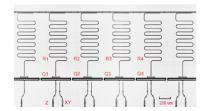
$$ar{P}(a,b,c|x,z) = \sum_{\lambda} P(\lambda) \operatorname{tr} \left( ilde{A}_{\mathsf{x}}^{\mathsf{a}} \otimes ilde{B}^{\mathsf{b}} \otimes ilde{C}_{\mathsf{z}}^{\mathsf{c}} ( ilde{
ho}_{AB_{1}}^{\lambda} \otimes ilde{
ho}_{B_{2}\mathcal{C}}^{\lambda}) 
ight)$$

with real states  $\tilde{\rho}_{AB_1}^{\lambda}$ ,  $\tilde{\rho}_{B_2C}^{\lambda}$  and real measurements  $\tilde{A}_x$ ,  $\tilde{B}$ ,  $\tilde{C}_z$  (of any dimension).

• The result can be made noise robust for an experimental test.

M.O. Renou, D. Trillo, MW, T. P. Le, A. Tavakoli, N. Gisin, A. Acín, M. Navascués; Nature 600, (2021).

#### **Experimental Implementations**







 $M.-C.\ Chen\ et\ al.\ \ "Ruling\ out\ real-number\ description\ of\ quantum\ mechanics",\ PRL\ 128,\ 040403\ (2022).$ 

Z.-Da. Li et al. "Testing real quantum theory in an optical quantum network", PRL 128, 040402 (2022). D. Wu et al. "Experimental refutation of real-valued quantum mechanics under strict locality conditions",

#### Summary and Open Questions

- Causal networks enable the design of experiments to single out quantum theory among various generalised probabilistic theories (including more non-local ones).
- Real and Complex Quantum Theory lead to different experimental predictions in scenarios where multiple states are independently prepared, which led to the experimental refutation of Real Quantum Theory (with loopholes).

#### Summary and Open Questions

- Causal networks enable the design of experiments to single out quantum theory among various generalised probabilistic theories (including more non-local ones).
- Real and Complex Quantum Theory lead to different experimental predictions in scenarios where multiple states are independently prepared, which led to the experimental refutation of Real Quantum Theory (with loopholes).
- Gaps to other potential contenders of quantum theory. Is there a network and task where quantum theory is uniquely optimal?
- New axiomatic frameworks recovering quantum theory?
- Other applications of causal networks in quantum foundations?

Thank you for your attention!

#### Main Idea of the Proof

• Applying real local isometries  $U_{A \to AA'A''}$  and  $V_{C \to CC'C''}$  to  $\sum_{\lambda} P(\lambda) \tilde{\rho}^{\lambda}_{AB_1} \otimes \tilde{\rho}^{\lambda}_{B_2C}$  leads to a state

$$\tilde{\rho}_{A'C'} = \sum_{\lambda} P(\lambda) \operatorname{tr}_{AA''CC''} \left( U \tilde{\rho}_A^{\lambda} U^{\dagger} \otimes V \tilde{\rho}_C^{\lambda} V^{\dagger} \right).$$

[Such states satisfy  $\tilde{\rho}_{A^{\prime}C^{\prime}}^{T_{C^{\prime}}} = \tilde{\rho}_{A^{\prime}C^{\prime}}.]$ 

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• We show that if we find a real strategy to recover  $\bar{P}$  there are specific real isometries  $\tilde{U}_{A \to AA'A''}$ ,  $\tilde{V}_{C \to CC'C''}$  such that

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→ Contradiction!

