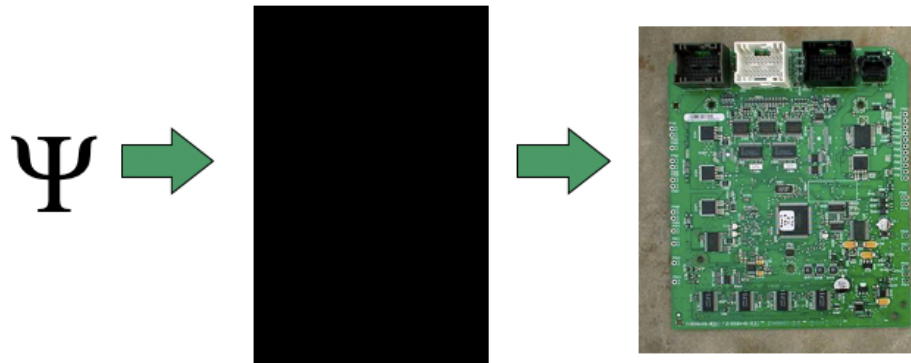


Rational Synthesis

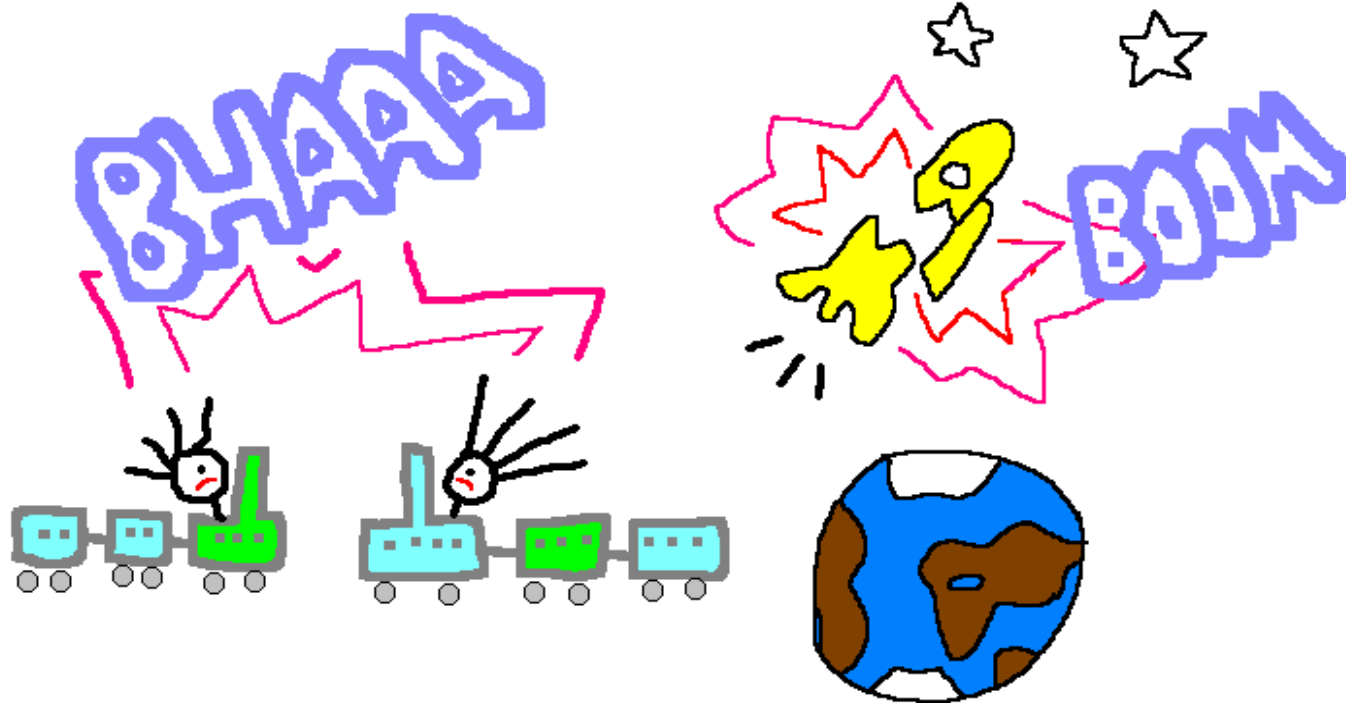


Orna Kupferman

Hebrew University

Joint work with Shaul Almagor, Dana Fisman, Yoad Lustig, Giuseppe Perelli, and Moshe Y. Vardi

Is the system correct?



Synthesis:

Input: a specification ψ .

Output: a system satisfying ψ .

Is the system correct?

Yes! it satisfies its specification.

Synthesis:

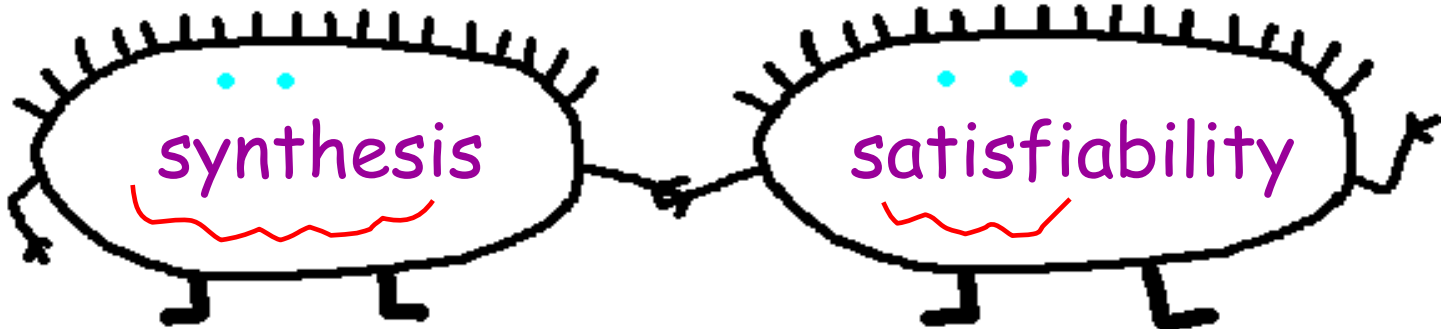
Input: a specification ψ .

Output: a system satisfying ψ .

Input: $p \wedge q$.

Output: p, q

truth assignment
for $p \wedge q$.



An example:



user 1




user 2

1. Whenever user i sends a job, the job is eventually printed.
2. The printer does not serve the two users simultaneously.

$$AP = \{j1, j2, p1, p2\}$$

1. $G(j1 \rightarrow F p1) \wedge G(j2 \rightarrow F p2)$
2. $G((\neg p1) \vee (\neg p2))$

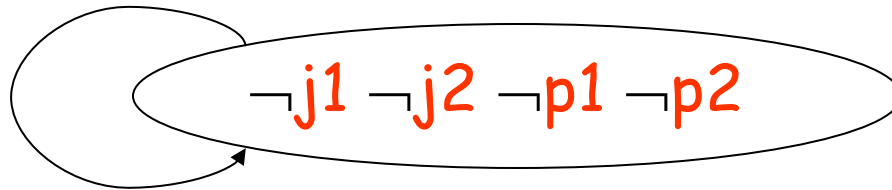
Let's synthesize a scheduler that satisfies the specification ψ ...

Satisfiability of ψ  such a scheduler exists?

NO!

A model for ψ  help in constructing a scheduler?

NO!



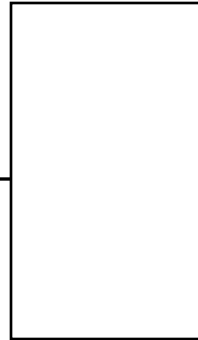
A model for ψ : a scheduler that is guaranteed to satisfy ψ for **some** input sequence.

Wanted: a scheduler that is guaranteed to satisfy ψ for **all** input sequences.

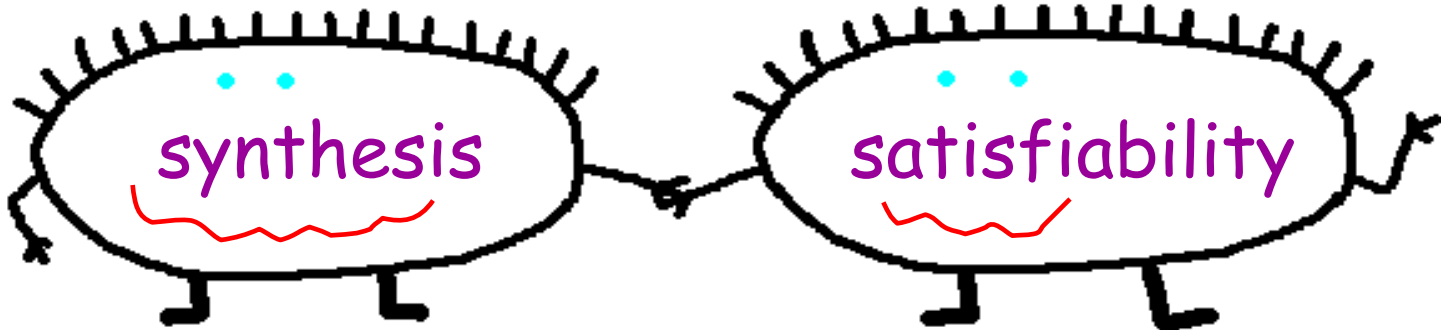
Closed vs. open systems

Closed system: no input!

$o_0, o_1, o_2, \dots, o_i$

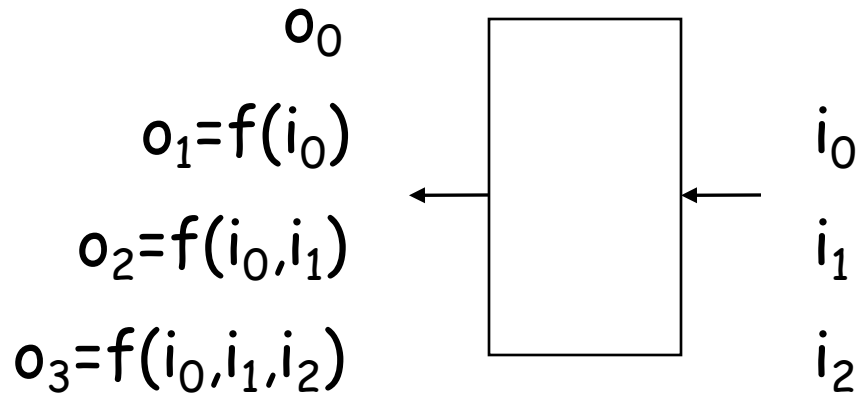


all input sequences = some input sequence



Closed vs. open systems

Open system: interacts with an environment!



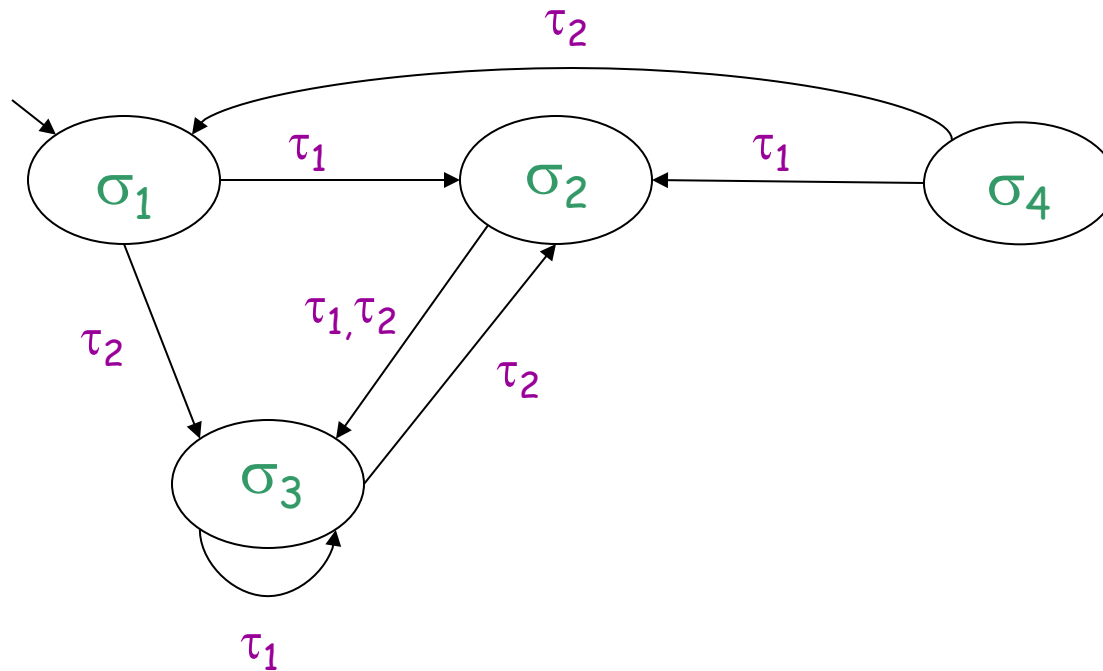
$$AP = I \cup O$$

An open system: ~~$f \cdot (2^I)^* \rightarrow 2^O$~~

starategy

$f:(2^I)^* \rightarrow 2^O$ is a **regular strategy** if for all $\sigma \in 2^O$, the set of words $w \in (2^I)^*$ for which $f(w)=\sigma$ is regular.

Regular strategies \rightarrow Finite-state transducers

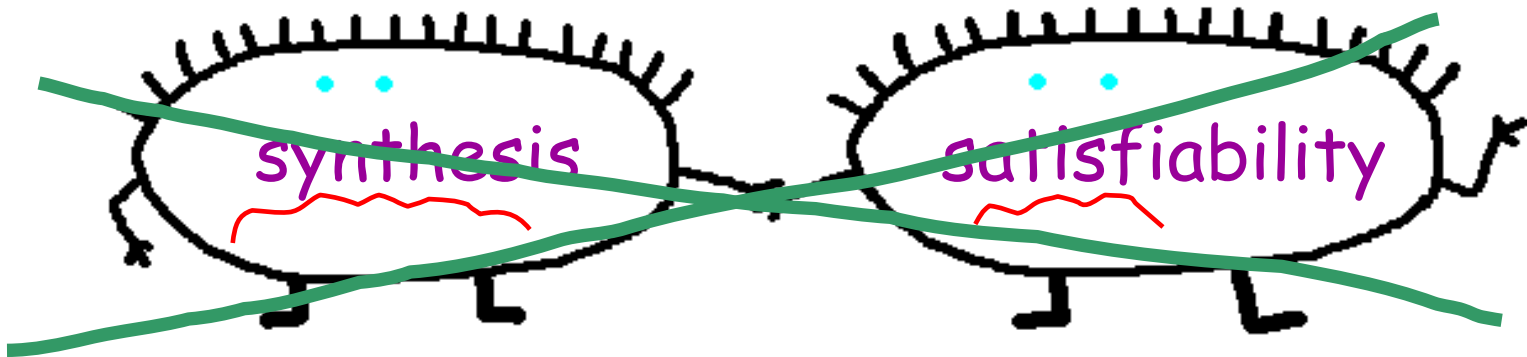


Closed vs. open systems

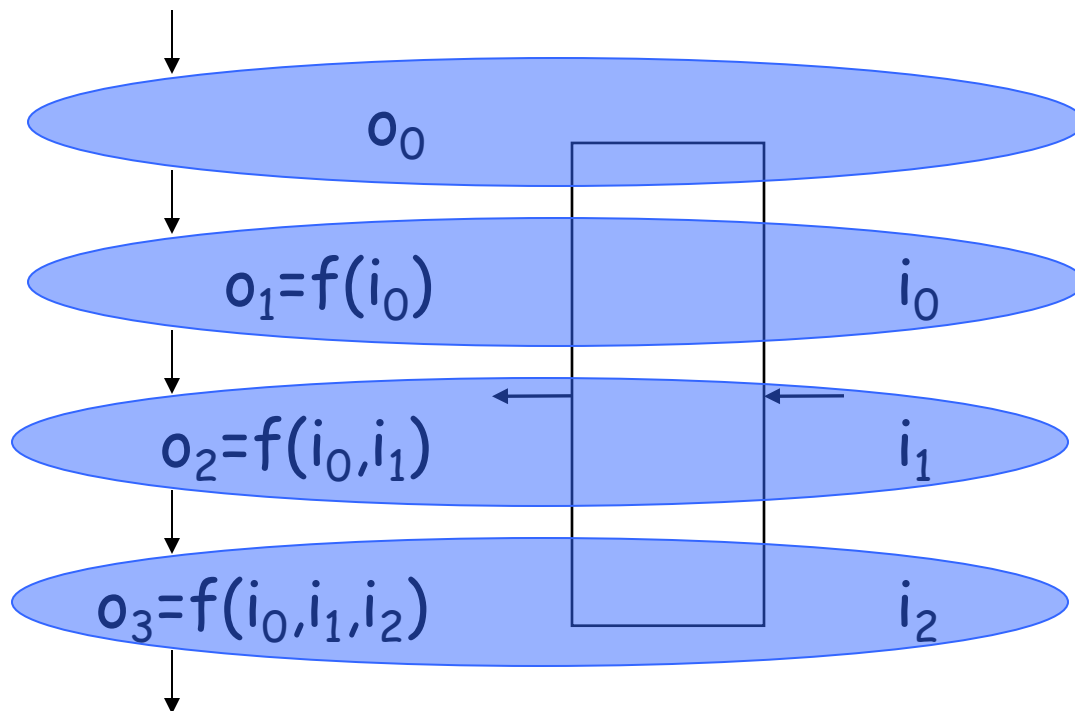
Open system: $f:(2^I)^* \rightarrow 2^O$

In the printer example: $I=\{j1,j2\}$, $O=\{p1,p2\}$

$f:(\{\{\},\{j1\},\{j2\},\{j1,j2\}\})^* \rightarrow \{\{\},\{p1\},\{p2\},\{p1,p2\}\}$



A computation of f :



$$(f(\varepsilon)) \rightarrow (i_0, f(i_0)) \rightarrow (i_1, f(i_0, i_1)) \rightarrow (i_2, f(i_0, i_1, i_2)) \rightarrow \dots$$

The specification ψ is **realizable** if there is $f: (2^I)^* \rightarrow 2^O$ such that all the computations of f satisfy ψ .

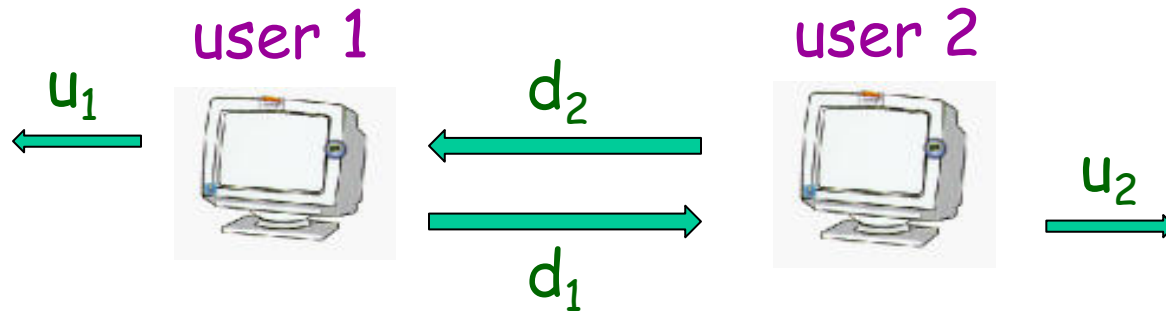


An open system is correct if it satisfies its specification in **all** environments.

Too strong: Add assumptions on the environment (behavioral or structural).

Rational synthesis: the components that compose the environment have their own objectives and are rational.
[Fisman, Lustig, Kupferman 2010]

An example:



User 1 can download only when User 2 uploads.

User 2 can download only when User 1 uploads.

Both users want to download infinitely often.

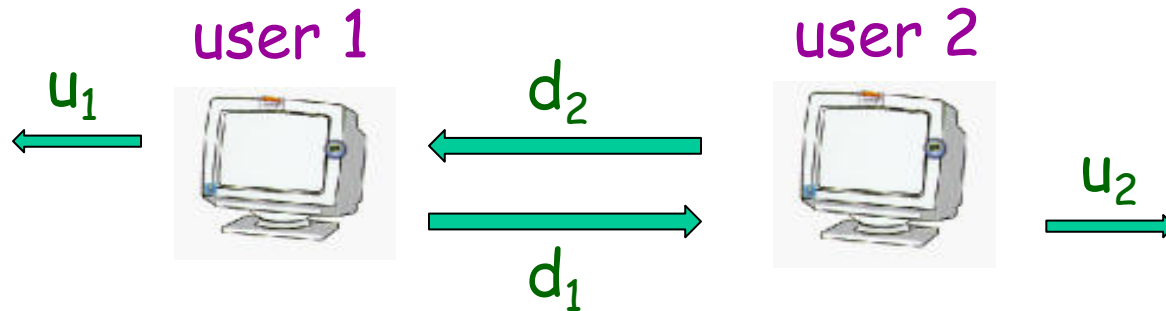
$$\varphi_1 = GF(d_1 \wedge u_2)$$

$$\varphi_2 = GF(d_2 \wedge u_1)$$

φ_1 is not realizable:

- fails when User 2 never uploads.

An example:



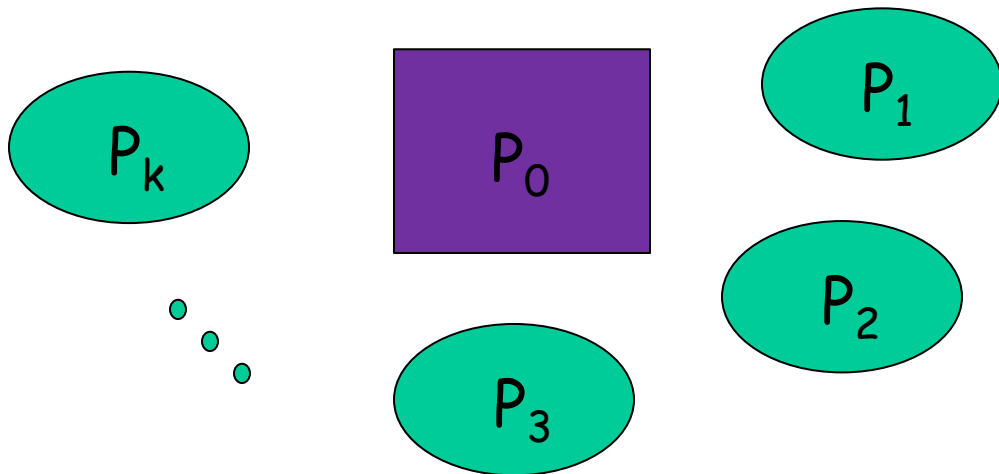
$$\varphi_1 = GF(d_1 \wedge u_2)$$

$$\varphi_2 = GF(d_2 \wedge u_1)$$

User 1 to User 2: I will upload, and will continue to upload as long as you upload.

A rational User 2 will upload forever, enabling User 1 to satisfy φ_1 .

Rational Synthesis [FKL10]

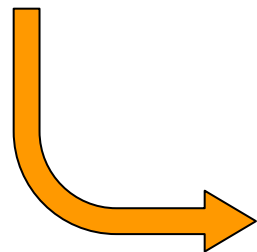


$$X = X_0 \cup \dots \cup X_k$$

P_i assigns values to X_i

Input: objectives ψ and $\varphi_1, \dots, \varphi_k$.

Output: a **stable** profile $\langle f_0, \dots, f_k \rangle$ that satisfies ψ .



$P_1 \dots P_k$ have no incentive to deviate

Cooperative Rational Synthesis [FKL10]

Input: objectives ψ and $\varphi_1, \dots, \varphi_k$.

Output: a stable profile $\langle f_0, \dots, f_k \rangle$ that satisfies ψ .

We can suggest a strategy to the environment...

Cooperative Rational Synthesis [FKL10]

Input: objectives ψ and $\varphi_1, \dots, \varphi_k$.

Output: a stable profile $\langle f_0, \dots, f_k \rangle$ that satisfies ψ .

Non-Cooperative Rational Synthesis [KPV13]

Input: objectives ψ and $\varphi_1, \dots, \varphi_k$.

Output: a strategy f_0 such that every stable profile $\langle f_0, \dots, f_k \rangle$ satisfies ψ .

How different they are?

Algorithmic Game Theory

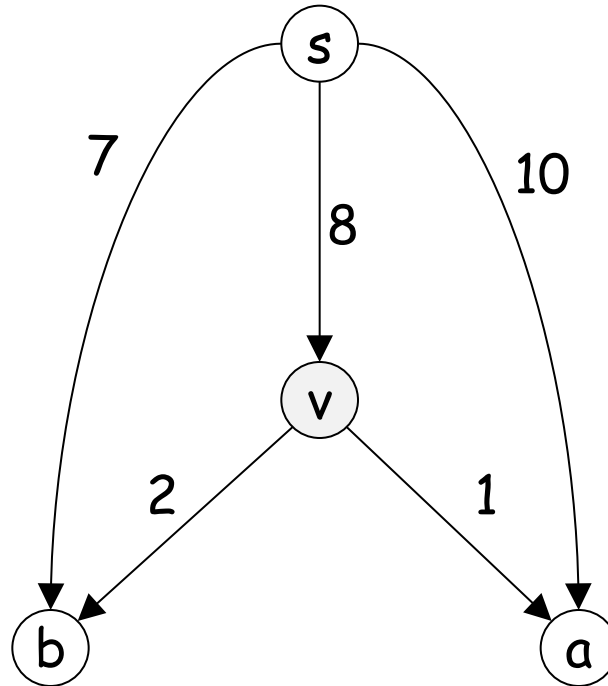


A network

(b) locations.

communication channels.

6 cost of creating the channel.



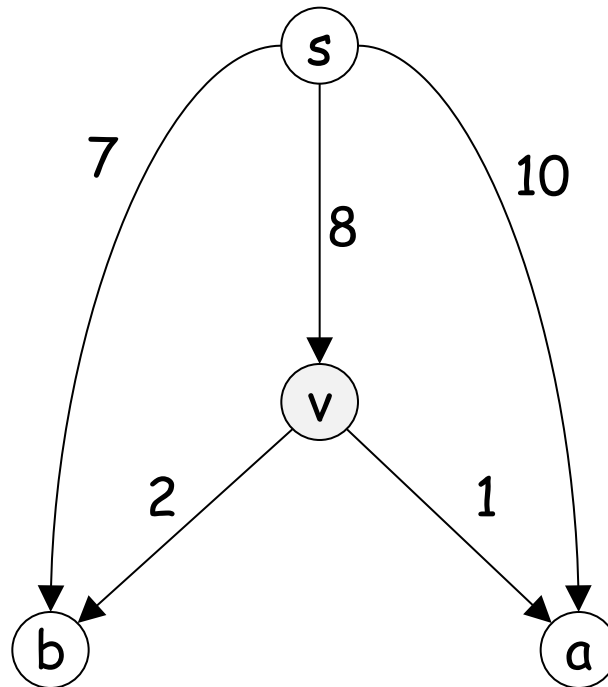
A network formation game

[Anshelevich, Dasgupta, Kleinberg, Tardos, Wexler, Roughgarden 2004]

(b) locations.

communication channels.

6 cost of creating the channel.



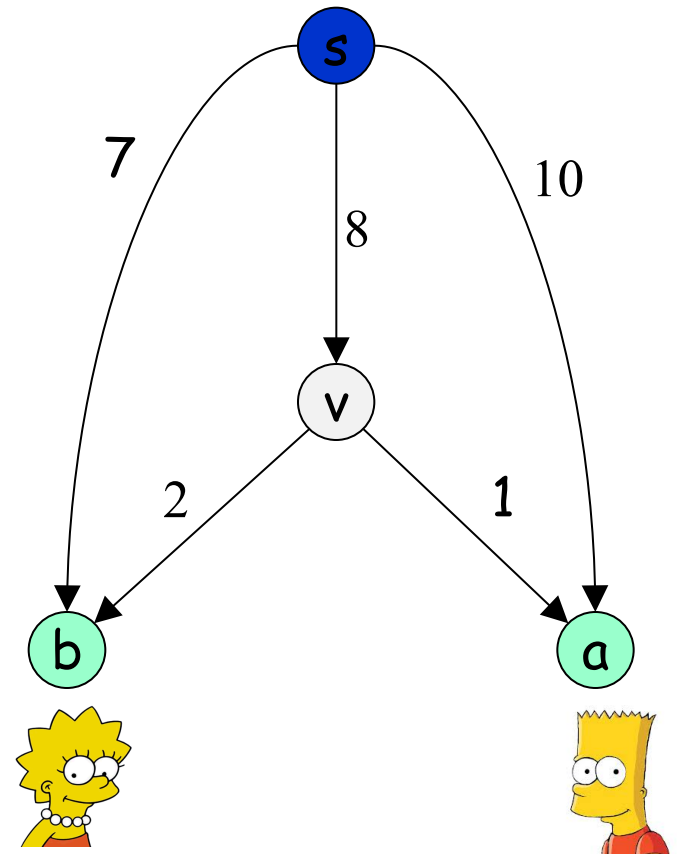
Players that need to transmit messages between locations in the network.

A network formation game: example

Two players need to transmit messages from **s**

Player 1  needs to reach **a**

Player 2  needs to reach **b**




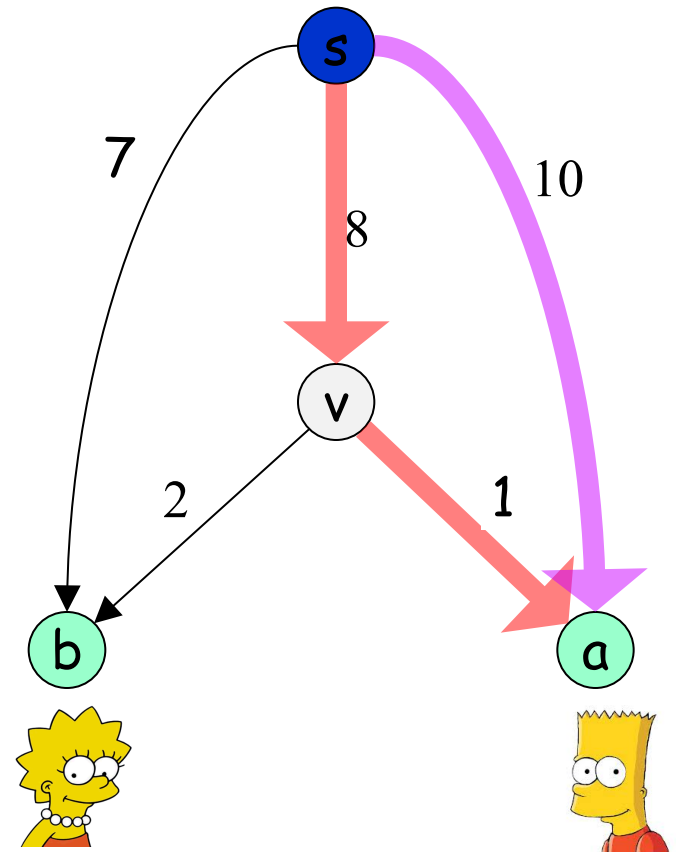
A network formation game: example

Two players need to transmit messages from **s**

Player 1  needs to reach **a**

Player 2  needs to reach **b**

The strategy space of  :
 $\{ \langle \langle s,v \rangle, \langle v,a \rangle \rangle, \langle \langle s,a \rangle \rangle \}$




A network formation game: example

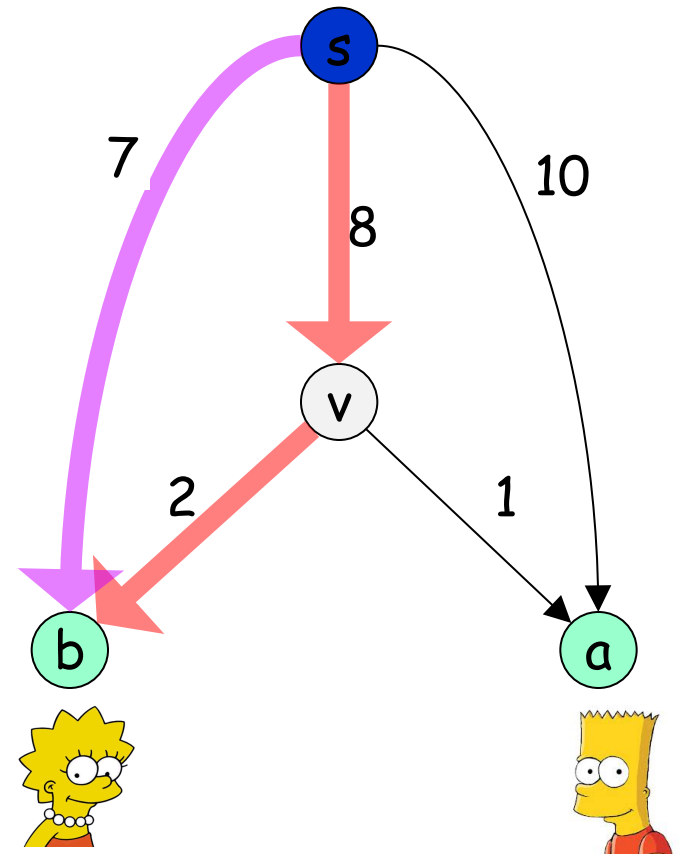
Two players need to transmit messages from **s**

Player 1  needs to reach **a**

Player 2  needs to reach **b**

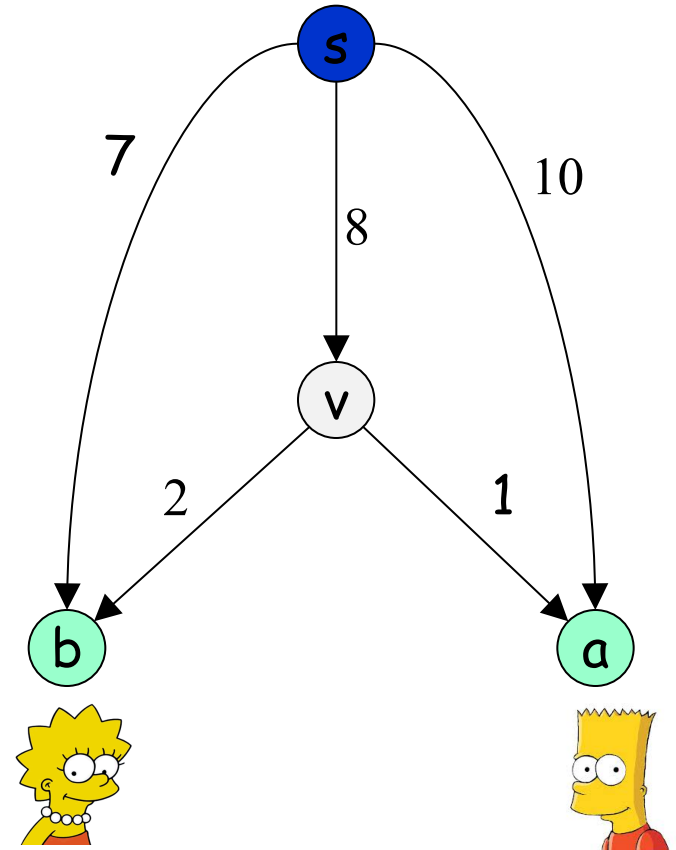
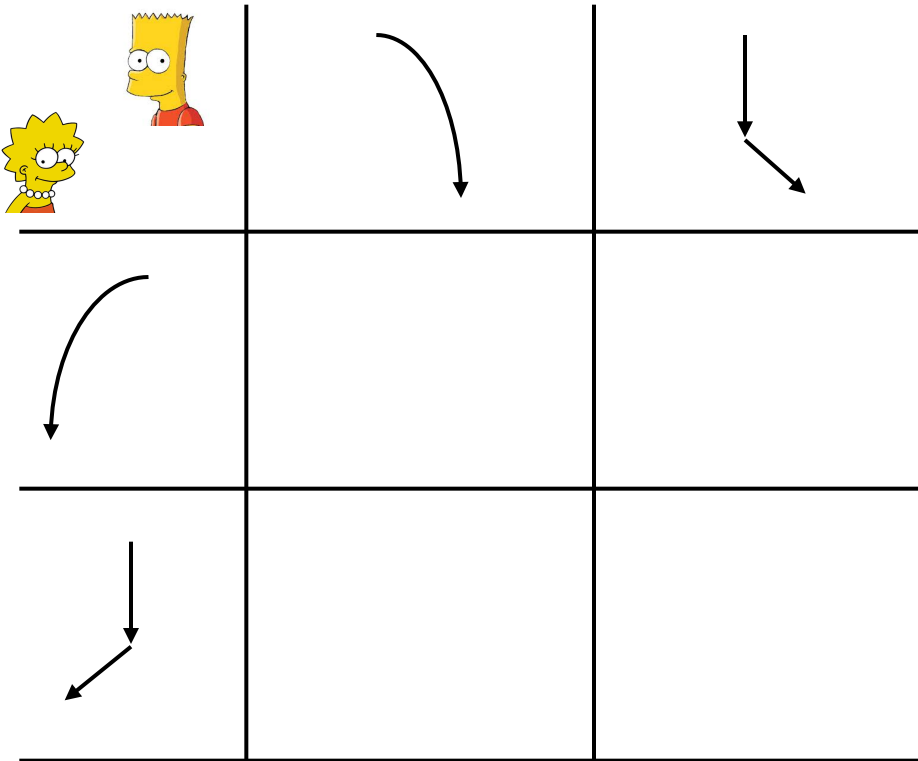
The strategy space of  :
 $\{ \langle s, v \rangle, \langle v, a \rangle \}, \langle s, a \rangle \}$

The strategy space of  :
 $\langle s, b \rangle, \langle s, v \rangle, \langle v, b \rangle \}$



A **profile** is a choice of strategy for each player.

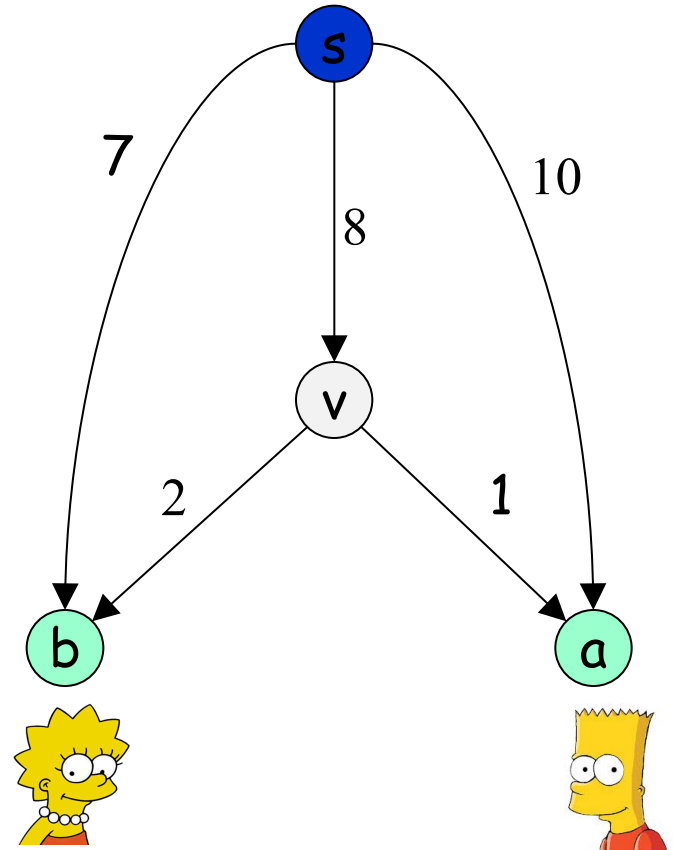
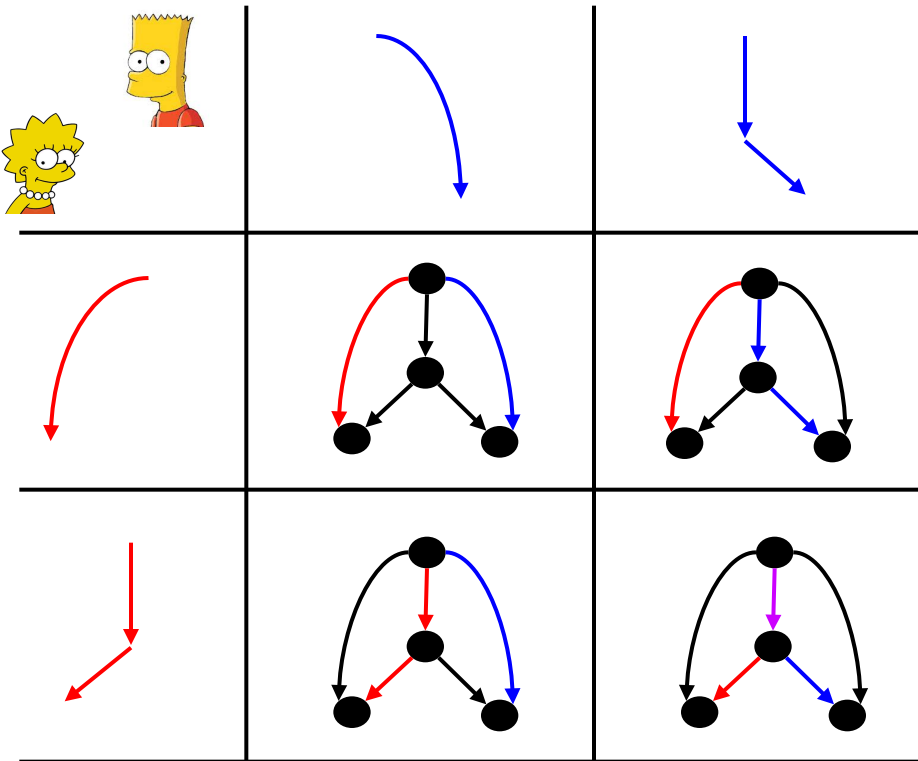
Four possible **profiles** in our example:



What are the payments?

A **profile** is a choice of strategy for each player.

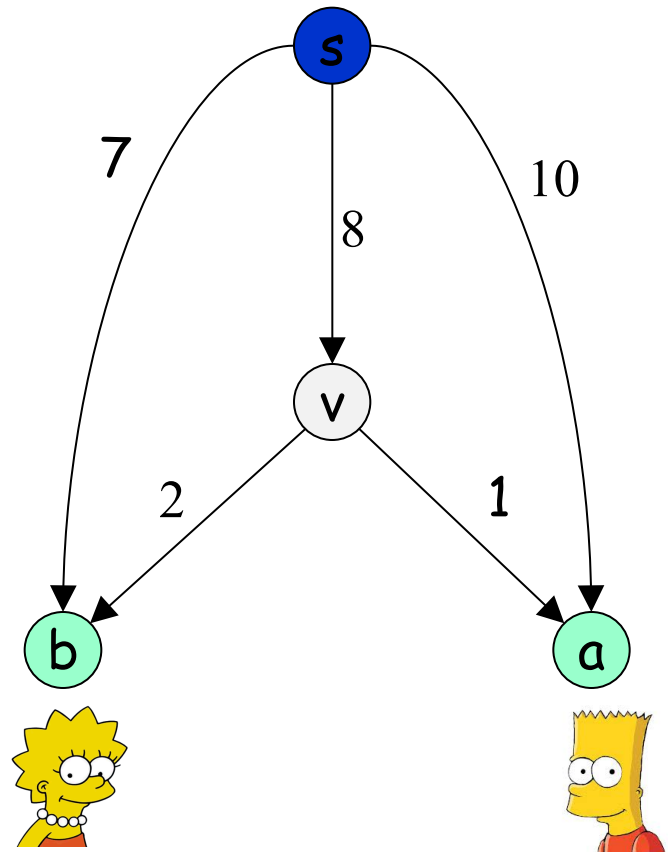
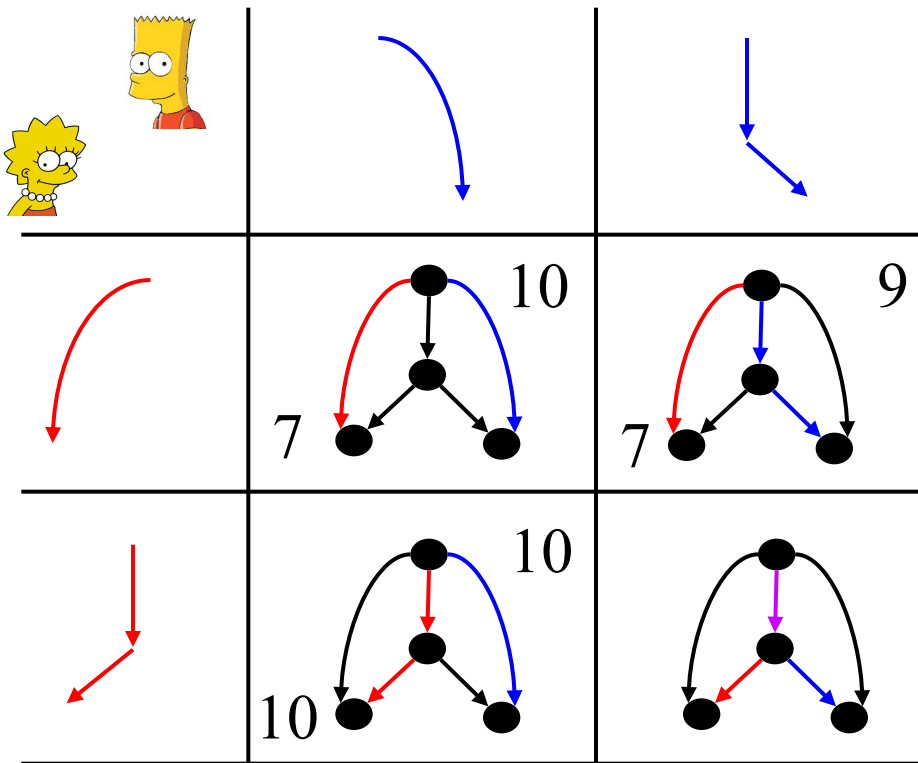
Four possible **profiles** in our example:



What are the payments?

A **profile** is a choice of strategy for each player.

Four possible **profiles** in our example:



What are the payments?

How is a cost shared?

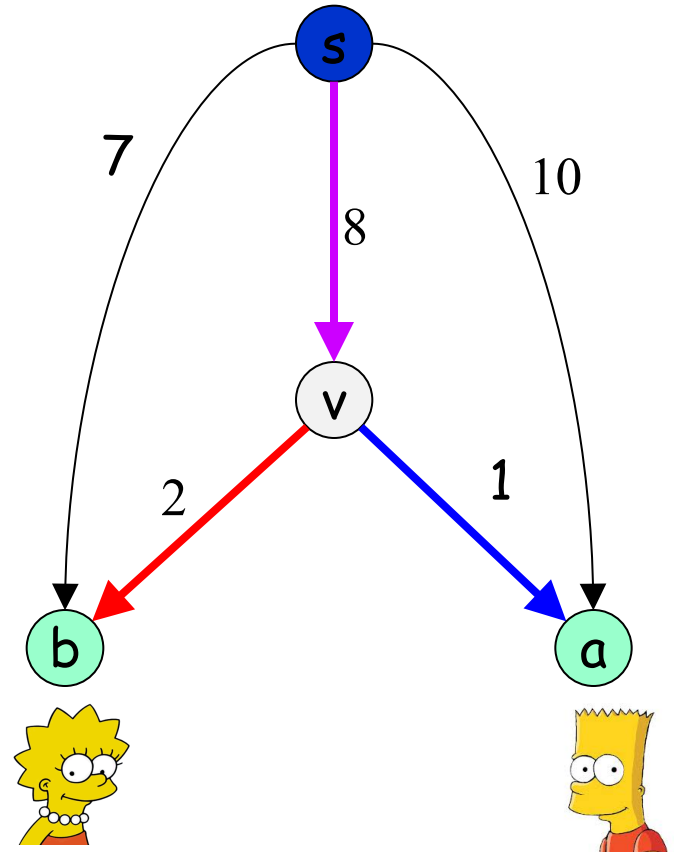
Players that use the same channel share its cost:



$$\frac{8}{2} + 2 = 6$$

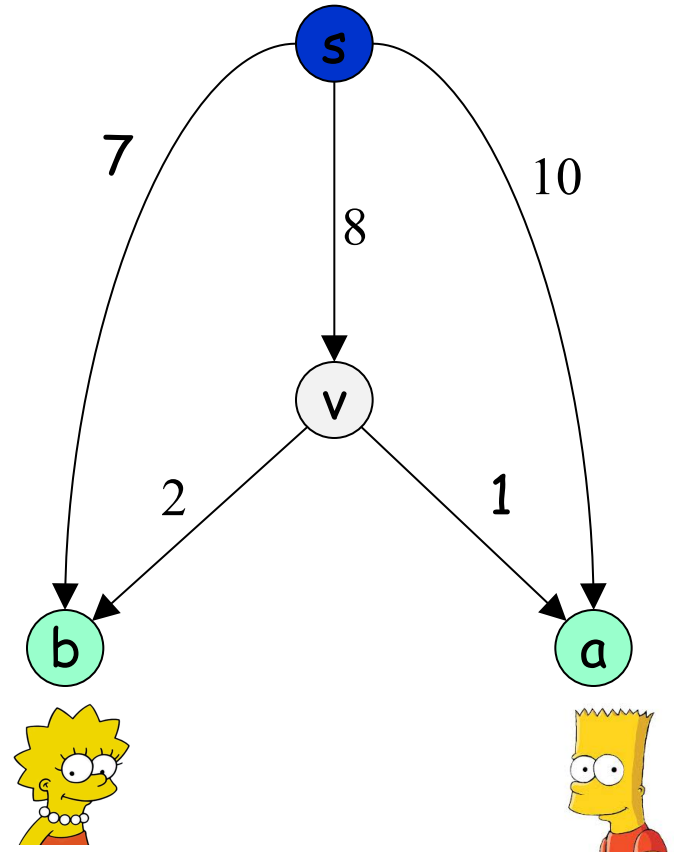
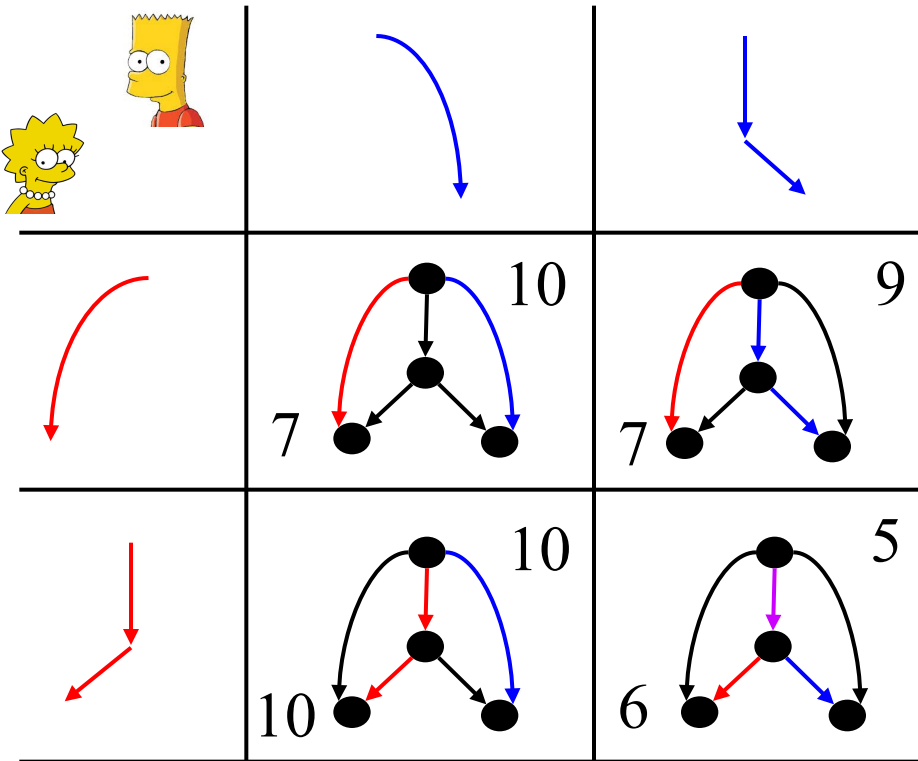


$$\frac{8}{2} + 1 = 5$$



A **profile** is a choice of strategy for each player.

Four possible **profiles** in our example:



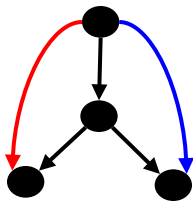
Best response dynamics (BRD):


- A local search method: in each step some player is chosen and plays his best-response strategy, given the strategies of the others.
- BRD converges when no player wants to change his strategy.



Best response dynamics.

Example: starting from



Cost for  :10

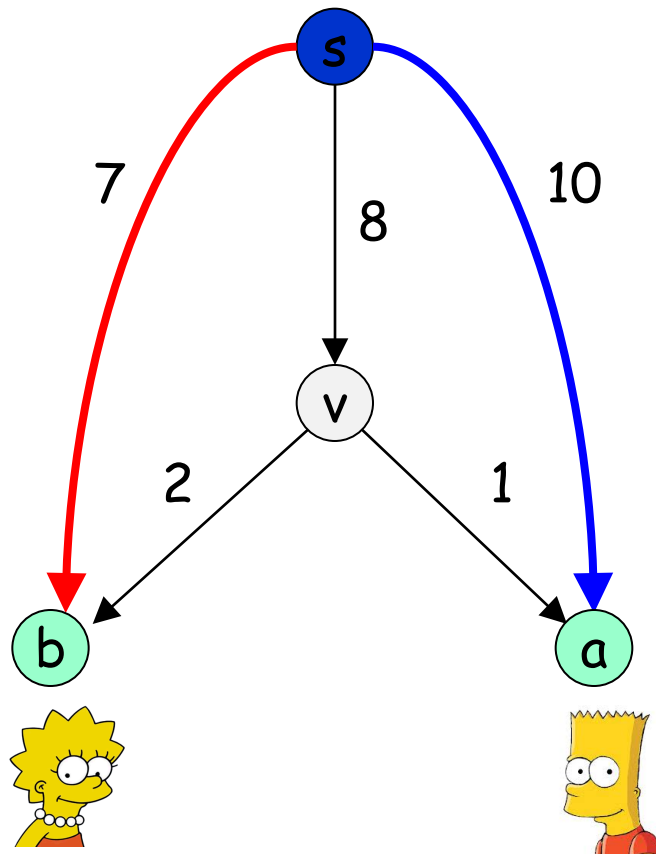
Cost for  :7

 , want to change strategy?


No, $7 < 10$

 , want to change strategy?


Sure, $9 < 10$



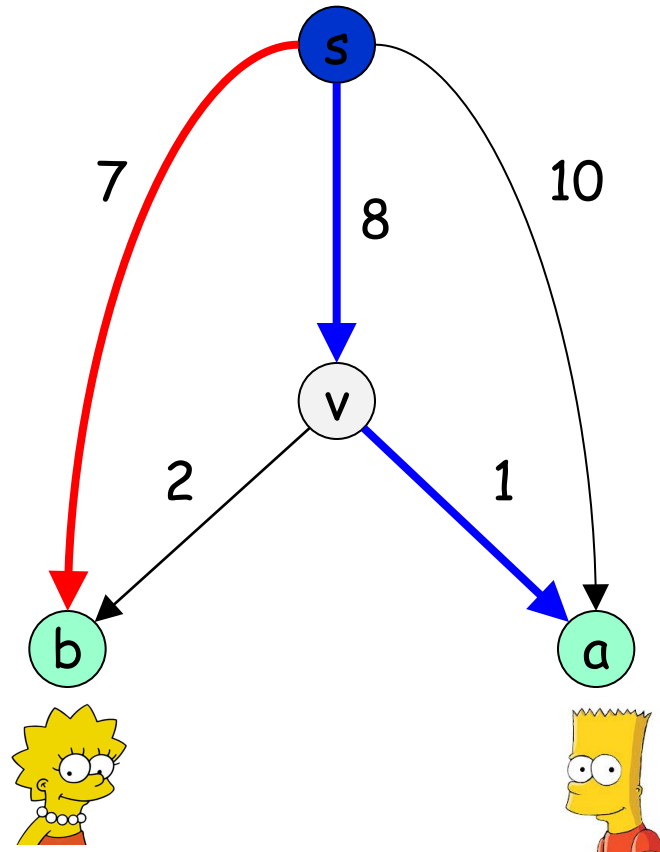
Best response dynamics.

Cost for  :9


Cost for  :7

 , want to change strategy?

Yes, $6 < 7$




Best response dynamics.

Cost for  :5

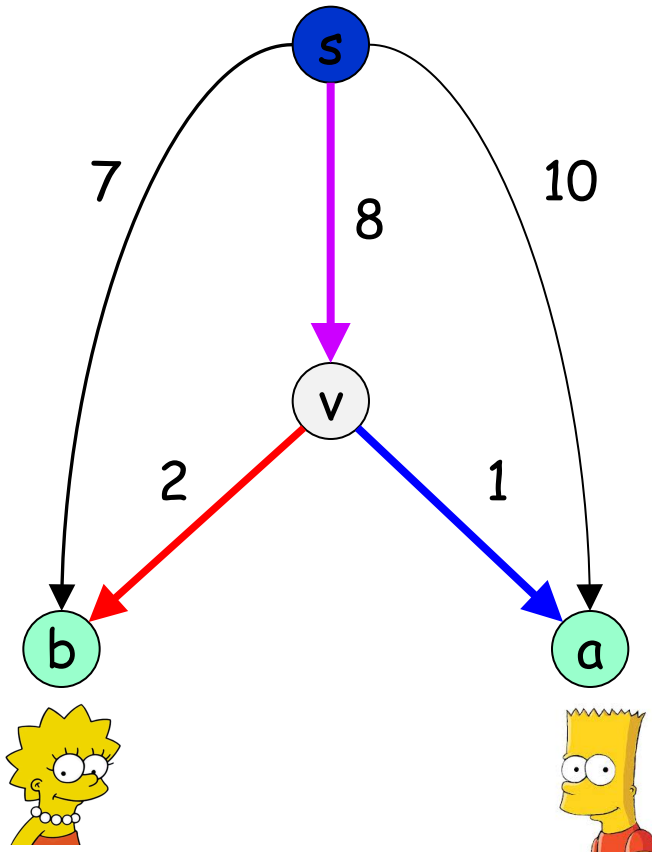
Cost for  :6

 , want to change strategy?

No, $5 < 10$

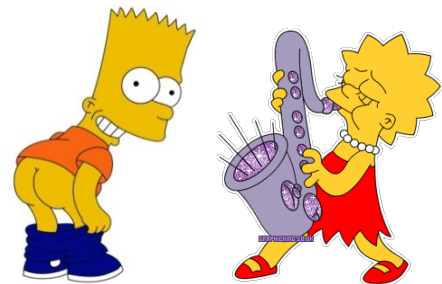
 , want to change strategy?

No, $6 < 7$



  BRD halts, we've reached a stable profile.

Nash Equilibria (NE): a profile of strategies such that no player can benefit from changing to another strategy (assuming the other players stay with their strategies).



BRD halts, we've reached a stable profile.

Interesting questions:

- Does best response dynamics always converge?



Yes! In all network formation games.

Proof: potential functions.

If profile P' is obtained by applying a best-response in profile P , then $\Phi(P') < \Phi(P)$.

Interesting questions:

- Does best response dynamics always converge?
- Will we reach a good Nash equilibrium?

What is "good"?

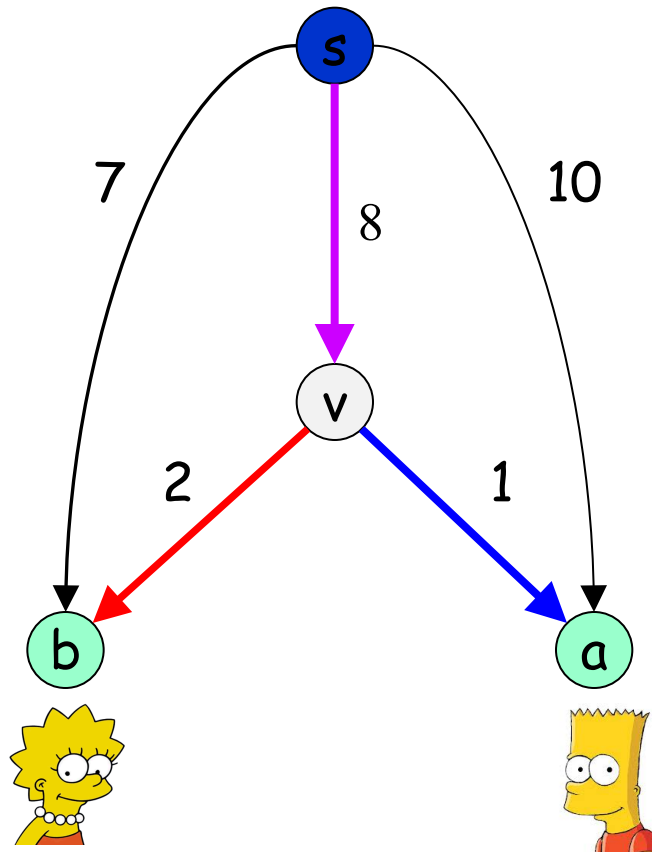
Social optimum (SO): minimizes the sum of the payments of all players together.

Good: equal (or at least close) to the social optimum.

How much do we lose from the absence of a centralized authority?



In our example:



$$SO = NE = 11$$

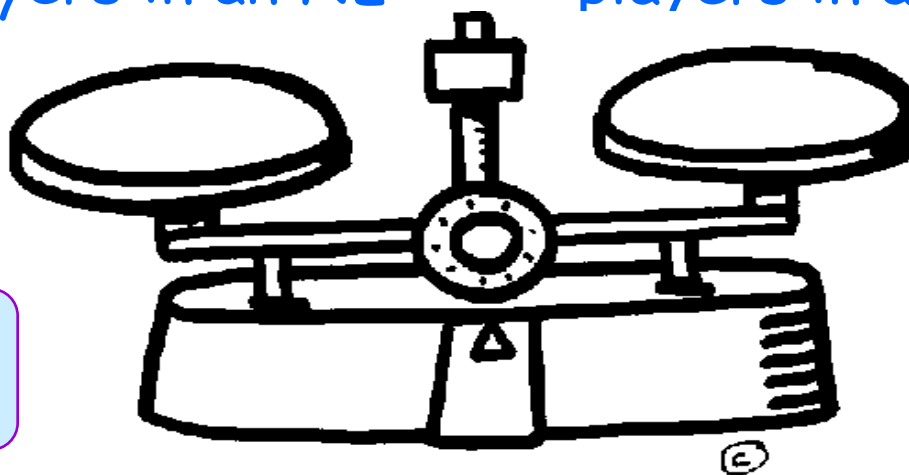
Interesting questions:



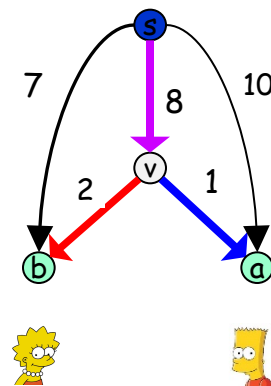
Will we reach a good Nash equilibrium?

Payments of the players in an NE

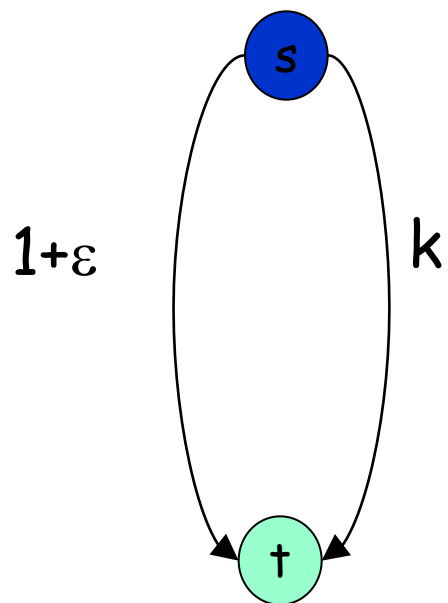
Payments of the players in a SO



NO!

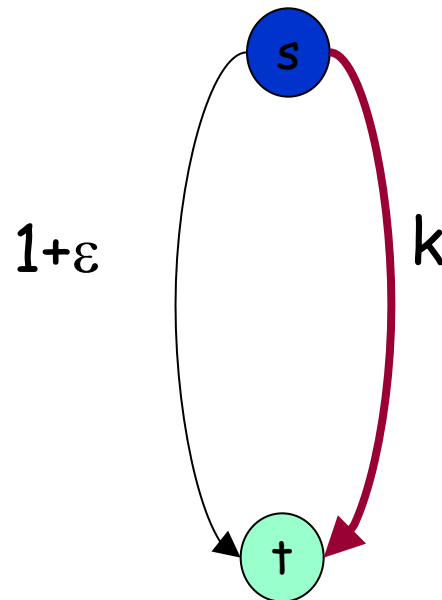


An NE may not be good!



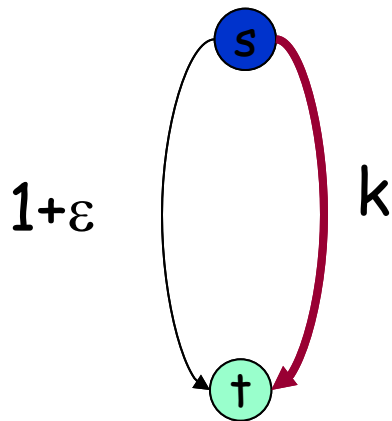
An NE may not be good!

- k players, all want to route from s to t
- All k players start in the channel that costs k .



Each player pays $\frac{k}{k}=1$

An NE may not be good!



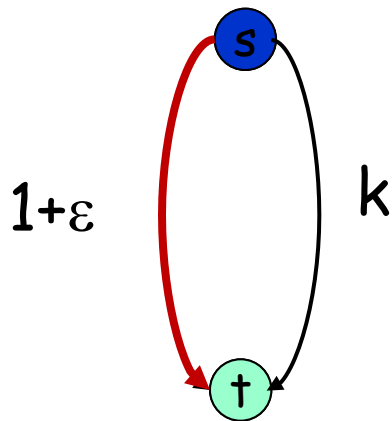
Now I am paying 1.
If I switch I would
need to pay $1+\epsilon$

- No one wants to switch!
A very bad NE.
Price of Anarchy = k

PoA: worst NE / SO.



An NE may not be good!



Now I am paying 1.
If I switch I would
need to pay $1+\varepsilon$



- No one wants to switch!
A very bad NE.

Price of Anarchy = k

- But, a good NE does exist.

Does there always exist a good NE?

Does there always exist a good NE?

For every network formation game, there exists a good NE - one whose cost is at most H_k . SO.

$$H_0 = 0,$$
$$H_k = 1 + \frac{1}{2} + \frac{1}{3} + \dots + \frac{1}{k} \approx \ln k$$

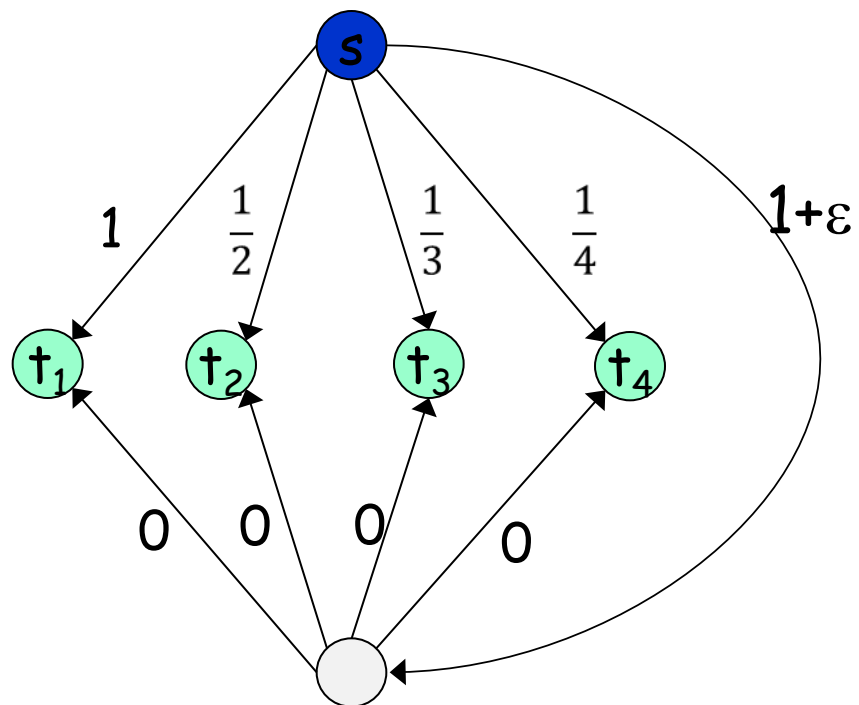
Price of stability: best NE / SO.



H_k is tight...

Does there always exist a good NE?

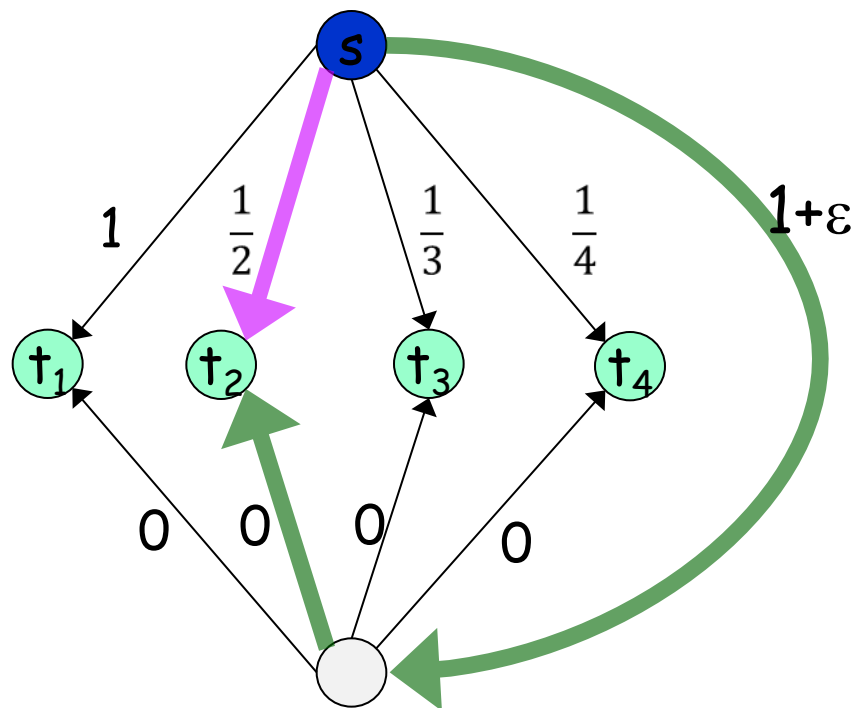
Four players want to route in the following network:



Does there always exist a good NE?

Four players want to route in the following network:

Each player has two possible strategies:
A **direct edge** or **via the vertex at the bottom**.



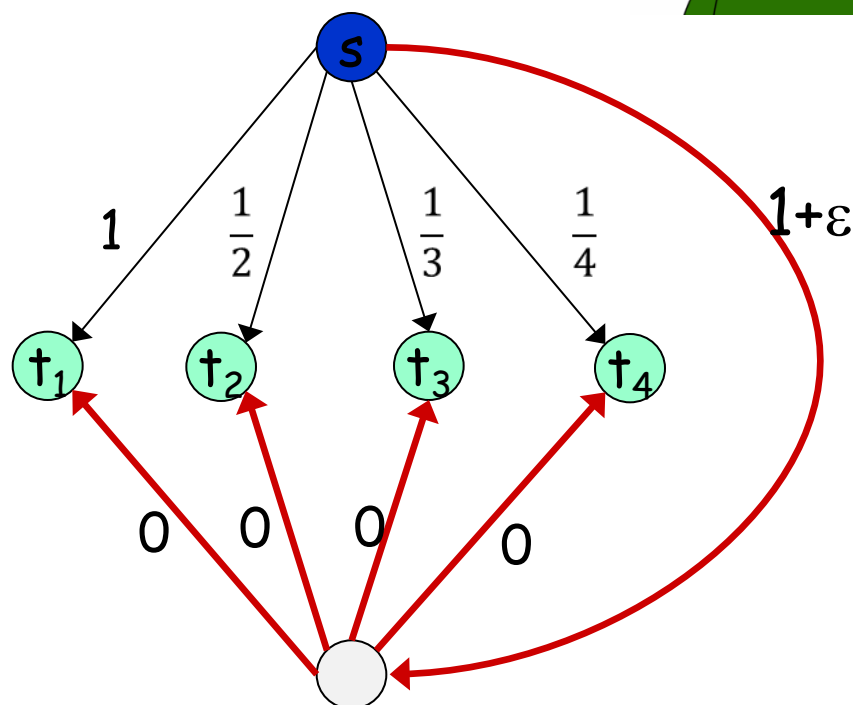
Does there always exist a good NE?



A profile that attains the social optimum:

Note: it costs $1+\epsilon$.

In this profile each player pays $\frac{1}{4}+\epsilon$.

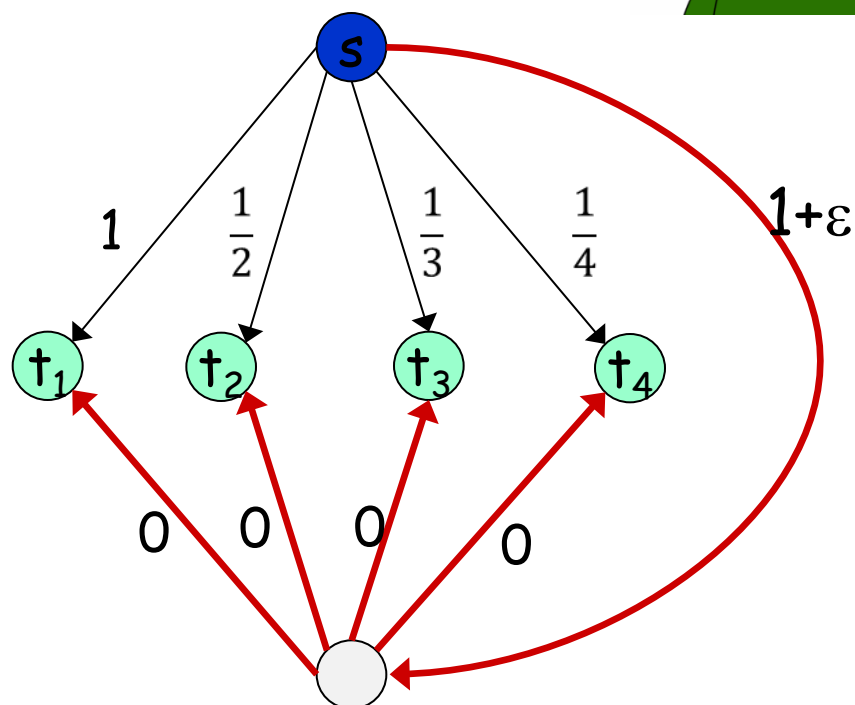


Does there always exist a good NE?

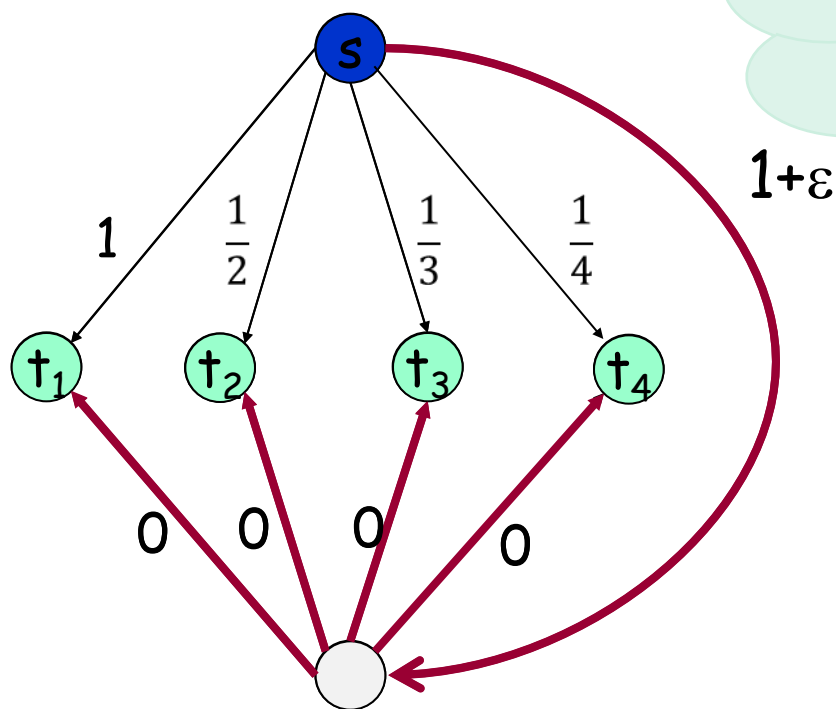


A profile that attains the social optimum:

But this is not an NE!



Does there always exist a good NE?

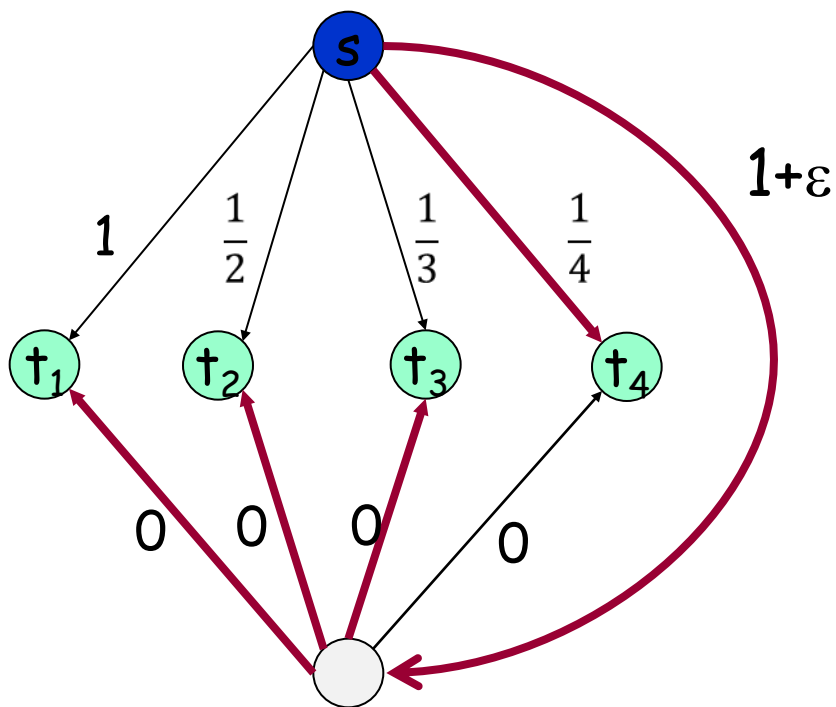


Why do I pay $\frac{1}{4}+\epsilon$ if I can pay exactly $\frac{1}{4}$?

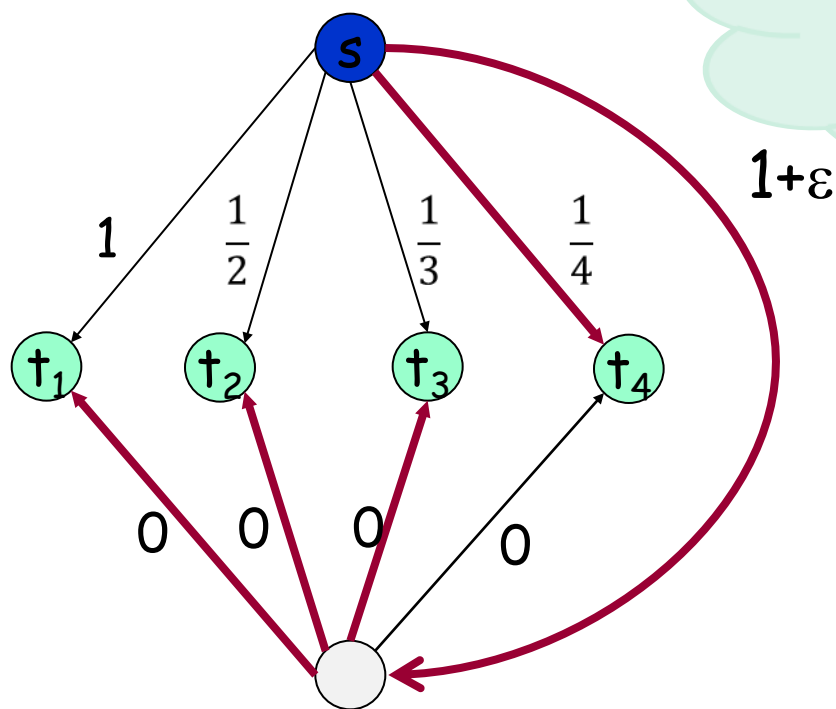


Player 4

Does there always exist a good NE?



Does there always exist a good NE?

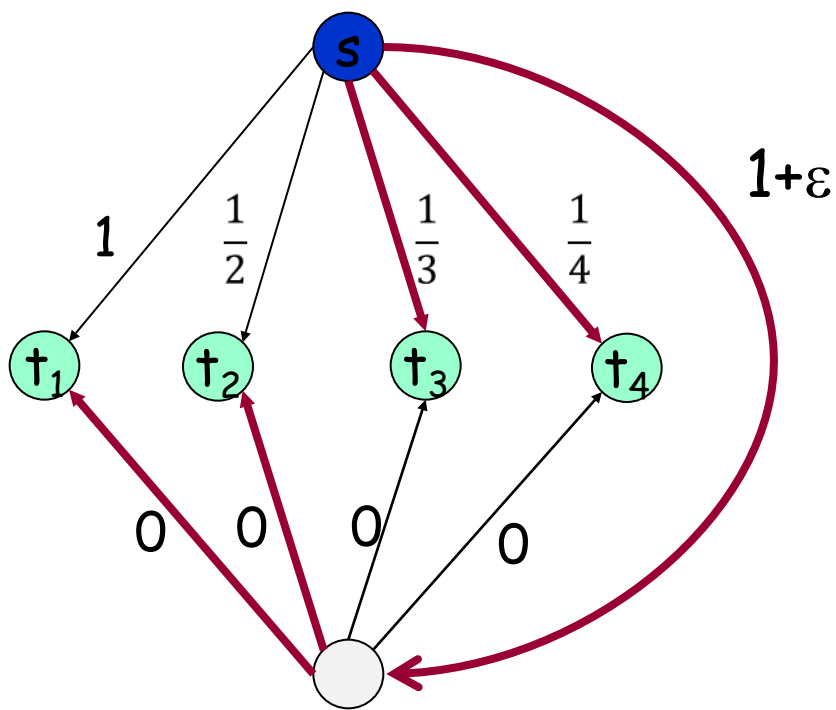


Why do I pay $\frac{1}{3}+\epsilon$ if I can pay exactly $\frac{1}{3}$?

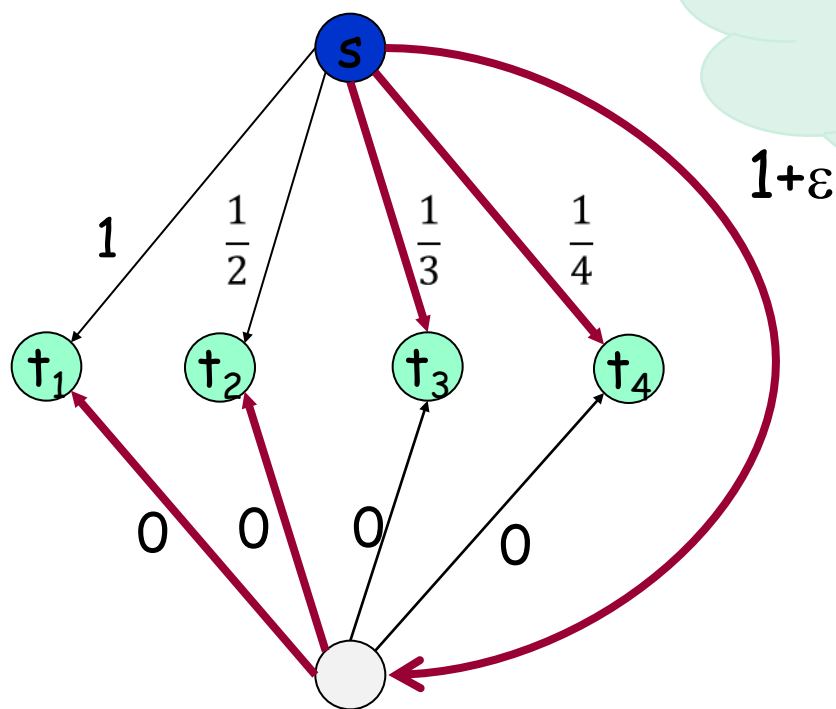


Player 3

Does there always exist a good NE?



Does there always exist a good NE?

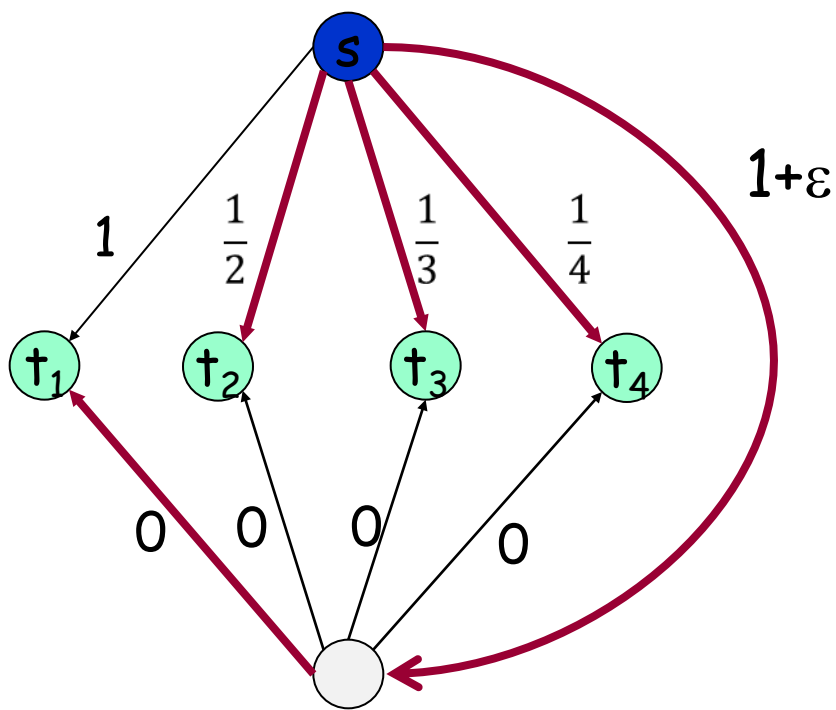


Why do I pay $\frac{1}{2}+\epsilon$ if I can pay exactly $\frac{1}{2}$?

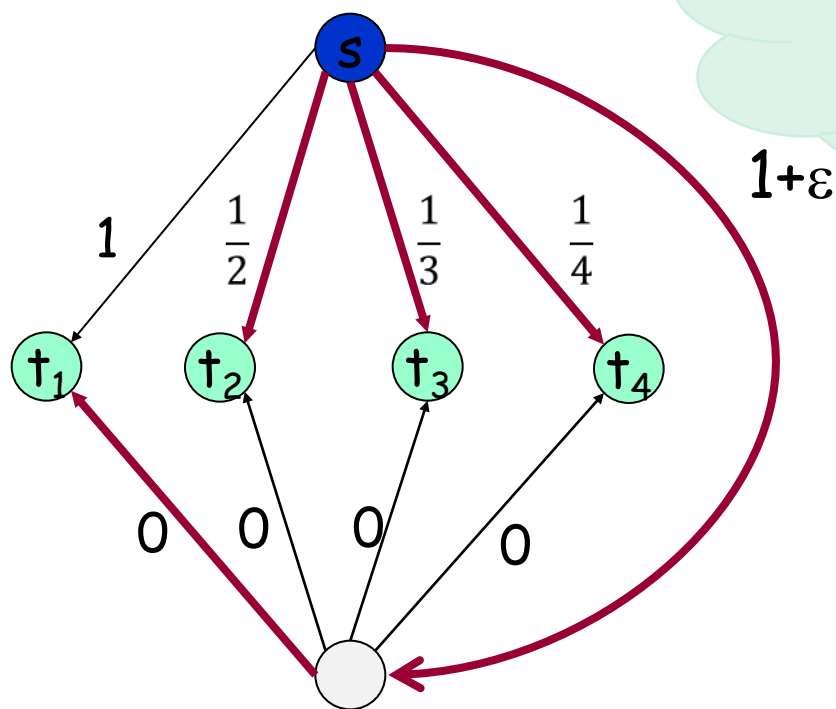


Player 2

Does there always exist a good NE?



Does there always exist a good NE?

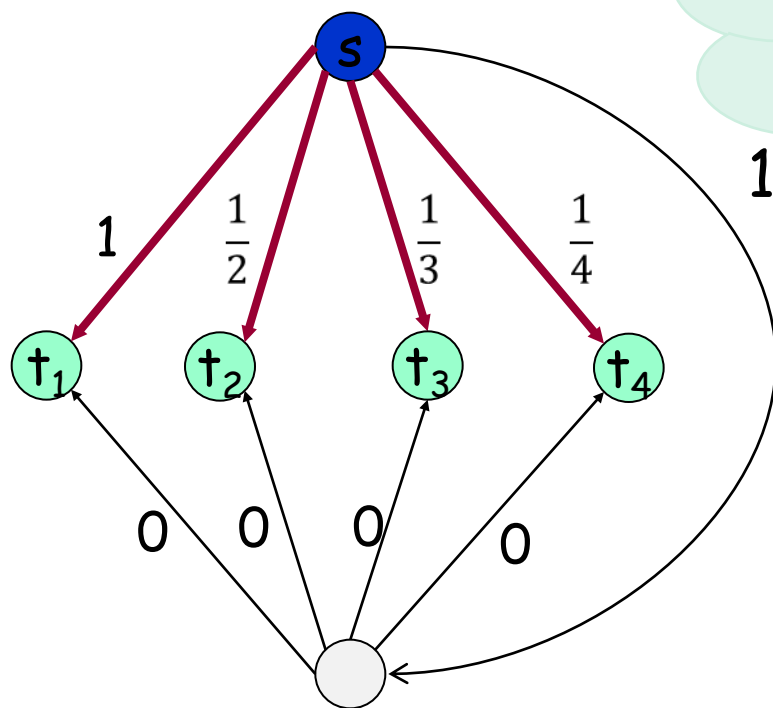


Damn, they left me
alone with the $1+\varepsilon$...



Player 1

Does there always exist a good NE?

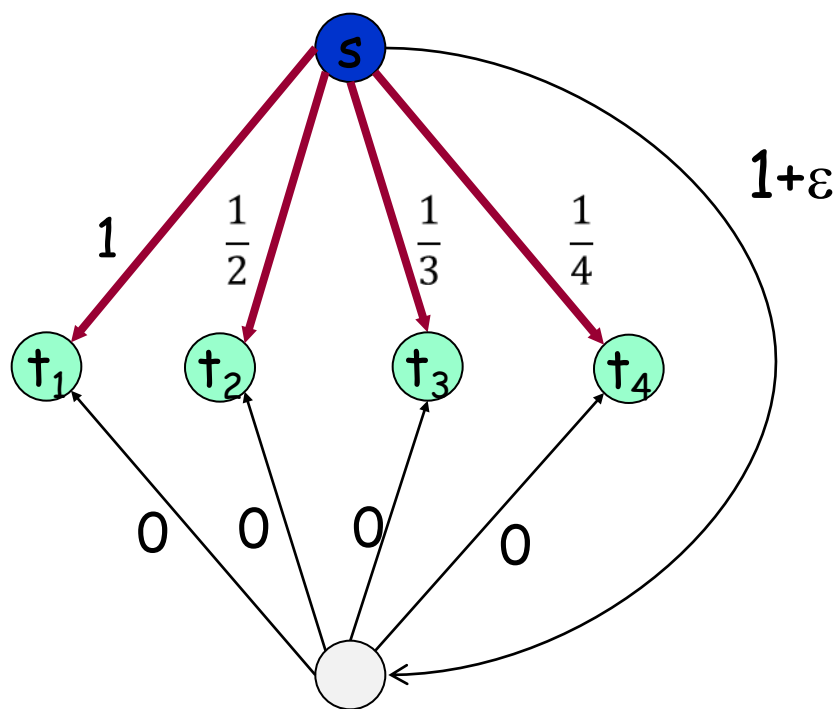


Damn, they left me
alone with the $1+\epsilon$...



Player 1

Does there always exist a good NE?



The price of the **only** stable (NE) profile:

$$1 + \frac{1}{2} + \frac{1}{3} + \frac{1}{4}$$

There is no good NE!

So, network formation games:



- Players have reachability objectives.
- Players that share a channel, share its cost.
- Nash Equilibrium (NE): a stable profile in which no player has an incentive to change his strategy
 - always exists in network formation games.
- Social Optimum (SO): a profile that minimizes the players' payments.
- Price of anarchy: worst NE/SO.
 - $PoA = k$ in network formation games.
- Price of stability: best NE /SO.
 - $PoS = H_k \approx \log k$ in network formation games.

BTW: [Avni, Kupferman, Tamir, 2013]



- Players may have **regular objectives** (in a labeled network).
- Strategies: paths that **need not be simple**.
- Players that share a channel, **share its cost proportionally**.

- An NE need not exist
- $PoS = PoA = k$.
- ...

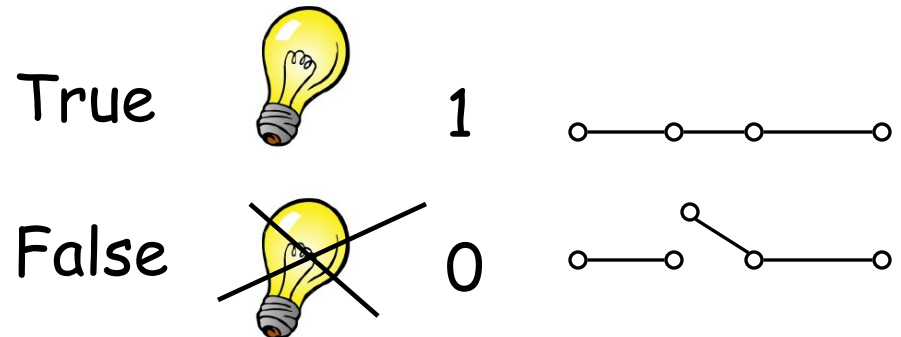
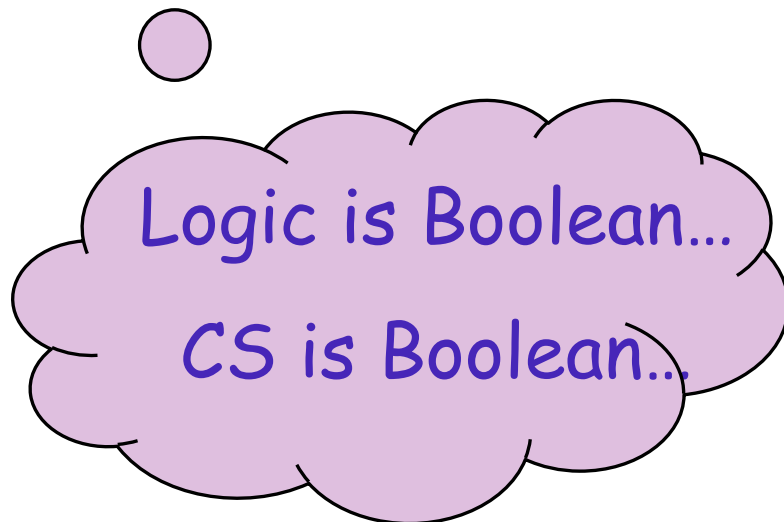
Back to Rational Synthesis

A stable (NE) profile $P = \langle f_0, \dots, f_k \rangle$:

for every i , if φ_i is not satisfied in P , then φ_i is not satisfied also in $P[i \leftarrow f'_i] = \langle f_0, \dots, f'_i, \dots, f_k \rangle$, for all alternative strategies f'_i for P_i .

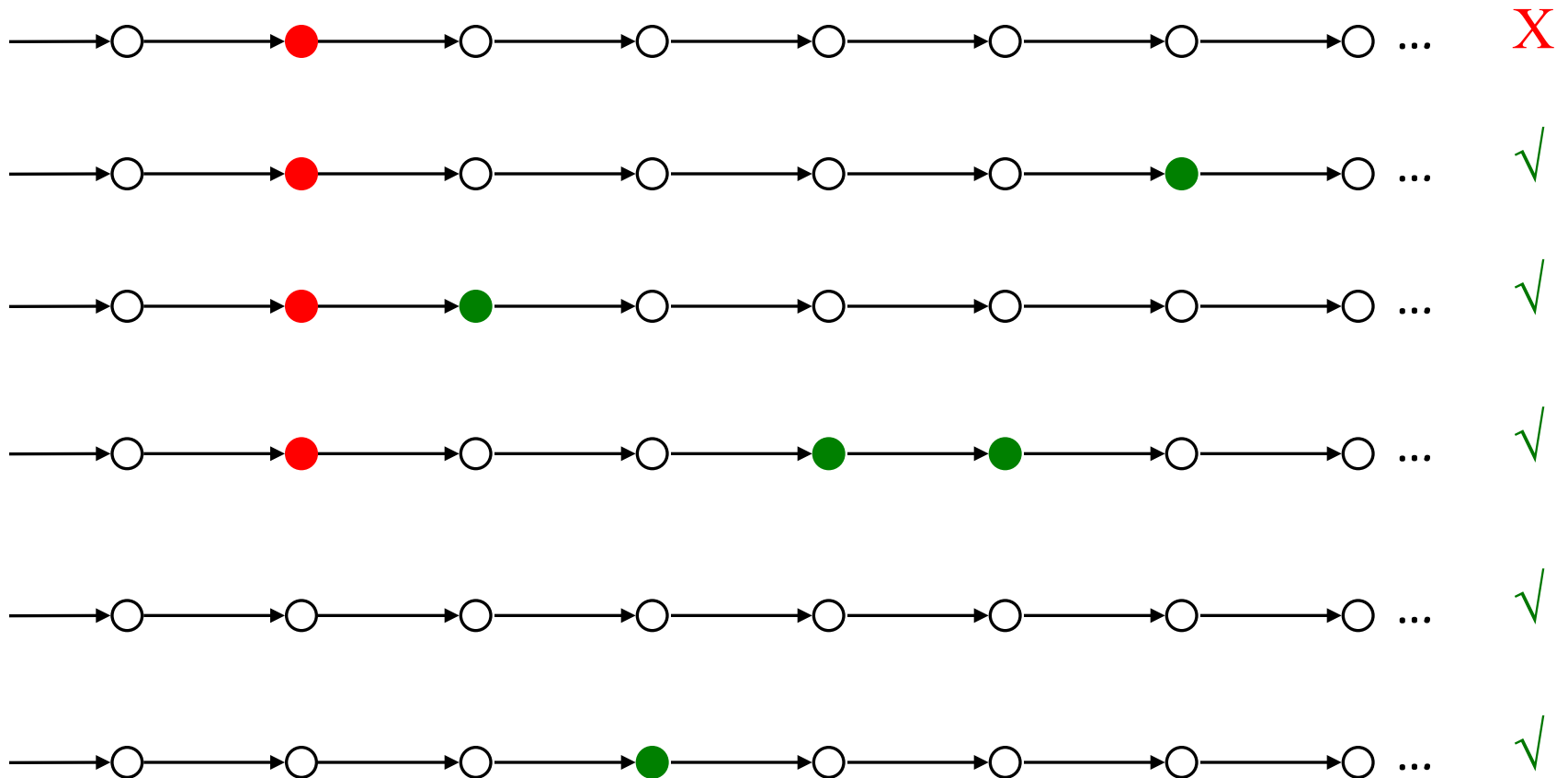
The objectives are Boolean!

- Network formation games: quantitative objectives!



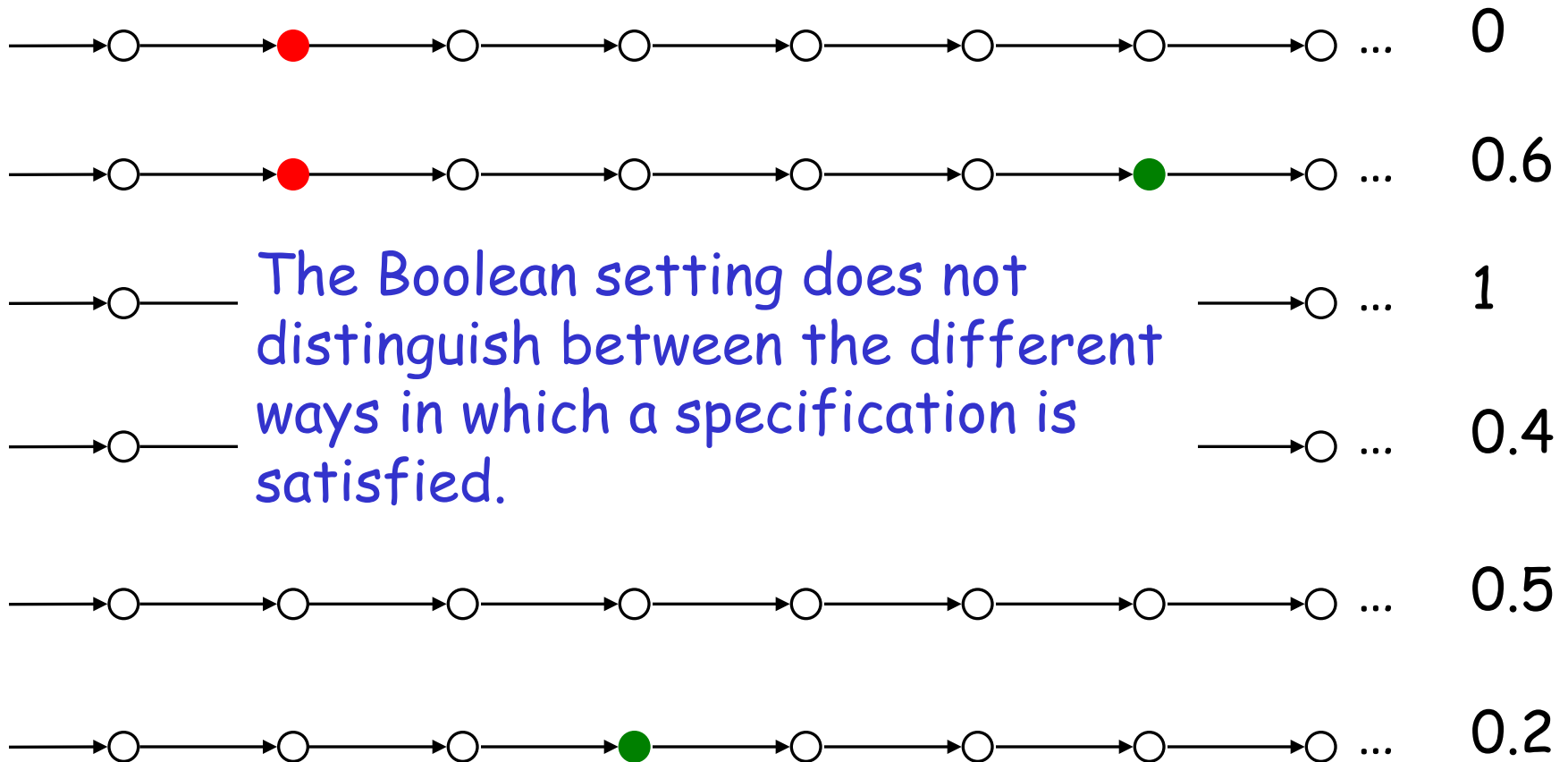
Is satisfaction really Boolean?

ALWAYS(request \rightarrow EVENTUALLY grant)



Is satisfaction really Boolean?

ALWAYS(request → EVENTUALLY grant)



Behavioral quality: [Almagor,Boker,Kupferman 2014]

The logics LTL[F] and LTL[D]:
multi-valued extensions of LTL.

LTL[F]:

The satisfaction value of an LTL[F] formula is in $[0,1]$.

0: "very bad". 1: very good.

F: a set of propositional-quality operators.

A k-ary operator $f:[0,1]^k \rightarrow [0,1]$

Examples: $x \wedge y$ $\min(x,y)$, $x \vee y$ $\max(x,y)$, $\neg x$ $1-x$

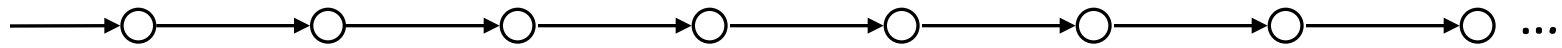
Semantics of LTL[F]:

$[[\pi, \psi]]$: the satisfaction value of ψ in π .

Formula	Satisfaction value
$[[\pi, \text{True}]]$	1
$[[\pi, \text{False}]]$	0
$[[\pi, p]]$	1 if $p \in \pi_0$ 0 if $p \notin \pi_0$
$[[\pi, f(\varphi_1, \dots, \varphi_k)]]$	$f([[\pi, \varphi_1]], \dots, [[\pi, \varphi_k]])$
$[[\pi, X\varphi_1]]$	$[[\pi^1, \varphi_1]]$
$[[\pi, \varphi_1 U \varphi_2]]$	$\max_{i \geq 0} \{ \min \{ [[\pi^i, \varphi_2]], \min_{0 \leq j < i} [[\pi^j, \varphi_1]] \} \}$

Indeed only finitely many possible values

$$[[\pi, \varphi_1 \cup \varphi_2]] = \max_{i \geq 0} \{ \min\{[[\pi^i, \varphi_2]], \min_{i > j \geq 0} \{[[\pi^j, \varphi_1]]\}\} \}$$



φ_2	0	0	0.3	0	0.6	0	0.8	0
φ_1	0.5	0.5	0.5	0.5	0.7	0.5	0.5	0.5



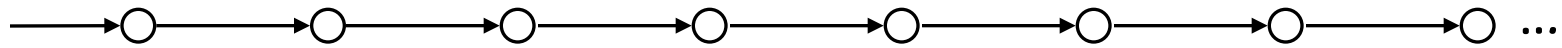
0.3



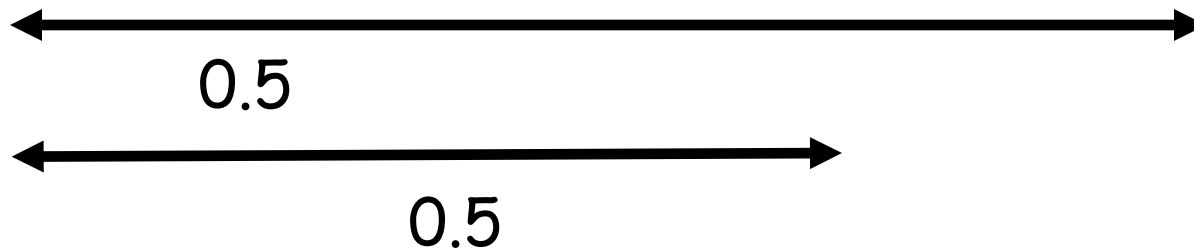
0.5

$$[[\pi, \varphi_1 \cup \varphi_2]] = \bigvee_{i \geq 0} \{ \bigwedge\{[[\pi^i, \varphi_2]], \bigwedge_{i > j \geq 0} \{[[\pi^j, \varphi_1]]\}\} \}$$

$$[[\pi, \varphi_1 \cup \varphi_2]] = \max_{i \geq 0} \{ \min\{[[\pi^i, \varphi_2]], \min_{i > j \geq 0} \{[[\pi^j, \varphi_1]]\}\} \}$$



φ_2	0	0	0.3	0	0.6	0	0.8	0
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$$[[\pi, \varphi_1 \cup \varphi_2]] = \bigvee_{i \geq 0} \{ \bigwedge\{[[\pi^i, \varphi_2]], \bigwedge_{i > j \geq 0} [[\pi^j, \varphi_1]]\} \}$$

Two useful quality operators:

For a parameter λ in $[0,1]$:

$$[[\pi, \nabla_{\lambda} \varphi]] = \lambda \cdot [[\pi, \varphi]].$$

$$[[\pi, \varphi_1 \oplus_{\lambda} \varphi_2]] = \lambda \cdot [[\pi, \varphi_1]] + (1-\lambda) \cdot [[\pi, \varphi_2]].$$

Prioritize different behaviors

$$\varphi_1 \vee \nabla_{3/4} \varphi_2 :$$

If φ_1 holds, the satisfaction value is 1.

If only φ_2 holds, the satisfaction value is $3/4$.

If none of them holds, the satisfaction value is 0.

Consider $G(p \rightarrow Xq \vee XXq)$.

LTL[F] variants:

$$G(p \rightarrow Xq \vee \nabla_{1/2} XXq)$$

Two q's: 1
Only the first: 1
Only the second: $\frac{1}{2}$

$$G(p \rightarrow Xq \oplus_{3/4} XXq)$$

Two q's: 1
Only the first: $\frac{3}{4}$
Only the second: $\frac{1}{4}$



Back to Rational Synthesis

A stable (NE) profile $P = \langle f_0, \dots, f_k \rangle$:

for every i , if $[[P, \varphi_i]] = v$, then $[[P', \varphi_i]] \leq v$ for all profiles $P' = P[i \leftarrow f'_i]$.

Consider a profile $P = \langle f_0, \dots, f_k \rangle$.

utility(P) = sum of satisfaction values =

$= [[P, \psi]] + [[P, \varphi_1]] + \dots + [[P, \varphi_k]]$.

- **SO**: $\max P \{ \text{utility}(P) \}$.

- **PoS**: SO / utility of best NE.

- **PoA**: SO / utility of worst NE.

Note: in NFG
it was dual

What are they in
rational synthesis?

Cooperative vs. Non-cooperative RS

PoS vs. PoA

Input: objectives ψ and $\varphi_1, \dots, \varphi_k$.



Cooperative rational synthesis:

Output: a stable profile $\langle f_0, \dots, f_k \rangle$ that satisfies ψ .

best NE!

Non-cooperative rational synthesis:

Output: a strategy f_0 such that every stable profile $\langle f_0, \dots, f_k \rangle$ satisfies ψ .

worst NE!

What are the prices of stability and anarchy in rational synthesis?

Price of Anarchy:

P_1, \dots, P_k assign values to x_1, \dots, x_k

$$\varphi_1, \dots, \varphi_{k-1}: \varphi_i = \nabla_{\alpha} (x_i \wedge \neg x_k)$$

$$\alpha = (1-\varepsilon)/k-1$$

$$\varphi_k = \nabla_{\beta} (x_k \vee (x_1 \wedge x_2 \wedge \dots \wedge x_{k-1}))$$

$$\beta = \varepsilon$$

SO: TTTT...TF

$$\varphi_1, \dots, \varphi_{k-1}: (1-\varepsilon)/k-1 \quad \varphi_k: \varepsilon$$

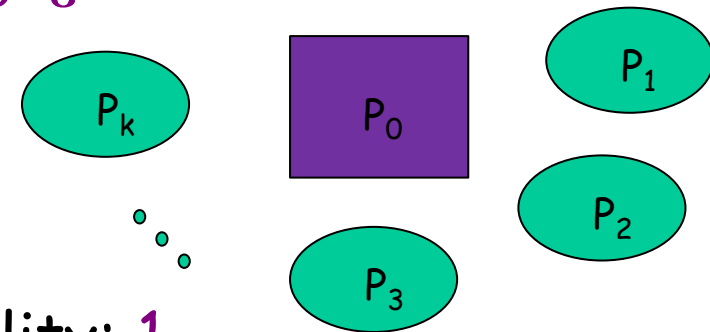
utility: 1

Worst NE: FFF...FT

$$\varphi_1, \dots, \varphi_{k-1}: 0 \quad \varphi_k: \varepsilon$$

utility: ε

PoA: SO/worst NE = $1/\varepsilon$ -- unbounded!



SO stable?

Price of Anarchy:

P_1, \dots, P_k assign values to x_1, \dots, x_k

$$\varphi_1, \dots, \varphi_{k-1}: \varphi_i = \nabla_{\alpha} (x_i \wedge \neg x_k) \quad \alpha = (1-\varepsilon)/k-1$$

$$\varphi_k = \nabla_{\beta} (x_k \vee (x_1 \wedge x_2 \wedge \dots \wedge x_{k-1})) \quad \beta = \varepsilon$$

SO is stable \rightarrow SO is best NE.

best/worst NE is unbounded.

SO: TTT...TF

$$\varphi_1, \dots, \varphi_{k-1}: (1-\varepsilon)/k-1 \quad \varphi_k: \varepsilon \quad \text{utility: } 1$$

Worst NE: FFF...FT

$$\varphi_1, \dots, \varphi_{k-1}: 0 \quad \varphi_k: \varepsilon \quad \text{utility: } \varepsilon$$

Cooperative RS may be unboundedly better than non-cooperative RS!

PoA: SO/worst NE = $1/\varepsilon$ -- unbounded!

Price of Stability:

P_1, \dots, P_k assign values to x_1, \dots, x_k

$$\varphi_1, \dots, \varphi_{k-1}: \varphi_i = \nabla_{\alpha} (x_1 \wedge x_2 \wedge \dots \wedge x_{k-1} \wedge x_k) \quad \alpha = (1-\varepsilon)/(k-1)$$

$$\varphi_k = \nabla_{\beta} (x_1 \wedge x_2 \wedge \dots \wedge x_{k-1} \wedge \neg x_k) \quad \beta = \varepsilon$$

SO: TTT...T

stable?

$$\varphi_1, \dots, \varphi_{k-1}: (1-\varepsilon)/(k-1) \quad \varphi_k: 0 \quad \text{utility: } 1-\varepsilon$$

no!

Best NE: TTT...TF

$$\varphi_1, \dots, \varphi_{k-1}: 0 \quad \varphi_k: \varepsilon \quad \text{utility: } \varepsilon$$

PoS: SO/best NE = $(1-\varepsilon)/\varepsilon$ -- unbounded!

To Sum Up:

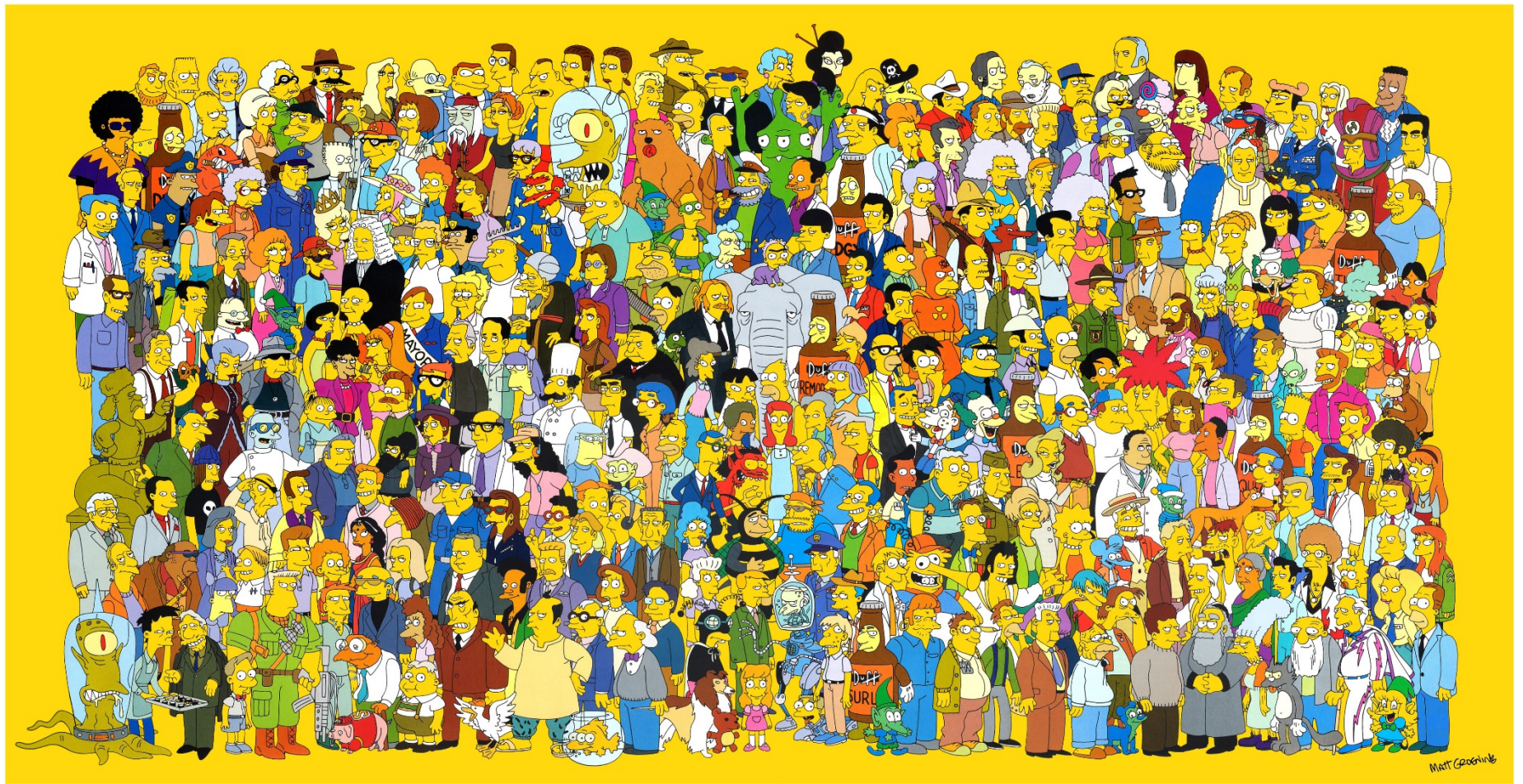


- **Synthesis of open systems:** winning strategy in a zero-sum game.
- **Rationality** assumption on the environment.
Transition to **non-zero-sum game**.
- **Classical game theory:** quantitative utilities.
Price of stability, price of anarchy.
- **LTL[F]:** quantitative specifications.
- **Cooperative rational synthesis:** PoS, unbounded.
- **Non-cooperative rational synthesis:** PoA, unbounded.

We did not see:

- **Solving rational synthesis:** connection with strategy logic.
- **Rational verification:** does S satisfy ψ in every rational? [Wooldridge, Gutierrez, Harrenstein, Marchioni, Perelli 2016]
- **Fixing systems** by making them stable.
- **Richer settings:** incomplete information, probability, other solution concepts.





Thank you