# The robust polynomial method and a subvolume law for locally gapped frustration-free 2D spin systems 

Anurag Anshu
Joint work with Itai Arad (Technion, Israel) and David Gosset (IQC, Canada)

Institute for Quantum Computing and Perimeter Institute for Theoretical Physics, Waterloo

April 14, 2020

# Upcoming section 

Introduction

## Bounding entanglement entropy

Polynomials

Sub-volume law

Discussion


## Square lattice



## Square lattice



## Square lattice



- Suppose $0 \preceq h \preceq \mathrm{I}$.


## Square lattice



## Square lattice



- $H=\sum_{i=1}^{n-1} \sum_{j=1}^{L-1}\left(\mathrm{I} \otimes \mathrm{I} \otimes \ldots h_{i, j} \otimes \ldots \mathrm{I}\right)$.


## Ground state and frustration-free assumption

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- Eigenstate of $H$ with smallest energy. We assume its unique.


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- Frustation-free (FF).
- $h_{i, j}|\Omega\rangle=0, \quad \forall i, j$.


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- Difference between smallest and second smallest eigen-energies.
- Frustation-free (FF).
- $h_{i, j}|\Omega\rangle=0, \quad \forall i, j$.
- FF allows us to choose $h_{i, j}^{2}=h_{i, j}$.
- Mapping $h_{i, j} \rightarrow \operatorname{span}\left(h_{i, j}\right)$ does not change $|\Omega\rangle$ and changes $\gamma$ by a constant.


## Entanglement entropy



Bound on $S\left(\Omega_{A}\right)$ ?

## Entanglement entropy

- Area law: $\mathrm{S}\left(\Omega_{A}\right)=\mathcal{O}(|\partial A|)$.
- Trivial volume law: $\mathrm{S}\left(\Omega_{A}\right)=\mathcal{O}\left(|\partial A|^{2}\right)$.
- Sub-volume law: $\mathrm{S}\left(\Omega_{A}\right)=\mathcal{O}\left(|\partial A|^{c}\right)$ for some $1<c<2$.


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## Conjecture

Area law conjecture: Unique ground state of a gapped hamiltonian ( $\gamma=$ some constant) satisfies an area law across every bi-partition $\partial A$.

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## Conjecture

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Most quantum states satisfy volume law. Thus area/sub-volume laws show that ground states are 'simpler' than most quantum states.

## Results in 1D

| Hastings [2007] | $\exp (\mathcal{O}(1 / \gamma))$ |
| :--- | :---: |
| Aharonov, Arad, Landau, Vazirani <br> [2011] (FF) | $\exp (\mathcal{O}(1 / \gamma))$ |
| Arad, Landau, Vazirani [2012] (FF) | $\mathcal{O}\left(1 / \gamma^{3}\right)$ |
| Arad, Kitaev, Landau, Vazirani [2013] | $\mathcal{O}(1 / \gamma)$ |

Conjecture of Gosset, Huang [2016]: Scaling for FF is $\mathcal{O}\left(\frac{1}{\sqrt{\gamma}}\right)$.

## Implications of 1D area law



- 1D area law implies gapped ground state can be approximated by a Matrix-Product State of 'small' bond dimension.
- Supports the success of Density Matrix Renormalization Group algorithm (White [1992]).
- Polynomial time algorithm for ground states (Landau, Vidick, Vazirani [2013]; Arad, Landau, Vidick, Vazirani [2016]).


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- Adiabatic assumption. Cho [2014].


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Area law for ground states of local Hamiltonian shown under several assumptions:

- Subexponential number of low energy eigenstates. Hastings [2007], Masanes [2009]
- Spin 1/2 lattice with nearest neighbour interaction Beaudrap, Osborne, Eisert [2010]
- Adiabatic assumption. Cho [2014].
- Assumptions on specific heat. Brandão, Cramer [2015].

For commuting hamiltonian: $\left[h_{i, j}, h_{i^{\prime}, j^{\prime}}\right]=0$, area law holds in all dimensions.

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- Schmidt rank of an operator $K$ : smallest $D$ such that

$$
K=\sum_{i=1}^{D} K_{A}^{i} \otimes K_{A^{c}}^{i}
$$

- We denote it by $\operatorname{SR}(K)$.


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- Suppose there were a PSD operator $K_{\text {imaginary }}$ such that
- $\| K_{\text {imaginary }}-|\Omega\rangle\langle\Omega| \|_{1} \leq \varepsilon$.
- $\operatorname{SR}\left(K_{\text {imaginary }}\right)=$ small.


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- $\| K_{\text {imaginary }}-|\Omega\rangle\langle\Omega| \|_{1} \leq \varepsilon$.
- $\operatorname{SR}\left(K_{\text {imaginary }}\right)=$ small.
- $\mathrm{S}\left(\Omega_{A}\right) \leq \log$ small $+\underbrace{\varepsilon|A|}_{\text {(Alicki-Fannes) }}$.
- But imaginary $\neq$ real.
- True situation: $\| K-|\Omega\rangle\langle\Omega| \|_{\infty} \leq \varepsilon$.


## Approximation to ground space

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- $\| K-|\Omega\rangle\langle\Omega| \|_{\infty} \leq \Delta$ and $\operatorname{SR}(K)=D$.
- For FF systems, we also have $K|\Omega\rangle=|\Omega\rangle$.


## Approximation to ground space

Theorem (Hastings 2007; Arad, Landau, Vazirani 2012)

$$
\underbrace{S_{\text {min }}\left(\Omega_{A}\right) \leq}_{\text {obvious }} \mathrm{S}\left(\Omega_{A}\right) \leq \frac{\log D}{\log \frac{1}{\Delta}} \mathrm{~S}_{\text {min }}\left(\Omega_{A}\right)+\log D
$$

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Theorem (Hastings 2007; Arad, Landau, Vazirani 2012)

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- What happens if $\frac{\log D}{\log \frac{1}{\Delta}}<1$ ?

Theorem (Arad, Landau, Vazirani 2012)
If $D \Delta<\frac{1}{2}$ (the AGSP condition), then

$$
\mathrm{S}\left(\Omega_{A}\right) \leq 2 \log D .
$$

## Polynomial approximation to ground space

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- $f_{\text {ground }}(x)=1$ if $x=0$ and 0 otherwise.
- Then $f_{\text {ground }}(H)=|\Omega\rangle\langle\Omega|$.
- Approximate $f_{\text {ground }}$ using tools from approximation theory.


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- Think of a multinomial $h_{7,1} h_{7,3} \underbrace{\ldots}_{d \text { times }} h_{7,21}$.


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- Think of a multinomial $h_{7,1} h_{7,3} \underbrace{\ldots}_{d \text { times }} h_{7,21}$.
- If $d<s$, then AGSP condition is satisfied.
- Unfortunately, a stringent condition in practise.


## Polynomial approximation to ground space



- A family of AGSPs: $K_{t}$ with degree $d_{t}$ (in dark blue region) and $\Delta=e^{-s_{t}}$.


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Theorem (Arad, Landau, Vazirani (2012); Arad, Kitaev, Landau, Vazirani (2013))
If $d_{t} \leq t \cdot s_{t}$, then AGSP condition is satisfied and $\mathrm{S}\left(\Omega_{A}\right) \leq t|\partial A|$.

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## Approximations to $f_{\text {ground }}$

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- $f_{\text {ground }}(x)=1$ if $x=0$ and 0 otherwise.

- Chebyshev polynomials, for a given degree, achieve the smallest approximation.
- Let us assume $\gamma=$ constant, till penultimate slide.


## Chebyshev approximation

- Chebyshev polynomial achieves $d_{t}=\sqrt{t|\partial A|}$ and $\Delta=e^{-s_{t}}=\frac{1}{3}$.
- Grover's search solves $\mathrm{AND}_{n}$ with $\sqrt{n}$ queries.
- The resulting polynomial is, via symmetrization, polynomial of a "hamming-weight hamiltonian".


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- Recall: If $d_{t} \leq t \cdot s_{t}$, then $S\left(\Omega_{A}\right) \leq t|\partial A|$.


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- Recall: If $d_{t} \leq t \cdot s_{t}$, then $S\left(\Omega_{A}\right) \leq t|\partial A|$.
- Evaluating $d_{t} \leq t s_{t}$, we get $t>|\partial A|$.
- Still a volume law. Note: any improvement would give subvolume law.


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- Still a volume law. Note: any improvement would give subvolume law.
- But what about commuting case?


# Improved Chebyshev approximations for integer points 

## Inclusion-exclusion: Exact and approximate

Jeff Kahn Nathan Linial \& Alex Samorodnitsky
Combinatorica 16,465-477(1996) | Cite this article
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## Improved Chebyshev approximations for integer points

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- This polynomial was discovered independently in (Buhrman, Cleve, de Wolf, Zalka [1999]), who showed that quantum query complexity for $\mathrm{AND}_{n}$ with error $\varepsilon$ requires $\sqrt{n \log \frac{1}{\varepsilon}}$ queries, instead of $\sqrt{n} \log \frac{1}{\varepsilon}$ queries.
- $\log \frac{1}{\varepsilon} \equiv s_{t}$ and queries $\equiv d_{t}$.


## Improved Chebyshev approximations for integer points

- Analysis: setting $d_{t}=t|\partial A|$, we get $s_{t}=t|\partial A|$. Thus, $d_{t} \leq t s_{t}$ can be satisfied with $t=1$ (constant). Hence, $\mathrm{S}\left(\Omega_{A}\right) \leq t|\partial A|=|\partial A|$.


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- Unfortunately, the construction heavily uses the integer spectrum and can't be generalized to continuous spectrum of a non-commuting Hamiltonian.


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## Approach

- Make the improved Chebyshev approximation more friendly for non-commuting case, using robust polynomials.
- Use it, battling non-commutativity, to get improved approximation in 2D (requires local gap assumption).


## Robust polynomials

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- Sherstov [2012]: there is a polynomial $\operatorname{Rob}_{p}: \mathbb{R}^{m} \rightarrow \mathbb{R}$ of degree $2 d$ which is robust:
- Take a binary string $\left(x_{1}, x_{2}, \ldots x_{m}\right)$ and corrupt its values to $\left(x_{1}+e_{1}, x_{2}+e_{2}, \ldots x_{m}+e_{m}\right) \in \mathbb{R}^{m}$. Here $e_{i} \in\left(-\frac{1}{10}, \frac{1}{10}\right)$.


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- Feed in corrupted input to Rob ${ }_{p}$. It holds that

$$
\operatorname{Rob}_{p}\left(x_{1}+e_{1}, x_{2}+e_{2}, \ldots x_{m}+e_{m}\right)=p\left(x_{1}, x_{2}, \ldots x_{m}\right) \pm 2^{-d}
$$

## Improved approximation for AND



- Note that $\mathrm{AND}_{t|\partial A|}=\mathrm{AND}_{\frac{t}{m}} \circ\left(\operatorname{AND}_{m|\partial A|}\right)^{\times \frac{t}{m}}$.


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- Approximate $\operatorname{AND}_{t|\partial A|}$ by $\operatorname{Rob}_{\operatorname{AND}_{\frac{t}{m}}} \circ(q)^{\times \frac{t}{m}}$.


## Improved approximation for AND

- Degree is $d_{t}=\frac{2 t}{m} \times \sqrt{m|\partial A|}=\frac{2 t \sqrt{|\partial A|}}{\sqrt{m}}$.
- Error is $e^{-s_{t}}=2^{-\frac{t}{m}}$.
- Since $m=\frac{4 t^{2}|\partial A|}{d_{t}^{2}}$, we recover

$$
s_{t}=\frac{d_{t}^{2}}{4 t|\partial A|}
$$

- Since $1 \leq m \leq t$, we also recover the constraint $2 \sqrt{t|\partial A|} \leq d_{t} \leq 2 t|\partial A|$.


## Lifting to local hamiltonian setting



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- Quantum friendly: Approximate $\mathrm{AND}_{m|\partial A|}$ by Chebyshev polynomial $q$ with error $\frac{1}{10}$ and degree $\approx \sqrt{m|\partial A|}$.
- Robust polynomial is also quantum friendly.


## Lifting to local hamiltonian setting



- Assume that the hamiltonian on the blue blocks is also gapped: local gap assumption.
- Quantum friendly: Approximate $\mathrm{AND}_{m|\partial A|}$ by Chebyshev polynomial $q$ with error $\frac{1}{10}$ and degree $\approx \sqrt{m|\partial A|}$.
- Robust polynomial is also quantum friendly.
- But we are missing out the ground space of $H$.


## Coarse-grained detectability lemma



- Coarse-grained detectability lemma (A., Arad, Vidick [2016]; Aharonov, Arad, Landau, Vazirani [2011]): The 'AND' of blue and red projectors, that is,

$$
\text { Blue }_{1} \cdot \text { Blue }_{2} \cdot \text { Blue }_{3} \cdot \operatorname{Red}_{1} \cdot \operatorname{Red}_{2}
$$

is $e^{-m}$ close to the ground space on $t|\partial A|$ qudits.

## Subvolume law of $5 / 3$

- Repeat the analysis for the improved approximation to AND, but including the additional error of $e^{-m}$ due to detectability lemma.


## Subvolume law of $5 / 3$

- Repeat the analysis for the improved approximation to AND, but including the additional error of $e^{-m}$ due to detectability lemma.

Theorem (A., Arad, Gosset, 2019)
For locally gapped FF spin systems (local gap constant), we have

$$
\mathrm{S}\left(\Omega_{A}\right)=\tilde{\mathcal{O}}\left(|\partial A|^{5 / 3}\right)
$$

## How far can this go?

- Due to non-commutativity, a degree $d_{t}$ polynomial can only be expected to achieve

$$
e^{-s_{t}}=\underbrace{e^{-\frac{d_{t}^{2}}{t|\partial A|}}}_{\text {Improved Chebyshev }}+\underbrace{e^{-t}}_{\text {Detectability lemma }}
$$

- If this were the correct behaviour, we would get $\mathrm{S}\left(\Omega_{A}\right) \approx|\partial A|^{3 / 2}$ (Work in progress).


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- If spectral gap is $\mathcal{O}(1)$, then is local gap $\mathcal{O}(1)$ too?
- Helpful FF example from Michalakis, Zwolak (2011):

$$
H=\sum_{i=1}^{N-1}\left(|00\rangle\left\langle\left. 00\right|_{i, i+1}+\mid 11\right\rangle\left\langle\left.\left. 11\right|_{i, i+1}+\frac{\delta_{i=\text { even }}}{3 N} \right\rvert\, 01\right\rangle\left\langle\left. 01\right|_{i, i+1}\right),\right.
$$

- Ground state is $|010101 \ldots\rangle$, spectral gap is $\frac{2}{3}$, but local gap is $\frac{1}{3 N}$.


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$$

- Ground state is $|010101 \ldots\rangle$, spectral gap is $\frac{2}{3}$, but local gap is $\frac{1}{3 N}$.
- But mapping $h_{i, j} \rightarrow \operatorname{span}\left(h_{i, j}\right)$, new $H$ has local gap 1 .


## Local gap assumption

- For every FF hamiltonian $H$, is there a transformation to $H^{\prime}$ that is a sum of projectors, such that $\gamma_{\text {loc }} \geq \gamma^{c}$, for some constant $c$ ?


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- Are constructions from Cubitt, Perez-Garcia-Wolf (2015); Bausch, Cubitt, Lucia, Perez-Garcia (2018) counter examples?


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- Are constructions from Cubitt, Perez-Garcia-Wolf (2015); Bausch, Cubitt, Lucia, Perez-Garcia (2018) counter examples?
- Note that $\gamma$ can be lower bounded in terms of $\gamma_{\text {loc }}$ due to Knabe's theorem (1988) and its generalizations: Gosset, Mozgunov [2015]; Kastoryano, Lucia [2017]; Lemm, Mozgunov [2018]; etc.


## Representation of 2D ground state

- Recent work of Abrahamsen [2020] shows subexponential algorithms for preparing locally gapped FF ground states.
- It is possible to show that there exist PEPS representations with better scaling for such ground states?
- Can AGSPs circumvent the information theoretic limitation of "area law doesn't imply PEPS with polynomial bond dimension" (Ge, Eisert 204)?


## Scaling with gap, and a coincidence (?)

- Prior works: $\frac{|\partial A|^{2}}{\gamma}$.
- Our result: $\frac{|\partial A|^{5 / 3}}{\gamma^{5 / 6}}$.
- Hopeful conjecture: $\frac{|\partial A|^{3 / 2}}{\gamma^{3 / 4}}$.
- Gosset-Huang conjecture: 1D scaling of FF systems is $\frac{1}{\sqrt{\gamma}}$ (the correlation length).

Thank you for your attention!

