## Undecidability of Linear Inequalities Between Graph Homomorphism Densities

## Hamed Hatami joint work with Sergey Norin

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# Introduction

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- Neater proofs with no low-order terms.

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- Discovery of rich algebraic structure underlying many of these techniques.
- Neater proofs with no low-order terms.
- Methods for applying these techniques in semi-automatic ways.

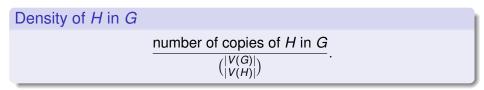
# Density of *H* in *G* $\frac{\text{number of copies of } H \text{ in } G}{\binom{|V(G)|}{|V(H)|}}.$

Hamed Hatami (McGill University)

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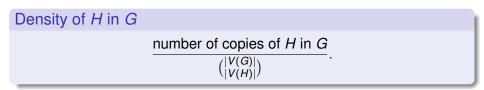
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• We can think of these densities as "moments" of the graph G.

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- We can think of these densities as "moments" of the graph *G*.
- Many fundamental theorems in extremal graph theory can be expressed as algebraic inequalities between subgraph densities.

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## Theorem (Freedman, Lovász, Schrijver 2007)

Every such inequality follows from the positive semi-definiteness of a certain infinite matrix.

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## Razborov's flag algebras

A formal calculus capturing many standard arguments (induction, Cauchy-Schwarz,...) in the area.

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#### Automatic methods for proving theorems (based on SDP):

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- HH, Hladky, Kral, Norin, Razborov: A conjecture of Erdös.

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SDP methods + thinking:

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SDP methods + thinking:

- Razborov: Minimal density of triangles, given an edge density.
- Razborov: Turán's hypergraph problem under mild extra conditions.
- other conjectures of Erdös, crossing number of complete bipartite graphs, etc.

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### Question (Razborov)

Can every true algebraic inequality between subgraph densities be proved using a finite amount of manipulation with subgraph densities of finitely many graphs?

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### How far can we go?

Is asymptotic extremal graph theory trivial? Is lack of enough computational power the only barrier?

#### Question (Razborov)

Can every true algebraic inequality between subgraph densities be proved using a finite amount of manipulation with subgraph densities of finitely many graphs?

#### HH-Norine 2011

The answer is negative in a strong sense.

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# Formal definitions

Hamed Hatami (McGill University)

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#### Extremal graph theory

Studies the relations between the number of occurrences of different subgraphs in a graph G.

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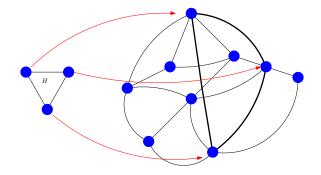
Equivalently one can study the relations between the "homomorphism densities".

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## Homomorphism Density

Definition

• Map the vertices of *H* to the vertices of *G* independently at random.



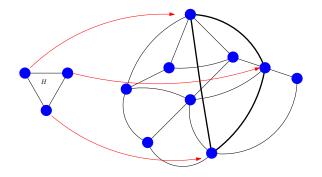
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• Map the vertices of *H* to the vertices of *G* independently at random.

 $t_H(G) := \Pr[\text{edges go to edges}].$ 



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A map  $f : H \rightarrow G$  is called a homomorphism if it maps edges to edges.

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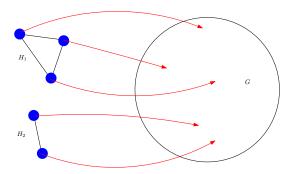
• Asymptotically  $t_H(\cdot)$  and subgraph densities are equivalent.

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- Asymptotically  $t_H(\cdot)$  and subgraph densities are equivalent.
- The functions  $t_H$  have nice algebraic structures:

$$t_{H_1\sqcup H_2}(G)=t_{H_1}(G)t_{H_2}(G).$$



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 Many fundamental theorems in extremal graph theory can be expressed as algebraic inequalities between homomorphism densities.

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Example (Goodman's bound 1959) $t_{\mathcal{K}_3}(G) \geq 2t_{\mathcal{K}_2}(G)^2 - t_{\mathcal{K}_2}(G).$ 

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Example (Goodman's bound 1959)

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• Every such inequality can be turned to a linear inequality:

$$a_1t_{H_1}(G)+\ldots+a_mt_{H_m}(G)\geq 0.$$

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Example (Goodman's bound 1959)

$$t_{\mathcal{K}_3}(G)-2t_{\mathcal{K}_2\sqcup\mathcal{K}_2}(G)+t_{\mathcal{K}_2}(G)\geq 0.$$

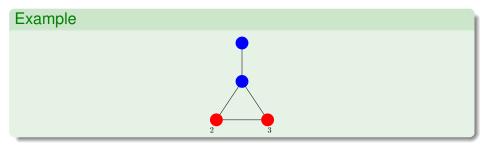
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# Algebra of Partially labeled graphs

Hamed Hatami (McGill University)

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A partially labeled graph is a graph in which some vertices are labeled by *distinct* natural numbers.



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#### Recall

#### $t_H(G) := \Pr[f : H \to G \text{ is a homomorphism}].$

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#### Recall

$$t_H(G) := \Pr[f : H \to G \text{ is a homomorphism}].$$

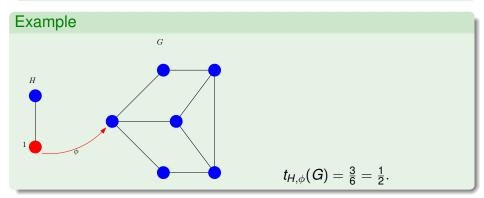
#### Definition

Let *H* be partially labeled with labels *L*. For  $\phi : L \rightarrow G$ , define

$$t_{H,\phi}(G) := \Pr\left[f: H \to G \text{ is a hom. } \mid f|_L = \phi\right].$$

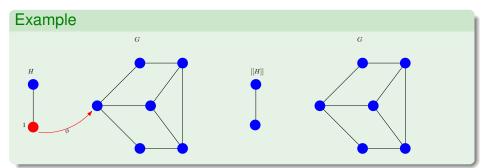
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t_{H,\phi}(G) := \Pr[f: H \to G \text{ is a hom. } | f|_L = \phi].
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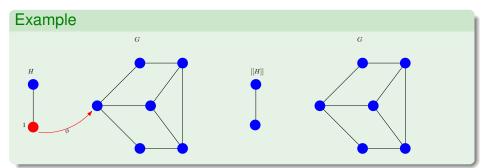


Let [H] be H with no labels.

Hamed Hatami (McGill University)

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Let [H] be H with no labels.

$$\mathbb{E}_{\phi}\left[t_{H,\phi}(G)\right] = t_{[H]}(G)$$

Hamed Hatami (McGill University)

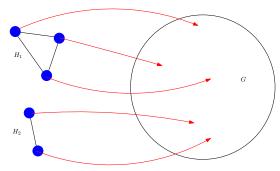
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#### • Recall that:

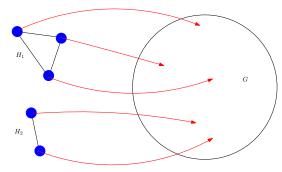
 $t_{H_1 \sqcup H_2}(G) = t_{H_1}(G)t_{H_2}(G).$ 



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#### • Recall that:

 $t_{H_1 \sqcup H_2}(G) = t_{H_1}(G)t_{H_2}(G).$ 



• This motivates us to define  $H_1 \times H_2 := H_1 \sqcup H_2$ .

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The product  $H_1 \cdot H_2$  of partially labeled graphs  $H_1$  and  $H_2$ :

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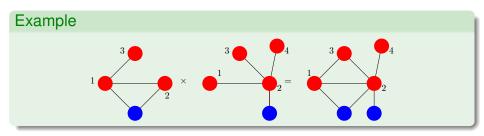
The product  $H_1 \cdot H_2$  of partially labeled graphs  $H_1$  and  $H_2$ :

• Take their disjoint union, and then identify vertices with the same label.

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The product  $H_1 \cdot H_2$  of partially labeled graphs  $H_1$  and  $H_2$ :

- Take their disjoint union, and then identify vertices with the same label.
- If multiple edges arise, only one copy is kept.



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• Let  $H_1$  and  $H_2$  be partially labeled with labels  $L_1$  and  $L_2$ .

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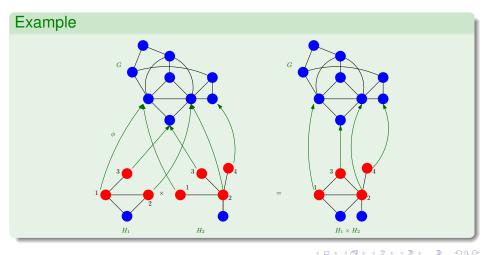
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Let H<sub>1</sub> and H<sub>2</sub> be partially labeled with labels L<sub>1</sub> and L<sub>2</sub>.
Let φ : L<sub>1</sub> ∪ L<sub>2</sub> → G.

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- Let  $H_1$  and  $H_2$  be partially labeled with labels  $L_1$  and  $L_2$ .
- Let  $\phi: L_1 \cup L_2 \rightarrow G$ .
- We have  $t_{H_1,\phi}(G)t_{H_2,\phi}(G) = t_{H_1 \times H_2,\phi}(G)$ .



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• Let  $H_1, \ldots, H_k$  be partially labeled graphs with the set of labels *L*.

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Let *H*<sub>1</sub>,..., *H<sub>k</sub>* be partially labeled graphs with the set of labels *L*.
Let *b*<sub>1</sub>,..., *b<sub>k</sub>* be real numbers and *φ* : *L* → *G*.

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$$0 \leq \left(\sum b_i t_{H_i,\phi}(G)\right)^2 = \sum b_i b_j t_{H_i,\phi}(G) t_{H_j,\phi}(G)$$
$$= \sum b_i b_j t_{H_i \times H_j,\phi}(G)$$

Let *H*<sub>1</sub>,..., *H<sub>k</sub>* be partially labeled graphs with the set of labels *L*.
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 $\sum b_i b_j t_{H_i \times H_i,\phi}(G) \geq 0$ 

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 $\sum b_i b_j t_{H_i \times H_i,\phi}(G) \geq 0$ 

$$\mathbb{E}_{\phi}\left[\sum b_i b_j t_{\mathcal{H}_i \times \mathcal{H}_j, \phi}(\boldsymbol{G})\right] \geq 0$$

Let *H*<sub>1</sub>,..., *H<sub>k</sub>* be partially labeled graphs with the set of labels *L*.
Let *b*<sub>1</sub>,..., *b<sub>k</sub>* be real numbers and *φ* : *L* → *G*.

 $\sum b_i b_i t_{H_i \times H_i,\phi}(G) \geq 0$ 

$$\mathbb{E}_{\phi}\left[\sum b_i b_j t_{\mathcal{H}_i \times \mathcal{H}_j, \phi}(\boldsymbol{G})\right] \geq 0$$

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Let H<sub>1</sub>, H<sub>2</sub>,... be all partially labeled graphs. For every G:
Condition I: t<sub>K1</sub>(G) = 1.

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- Condition II:  $t_{H \sqcup K_1}(G) = t_H(G)$  for all graph *H*.

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- Condition III: The infinite matrix whose *ij*-th entry is t<sub>[Hi×Hj]</sub>(G) is positive semi-definite.

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#### Theorem (Freedman, Lovász, Shrijver 2007)

These conditions describe the closure of the set

$$\{(t_{F_1}(G), t_{F_2}(G), \ldots) : G\} \in [0, 1]^{\mathbb{N}}.$$

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# Quantum Graphs

Hamed Hatami (McGill University)

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 $a_1H_1+\ldots+a_kH_k$ .

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$$a_1H_1+\ldots+a_kH_k$$
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• A quantum graph  $a_1H_1 + \ldots + a_kH_k$  is called positive, if for all *G*,

$$a_1t_{H_1}(G)+\ldots+a_kt_{H_k}(G)\geq 0.$$

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Goodman:

$$K_3-2(K_2\sqcup K_2)+K_2\geq 0.$$

• We want to understand the set of all positive quantum graphs.

• A partially labeled quantum graph is a formal linear combination of partially labeled graphs:

$$a_1H_1+\ldots+a_kH_k.$$

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 A partially labeled quantum graph is a formal linear combination of partially labeled graphs:

$$a_1H_1+\ldots+a_kH_k.$$

• Partially labeled quantum graphs form an algebra:

$$(a_1H_1+\ldots+a_kH_k)\cdot(b_1L_1+\ldots+b_\ell L_\ell)=\sum a_ib_jH_i\cdot L_j.$$

# $[\cdot]$ : partially labeled quantum graph $\mapsto$ quantum graph

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# $[\cdot]$ : partially labeled quantum graph $\mapsto$ quantum graph

# Recall

$$\left[(\sum b_i H_i)^2\right] = \sum b_i b_j \left[H_i \times H_j\right] \ge 0$$

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# Equivalently

For every partially labeled quantum graph g we have  $[g^2] \ge 0$ .

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# Equivalently

For every partially labeled quantum graph g we have  $[g^2] \ge 0$ .

# Corollary

Always

$$\left[g_1^2+\ldots+g_k^2\right]\geq 0.$$

Question (Lovász's 17th Problem, Lovász-Szegedy, Razborov) Is it true that every  $f \ge 0$  is of the form

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Observation (Lovasz-Szegedy and Razborov)

If  $f \ge 0$  and  $\epsilon > 0$ , there exists a positive integer k and quantum labeled graphs  $g_1, g_2, \ldots, g_k$  such that

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#### Theorem (HH and Norin)

The answer to the above question is negative.

# positive polynomials

Hamed Hatami (McGill University)

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 Polynomial p ∈ ℝ[x<sub>1</sub>,..., x<sub>n</sub>] is called positive if it takes only non-negative values.

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- $p_1^2 + \ldots + p_k^2$  is always positive.

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# Theorem (Hilbert 1888)

There exist 3-variable positive homogenous polynomials which are not sums of squares of polynomials.

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### Theorem (Hilbert 1888)

There exist 3-variable positive homogenous polynomials which are not sums of squares of polynomials.

Example (Motzkin's polynomial)

$$x^4y^2 + y^4z^2 + z^4x^2 - 6x^2y^2z^2 \ge 0.$$

# Extending to quantum graphs

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# There are positive quantum graphs f which are not sums of squares. That is, always $f \neq [g_1^2 + \ldots + g_k^2]$ .

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• The proof is based on converting  $x^4y^2 + y^4z^2 + z^4x^2 - 6x^2y^2z^2$  to a quantum graph.

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Every positive polynomial is of the form

 $(p_1/q_1)^2 + \ldots + (p_k/q_k)^2.$ 

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The problem of checking the positivity of a polynomial is decidable.

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#### Corollary

The problem of checking the positivity of a polynomial is decidable.

- Co-recursively enumerable: Try to find a point that makes p negative.
- recursively enumerable: Try to write  $p = \sum (p_i/q_i)^2$ .

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- Maybe at least the decidability? (A 10th problem)

The following problem is undecidable.

 QUESTION: Does the inequality a₁ t<sub>H₁</sub>(G) + ... + a<sub>k</sub>t<sub>H<sub>k</sub></sub>(G) ≥ 0 hold for every graph G?

# Proof

Hamed Hatami (McGill University)

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Equivalently

### Theorem (HH and Norin)

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- INSTANCE: A polynomial  $p(x_1, ..., x_k)$  and graphs  $H_1, ..., H_k$ .
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Instead I will prove the following theorem:

# Theorem

The following problem is undecidable.

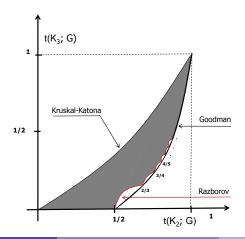
- INSTANCE: A polynomial  $p(x_1, \ldots, x_k, y_1, \ldots, y_k)$ .
- QUESTION: Does the inequality  $p(t_{K_2}(G_1), \ldots, t_{K_2}(G_k), t_{K_3}(G_1), \ldots, t_{K_3}(G_k)) \ge 0$  hold for every  $G_1, \ldots, G_k$ ?

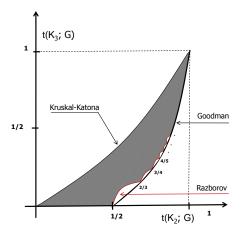
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Matiyasevich 1970 Solution to Hilbert's 10th problem: Checking the positivity of *p* ∈ ℝ[*x*<sub>1</sub>,..., *x*<sub>k</sub>] on {1 − <sup>1</sup>/<sub>n</sub> : *n* ∈ ℤ}<sup>k</sup> is undecidable.

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- Bollobás, Razborov: Goodman's bound is achieved only when  $t_{\mathcal{K}_2}(G) \in \{1 \frac{1}{n} : n \in \mathbb{Z}\}.$





#### Lemma

Let  $p \in \mathbb{R}[x_1, \dots, x_k]$ . Define  $q(x_1, \dots, x_k, y_1, \dots, y_k)$  as

$$q:=
ho\prod_{i=1}^k(1-x_i)^6+C_{
ho} imes\left(\sum_{i=1}^ky_i-g(x_i)
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T.F.A.E.

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#### T.F.A.E.

• p < 0 for some  $x_1, \ldots, x_k \in \{1 - 1/n : n \in \mathbb{N}\}$ . (undecidable)

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*p* < 0 for some x<sub>1</sub>,..., x<sub>k</sub> ∈ {1 − 1/n : n ∈ N}. (undecidable) *q* < 0 for some (x<sub>i</sub>, y<sub>i</sub>) ∈ S's.

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Let  $p \in \mathbb{R}[x_1, \ldots, x_k]$ . Define  $q(x_1, \ldots, x_k, y_1, \ldots, y_k)$  as

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- p < 0 for some  $x_1, \ldots, x_k \in \{1 1/n : n \in \mathbb{N}\}$ . (undecidable)
- *q* < 0 for some (*x<sub>i</sub>*, *y<sub>i</sub>*) ∈ S's.
- q < 0 for some  $x_i = t_{K_2}(G_i)$  and  $y_i = t_{K_3}(G_i)$ . (reduction)

# Where do we go from here?

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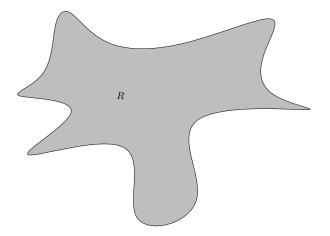
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- On can hope decidability for restricted classes of graphs.
- Bollobas: Linear inequalities  $a_1 K_{n_1} + \ldots + a_k K_{n_k} \ge 0$  is decidable.
- Question: What about unions of cliques?

• Let *R* denote the closure of  $\{(t_{H_1}(G), t_{H_2}(G), \ldots) : G\} \subset [0, 1]^{\mathbb{N}}$ .



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• Graphons: The points in *R* (graph limits) can be represented by symmetric measurable  $W : [0, 1]^2 \rightarrow [0, 1]$ .

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- Lovász's Conjecture: Every feasible inequality
   a<sub>1</sub>t<sub>H1</sub>(W) + ... + a<sub>k</sub>t<sub>Hk</sub>(W) < 0 has a finitely forcible solution W.</li>

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- Lovász-Szegedy's Conjecture: Finitely forcible graphons have simple structures (finite dimensional).

# [Glebov, Klimošová, Král 2013+]

There are finitely forcible *W*'s such that  $\{W(x, \cdot) : x \in [0, 1]\}$  with the  $L_1$  distance contains a subset homeomorphic to  $[0, 1]^{\infty}$ .